

**Exhibit 300: Capital Asset Plan and Business Case Summary**

**Part I: Summary Information And Justification (All Capital Assets)**

**Section A: Overview (All Capital Assets)**

**1. Date of Submission:**

9/8/2008

**2. Agency:**

Social Security Administration

**3. Bureau:**

Systems

**4. Name of this Capital Asset:**

Medicare Modernization Act

**5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.)**

016-00-01-02-01-2127-00

**6. What kind of investment will this be in FY 2010? (Please NOTE: Investments moving to O&M in FY 2010, with Planning/Acquisition activities prior to FY 2010 should not select O&M. These investments should indicate their current status.)**

Mixed Life Cycle

**7. What was the first budget year this investment was submitted to OMB?**

FY2004

**8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:**

The Medicare Modernization Act of 2003 (MMA) created a voluntary program for prescription drug coverage under the Medicare Program. Those individuals who enroll and have incomes below an established threshold may be entitled to a Prescription Drug Benefit (Medicare Part D) Low Income Subsidy (LIS) to help pay for annual deductibles, premiums and co-payments. SSA is responsible for: producing an outreach notice and sending it to the target audience; doing a follow-up contact for non-responders; interfacing with other federal agencies to obtain income information; verification of income for accuracy; determining eligibility for the subsidy; and withholding the premium from SSA payments when prescription drug coverage has been elected.

Effective 1/2007, Section 1839 of the Social Security Act created an income-related reduction in Part B premium subsidies. Currently, the premium paid by a Part B beneficiary covers a portion of the per-capita cost of Part B; the balance is subsidized by the Federal government. SSA is responsible for obtaining income information from the IRS to determine any adjustments to the Part B premium as well as communicating the adjusted amounts to the Centers for Medicare and Medicaid Services and the Railroad Retirement Board.

Public Law 110-275 becomes effective 1/2010. SSA will be required to modify the LIS process to change the factors in the subsidy calculation as well as provide beneficiaries with information about Medicare Savings Programs (MSP). Changes will include public outreach efforts, modified subsidy applications, and new data exchanges with the 50 states and DC to communicate LIS and MSP data.

In order to implement Public Law 110-275 in the most cost-effective and beneficial manner, SSA will modify its existing MMA processes. This approach will build upon existing, proven software, thereby expediting the delivery of the finished product and limiting the amount of staff resources utilized. As a result, the delivery and resources benefits will result in substantial cost savings to the Agency relative to the other alternatives and will support Agency goals of providing the LIS benefit to more members of the American public.

**9. Did the Agency's Executive/Investment Committee approve this request?**

Yes

**a. If "yes," what was the date of this approval?**

8/4/2008

**10. Did the Project Manager review this Exhibit?**

Yes

**11. Contact information of Program/Project Manager?**

Name

Phone Number

Email

**a. What is the current FAC-P/PM (for civilian agencies) or DAWIA (for defense agencies) certification level of the program/project manager?**

Senior/Expert/DAWIA-Level 3

**b. When was the Program/Project Manager Assigned?**

1/6/2008

**c. What date did the Program/Project Manager receive the FAC-P/PM certification? If the certification has not been issued, what is the anticipated date for certification?**

9/5/2008

**12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?**

Yes

**a. Will this investment include electronic assets (including computers)?**

Yes

**b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)**

No

**1. If "yes," is an ESPC or UESC being used to help fund this investment?**

**2. If "yes," will this investment meet sustainable design principles?**

**3. If "yes," is it designed to be 30% more energy efficient than relevant code?**

**13. Does this investment directly support one of the PMA initiatives?**

Yes

**If "yes," check all that apply:**

Expanded E-Government

**a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)**

SSA is continuing the expansion of E-Gov through data exchanges with various other government agencies. SSA Medicare Part D has been designed to promote internet application process and post adjudicative reporting of changing events.

**14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?**

**(For more information about the PART, visit [www.whitehouse.gov/omb/part](http://www.whitehouse.gov/omb/part).)**

Yes

**a. If "yes," does this investment address a weakness found during a PART review?**

Yes

**b. If "yes," what is the name of the PARTed program?**

10001060 - Medicare

**c. If "yes," what rating did the PART receive?**

Moderately Effective

**15. Is this investment for information technology?**

Yes

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

**16. What is the level of the IT Project? (per CIO Council PM Guidance)**

Level 3

**17. In addition to the answer in 11(a), what project management qualifications does the Project Manager have? (per CIO Council PM Guidance)**

(1) Project manager has been validated as qualified for this investment

**18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4 - FY 2008 agency high risk report (per OMB Memorandum M-05-23)**

Yes

**19. Is this a financial management system?**

No

**a. If "yes," does this investment address a FFIA compliance area?**

**1. If "yes," which compliance area:**

**2. If "no," what does it address?**

**b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52**

**20. What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)**

Hardware

0.000000

Software

0.000000

Services

100.000000

Other

0.000000

**21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?**

N/A

**22. Contact information of individual responsible for privacy related questions:**

Name

Phone Number

Title

Lead Social Insurance Specialist

E-mail

**23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?**

Yes

Question 24 must be answered by all Investments:

**24. Does this investment directly support one of the GAO High Risk Areas?**

Yes

## Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

**Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS)**

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2008	CY 2009	BY 2010	BY+1 2011	BY+2 2012	BY+3 2013	BY+4 and beyond	Total
Planning:	6.069	0	0	0					
Acquisition:	84.425	2.199	1.155	0.708					
Subtotal Planning & Acquisition:	90.494	2.199	1.155	0.708					
Operations & Maintenance:	24.955	2.023	0.297	0.417					
TOTAL:	115.449	4.222	1.452	1.125					
<b>Government FTE Costs should not be included in the amounts provided above.</b>									
Government FTE Costs	90.048	11.332	15.341	5.408					
Number of FTE represented by Costs:	839	101	129	43					

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

**2. Will this project require the agency to hire additional FTE's?**

No

a. If "yes," How many and in what year?

**3. If the summary of spending has changed from the FY2009 President's budget request, briefly explain those changes:**

Special funds have been appropriated to implement the legislation in Public Law 110-275. These funds will be disbursed in budget year 09 and budget year 10 and will support the agency's costs associated with the legislation. This allocation is in addition to the allocation contained in the President's budget.

## Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

**Contracts/Task Orders Table:**

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition ? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/email)	Contracting Officer FAC-C or DAWIA Certification Level (Level 1, 2, 3, N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition ? (Y/N)
LM - SS00-05-60011 Task Order 4-260	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	0.846	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-9462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-310	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	0.391	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-9462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-410	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	0.157	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-9462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-511	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	0.469	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-9462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-520	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task	Yes	9/30/2007	9/30/2007	9/29/2008	0.322	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-9462 / michelle.burgesen@ssa.gov	Level 3	

Exhibit 300: Medicare Modernization Act (Revision 9)

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition ? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/email)	Contracting Officer FAC-C or DAWIA Certification Level (Level 1, 2, 3, N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition ? (Y/N)
	Order															
LM - SS00-05-60011 Task Order 4-620	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	0.137	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-9462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order TBD	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	No	9/30/2008	9/30/2008	9/29/2011	2.28	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-9462 / michelle.burgesen@ssa.gov	Level 3	

**2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:**

SSA's earned value management (EVM) policy and implementation has been reviewed by OMB, OIG and others and deemed consistent with the intent of OMB guidance and the ANSI standards which define a compliant EVM. SSA performs the vast majority of our work in-house, and thus conducts EVM and program management at the total program level which includes both Government costs and support contracts. The inclusion of earned value in SSA contracts is based on the type of contract let, the services performed, and the date when the contract was let. When applicable per policy, earned value management requirements are applied to SSA contractors in one of two ways. The first is to require the contractor to satisfy requirements utilizing their own earned value management system (EVMS) in accordance with FAR 52.234. The second is for the contractor to provide necessary data directly into SSA's in-house EVMS.

An example of the second case is the Lockheed Martin (LM) AWSSC Task Order contract where LM provides SSA with IT labor support. AWSSC task orders are issued annually on a fixed hour and dollar basis with very detailed work scopes, deliverables and schedules. In these scenarios SSA realizes efficiency advantages by mandating that LM utilize SSA's EVMS, which includes more consolidated and consistent tracking of program level resources and lower contractor costs. SSA's IT Advisory Board allocates these contractors to projects at the same time that it allocates Federal IT employees to the same projects. This is due to the fact that these contractors work side by side with federal employees, charge to the same work break down codes and perform the same work according to SSA mandated schedules, budgets and scope agreements. SSA has an in-house, program level EVMS that produces data attributable to the component and sub-component levels, thereby enabling these contractor's efforts to be easily separately monitored. The LM AWSSC Task Order contract also has many related progress, schedule and cost monitoring tools. Finally, instead of having contractor reporting be a month behind government reporting (as the case would be if we waited for separate contractor EVM reports) this process allows for expedited time reporting.

AWSSC task orders are issued in annual fixed hour and dollar increments with very detailed work scope, deliverables and schedules.

**3. Do the contracts ensure Section 508 compliance?**

Yes

**a. Explain why not or how this is being done?**

SSA ensures that any applicable IT requirements comply with Section 508 standards. The SSA includes Section 508 contract clauses and evaluation criteria in its solicitations and contracts as appropriate and ensures during the review of technical proposals that offerers are fully compliant or as compliant as possible based on the state of the technology in the marketplace. This is accomplished through review of technical documentation as well as through actual testing of the product.

**4. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements?**

Yes

**a. If "yes," what is the date?**

9/5/2008

**1. Is it Current?**

Yes

**b. If "no," will an acquisition plan be developed?**

**1. If "no," briefly explain why:**

## Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at [www.egov.gov](http://www.egov.gov). The table can be extended to include performance measures for years beyond the next President's Budget.

**Performance Information Table**

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2008	Service - To deliver high-quality, citizen-centered service	Customer Results	Customer Benefit	Customer Satisfaction	Percent of individuals who do business with SSA rating the overall service	81% - FY2007 Actual	83%	Actual results will be available in FY 2009

Exhibit 300: Medicare Modernization Act (Revision 9)

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
					as "excellent," "very good," or "good"			
2008	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Coverage	New Customers and Market Penetration	New Customers: Number of Customers that respond to the Cyclical Redetermination Mailing	79.7 % (FY 2007 Actual)	80%	Actual results will be available in FY 2009
2008	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Quality	Accuracy of Service or Product Delivered	Customer Satisfaction: Satisfaction rating of customers using the Internet Part D Subsidy Application	ACSI Score 88 (FY 2007 Actual)	ACSI Score 83	Actual results will be available in FY 2009
2008	Stewardship - To ensure superior stewardship of Social Security programs and resource	Mission and Business Results	Income Security	General Retirement and Disability	General Retirement and Disability: Number of Part B IRMAA Annual Verifications processed.	100% Annually (FY 2007 Actual)	80% Annually	Actual results will be available in FY 2009
2008	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Cycle Time and Timeliness	Timeliness	Timeliness: speed of processing customer reported changes to income that affect IRMAA.	99% within 2 days (FY 2007 Actual)	95% within 10 days	Actual results will be available in FY 2009
2008	Service - To deliver high-quality, citizen-centered service	Technology	Efficiency	Accessibility	Reliability and availability: percent that critical systems are available.	99.7% (FY 2007 Actual)	99%	Actual results will be available in FY 2009
2009	Service - To deliver high-quality, citizen-centered service	Customer Results	Customer Benefit	Customer Satisfaction	Percent of individuals who do business with SSA rating the overall service as "excellent," "very good," or "good"	81% - FY2007 Actuals	83%	Actual results will be available in FY 2010
2009	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Coverage	New Customers and Market Penetration	New Customers: Number of customers that respond to the Cyclical Redetermination mailing.	79.7% (FY 2007 Actual)	80%	Actual results will be available in FY 2010
2009	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Quality	Accuracy of Service or Product Delivered	Customer Satisfaction: Satisfaction rating of customers using the Internet Part D Subsidy Application	ACSI Score 88 (FY 2007 Actual)	ACSI Score 88	Actual results will be available in FY 2010
2009	Stewardship - To ensure superior stewardship of Social Security programs and resource	Mission and Business Results	Income Security	General Retirement and Disability	General Retirement and Disability: Number of Part B IRMAA Annual Verifications processed	100% Annually (FY 2007 Actual)	100% Annually	Actual results will be available in FY 2010
2009	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Cycle Time and Timeliness	Timeliness	Timeliness: Speed of processing Part B Life Changing Events that affect the income related adjustment amount.	99% within 2 days (FY 2007 Actual)	99% within 2 days	Actual results will be available in FY 2010
2009	Service - To deliver high-quality, citizen-centered service	Technology	Efficiency	Accessibility	Reliability and availability: Percent that Critical Systems are available	99.7% (FY 2007 Actual)	99%	Actual results will be available in FY 2010
2010	Service - To deliver high-	Customer Results	Customer Benefit	Customer Impact or	Number of customers who	N/A NEW INITIATIVE	100%	Actual results will be available

Exhibit 300: Medicare Modernization Act (Revision 9)

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	quality, citizen-centered service			Burden	received an increase in their subsidy as a result of PL110-175.			in FY 2011
2010	Service - To deliver high-quality, citizen-centered service	Customer Results	Customer Benefit	Customer Satisfaction	Percent of individuals who do business with SSA rating the overall service as "excellent," "very good," or "good"	81% - FY2007 Actuals	83%	Actual results will be available in FY 2011
2010	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Coverage	New Customers and Market Penetration	Outreach to the previously denied subsidy applicants to inform them about the changes in the factors used to determine subsidy eligibility under the new PL110-175.	N/A NEW INITIATIVE	100%	Actual results will be available in FY 2011
2010	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Coverage	New Customers and Market Penetration	Outreach to Medicare eligible customers for participation in the Medicare Savings Plan established under PL110-175	N/A NEW INITIATIVE	100%	Actual results will be available in FY 2011
2010	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Coverage	New Customers and Market Penetration	Refer customers who responded to the Outreach notice for potential eligibility for participation in the Medicare Savings Plan to the appropriate states via automated Data Exchange.	N/A NEW INITIATIVE	100%	Actual results will be available in FY 2011
2010	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Quality	Accuracy of Service or Product Delivered	Customer Satisfaction: Satisfaction rating of customers using the REVISED (as a result of PL 110-175) Internet Part D Subsidy Application	ACSI Score 88 (FY 2007 Actual)	ACSI Score 88	Actual results will be available in FY 2011
2010	Stewardship - To ensure superior stewardship of Social Security programs and resource	Mission and Business Results	Income Security	General Retirement and Disability	General Retirement and Disability: Number of Part B IRMAA Annual Verifications processed	100% Annually (FY 2007 Actual)	100% Annually	Actual results will be available in FY 2011
2010	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Cycle Time and Timeliness	Timeliness	Timeliness: speed of processing customer reported changes to income that affect IRMAA	99% within 2 days (FY2007 Actual)	99% within 2 days	Actual results will be available in FY 2011
2010	Service - To deliver high-quality, citizen-centered service	Technology	Efficiency	Accessibility	Reliability and availability: Percent that critical systems are available.	99.7% (FY 2007 Actual)	99%	Actual results will be available in FY 2011

## Section E: Security and Privacy (IT Capital Assets only)

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

For existing Mixed-Life Cycle investments where enhancement, development, and/or modernization is planned, include the investment in both the "Systems in Planning" table (Table 3) and the "Operational Systems" table (Table 4). Systems which are already operational, but have enhancement, development, and/or modernization activity, should be included in both Table 3 and Table 4. Table 3 should reflect the planned date for the system changes to be complete and operational, and the planned date for the associated C&A update. Table 4 should reflect the current status of the requirements listed. In this context, information contained within Table 3 should characterize what updates to testing and documentation will occur before implementing the enhancements; and Table 4 should characterize the current state of the materials associated with the existing system.

All systems listed in the two security tables should be identified in the privacy table. The list of systems in the "Name of System" column of the privacy table (Table 8) should match the systems listed in columns titled "Name of System" in the security tables (Tables 3 and 4). For the Privacy table, it is possible that there may not be a one-to-one ratio between the list of systems and the related privacy documents. For example, one PIA could cover multiple systems. If this is the case, a working link to the PIA may be listed in column (d) of the privacy table more than once (for each system covered by the PIA).

The questions asking whether there is a PIA which covers the system and whether a SORN is required for the system are discrete from the narrative fields. The narrative column provides an opportunity for free text explanation why a working link is not provided. For example, a SORN may be required for the system, but the system is not yet operational. In this circumstance, answer "yes" for column (e) and in the narrative in column (f), explain that because the system is not operational the SORN is not yet required to be published.

Please respond to the questions below and verify the system owner took the following actions:

**1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment?:**

Yes

**a. If "yes," provide the "Percentage IT Security" for the budget year:**

80.09

**2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment?**

Yes

**3. Systems in Planning and Undergoing Enhancement(s), Development, and/or Modernization - Security Table(s):**

Name of System	Agency/ or Contractor Operated System?	Planned Operational Date	Date of Planned C&A update (for existing mixed life cycle systems) or Planned Completion Date (for new systems)
Title II System	Government Only	8/31/2009	8/31/2009

**4. Operational Systems - Security Table:**

Name of System	Agency/ or Contractor Operated System?	NIST FIPS 199 Risk Impact level (High, Moderate, Low)	Has C&A been Completed, using NIST 800-37? (Y/N)	Date Completed: C&A	What standards were used for the Security Controls tests? (FIPS 200/NIST 800-53, Other, N/A)	Date Completed: Security Control Testing	Date the contingency plan tested
Title II System	Government Only	Moderate	yes	9/13/2008	FIPS 200 / NIST 800-53	8/12/2008	1/12/2008

**5. Have any weaknesses, not yet remediated, related to any of the systems part of or supporting this investment been identified by the agency or IG?**

Yes

**a. If "yes," have those weaknesses been incorporated into the agency's plan of action and milestone process?**

Yes

**6. Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses?**

No

**a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.**

**7. How are contractor security procedures monitored, verified, and validated by the agency for the contractor systems above?**

This is not a contractor system.

**8. Planning & Operational Systems - Privacy Table:**

(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
Title II System	No	Yes	<a href="http://www.ssa.gov/foia/piadocuments/FY07/Medi">http://www.ssa.gov/foia/piadocuments/FY07/Medi</a>	Yes	<a href="http://a257.g.akamaitech.net/7/257/2422/01jan20">http://a257.g.akamaitech.net/7/257/2422/01jan20</a>

Exhibit 300: Medicare Modernization Act (Revision 9)

(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
			care Modernization Act (MMA) FY07.htm		061800/edocket.access.gpo.gov/2006/pdf/E6-11782.pdf [SOR 60-0321 - Medicare Database File; 71 F.R. 42161, July 25, 2006]

**Details for Text Options:**  
 Column (d): If yes to (c), provide the link(s) to the publicly posted PIA(s) with which this system is associated. If no to (c), provide an explanation why the PIA has not been publicly posted or why the PIA has not been conducted.  
 Column (f): If yes to (e), provide the link(s) to where the current and up to date SORN(s) is published in the federal register. If no to (e), provide an explanation why the SORN has not been published or why there isn't a current and up to date SORN.  
 Note: Working links must be provided to specific documents not general privacy websites. Non-working links will be considered as a blank field.

## Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

**1. Is this investment included in your agency's target enterprise architecture?**

Yes

a. If "no," please explain why?

**2. Is this investment included in the agency's EA Transition Strategy?**

Yes

a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

Medicare

b. If "no," please explain why?

**3. Is this investment identified in a completed and approved segment architecture?**

Yes

a. If "yes," provide the six digit code corresponding to the agency segment architecture. The segment architecture codes are maintained by the agency Chief Architect. For detailed guidance regarding segment architecture codes, please refer to <http://www.egov.gov>.

006-000

**4. Service Component Reference Model (SRM) Table:**

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
Connect: Direct	Connect: Direct automates the secure movement of large volumes of data between distributed applications within and between enterprises.	Back Office Services	Data Management	Data Exchange	Data Exchange	016-00-02-00-01-2210-00	Internal	0
RAID	Redundant Array of Independent Disks (RAID) is a category of disk drives that employ two or more drives in combination for fault tolerance and performance.	Back Office Services	Data Management	Data Recovery	Data Recovery	016-00-02-00-01-2210-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder	Back Office Services	Data Management	Loading and Archiving	Loading and Archiving	016-00-02-00-01-2210-00	Internal	0

Exhibit 300: Medicare Modernization Act (Revision 9)

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	(EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.							
ORS	The Online Retrieval System (ORS) provides the ability to view any notice that has been sent to a customer. ORS also stores the notices in an exact image of the original, thus allowing SSA to adhere to Federal regulations on retention of documents, and move closer to an efficient, paperless environment.	Back Office Services	Data Management	Loading and Archiving	Loading and Archiving	016-00-01-02-02-2130-00	Internal	0
DRMS	Data Resource Management System - It is a tool for designers, analysts, and programmers to use during the various phases of the Software Life Cycle. The DRMS is used to maintain data integrity. It supports programmers working with both CICS and Data Base Architecture applications.	Back Office Services	Data Management	Meta Data Management	Meta Data Management	016-00-03-00-02-2133-00	Internal	0
AIF, ICDB	The AIF (Application Interface Facility) is a common interface between SSA's application programs and various Database Management Systems such as IDMS and MADAM. Integrated Client Database (ICDB) is a data access utility containing common data elements from participating systems.	Back Office Services	Development and Integration	Data Integration	Data Integration	016-00-03-00-02-2133-00	Internal	0
AIF, JWICS	The AIF (Application Interface Facility) is a common interface between SSA's application programs and various Database	Back Office Services	Development and Integration	Legacy Integration	Legacy Integration	016-00-03-00-02-2133-00	Internal	0

Exhibit 300: Medicare Modernization Act (Revision 9)

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	Management Systems such as IDMS and MADAM. Java Websphere Initiated CICS Servers (JWICS) is a set of Java Classes and resources files which allow remote procedure call communication between a Java Websphere Application Server Client and a CICS Cobol Server program.							
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Business Analytical Services	Visualization	Imagery	Imagery	016-00-02-00-01-2210-00	Internal	0
PolicyNet	PolicyNet is an Intranet application that provides collaborative authoring of policy, creation and maintenance of a Policy Repository, posting of questions and answers, improved policy dissemination, identification of "hotspots" or the need for policy clarification, and a single, improved, natural language search engine.	Business Management Services	Management of Processes	Business Rule Management	Business Rule Management	016-00-01-02-02-2130-00	Internal	0
PolicyNet	PolicyNet is an Intranet application that provides collaborative authoring of policy, creation and maintenance of a Policy Repository, posting of questions and answers, improved policy dissemination, identification of "hotspots" or the need for policy clarification, and a single, improved, natural language search engine.	Business Management Services	Management of Processes	Change Management	Change Management	016-00-01-02-02-2130-00	Internal	0
MKS, Endeavor	Mortice Kern Systems (MKS) Source Integrity Enterprise (SIE)	Business Management Services	Management of Processes	Change Management	Change Management	016-00-03-00-02-2133-00	Internal	0

Exhibit 300: Medicare Modernization Act (Revision 9)

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	is a comprehensive, project-oriented, software configuration management (SCM) tool that provides version control over changes to application software. Endeavor is an integrated set of management tools that is used to control and monitor application development and production implementation processes.							
PolicyNet	PolicyNet is an Intranet application that provides collaborative authoring of policy, creation and maintenance of a Policy Repository, posting of questions and answers, improved policy dissemination, identification of "hotspots" or the need for policy clarification, and a single, improved, natural language search engine.	Business Management Services	Management of Processes	Governance / Policy Management	Governance / Policy Management	016-00-01-02-02-2130-00	Internal	0
ISBA	Internet Social Security Benefit Application (ISBA) is an electronic application used to apply for Social Security retirement, disability or spouse's benefits, or any combination of those benefits over the Internet.	Customer Services	Customer Preferences	Alerts and Notifications	Alerts and Notifications	016-00-01-02-02-2130-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Digital Asset Services	Content Management	Tagging and Aggregation	Tagging and Aggregation	016-00-02-00-01-2210-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold	Digital Asset Services	Document Management	Classification	Classification	016-00-02-00-01-2210-00	Internal	0

Exhibit 300: Medicare Modernization Act (Revision 9)

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	images, documents, and forms that previously have been housed in paper folders.							
FECS	The Front-End Capture System (FECS) is the software used to provide the front-end capture capabilities needed to process unstructured data.	Digital Asset Services	Document Management	Document Imaging and OCR	Document Imaging and OCR	016-00-02-00-01-2210-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Digital Asset Services	Document Management	Document Revisions	Document Revisions	016-00-02-00-01-2210-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Digital Asset Services	Document Management	Indexing	Indexing	016-00-02-00-01-2210-00	Internal	0
ORS	The Online Retrieval System (ORS) provides the ability to view any notice that has been sent to a customer. ORS also stores the notices in an exact image of the original, thus allowing SSA to adhere to Federal regulations on retention of documents, and move closer to an efficient, paperless environment.	Digital Asset Services	Document Management	Indexing	Indexing	016-00-01-02-02-2130-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Digital Asset Services	Document Management	Library / Storage	Library / Storage	016-00-02-00-01-2210-00	Internal	0
ORS	The Online	Digital Asset	Document	Library / Storage	Library / Storage	016-00-01-02-	Internal	0

Exhibit 300: Medicare Modernization Act (Revision 9)

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	Retrieval System (ORS) provides the ability to view any notice that has been sent to a customer. ORS also stores the notices in an exact image of the original, thus allowing SSA to adhere to Federal regulations on retention of documents, and move closer to an efficient, paperless environment.	Services	Management			02-2130-00		
DRMS	Data Resource Management System - It is a tool for designers, analysts, and programmers to use during the various phases of the Software Life Cycle. The DRMS is used to maintain data integrity. It supports programmers working with both CICS and Data Base Architecture applications.	Digital Asset Services	Knowledge Management	Categorization	Categorization	016-00-03-00-02-2133-00	Internal	0
MMA Data Retrieval	MMA Data Retrieval is a tool to provide common access to the Medicare Database.	Digital Asset Services	Knowledge Management	Information Retrieval	Information Retrieval	016-00-01-02-02-2130-00	Internal	0
MADAM	The Master File Data Access Method (MADAM) is an in-house access method designed to access SSA's major master records.	Digital Asset Services	Knowledge Management	Information Retrieval	Information Retrieval	016-00-03-00-02-2133-00	Internal	0
WSAD, CICS Enqueue/Dequeue	WebSphere Studio Application Developer (WSAD) is used for developing, testing, and debugging EJBs, Java Beans, JSPs, and servlets within the WebSphere Test Environment (WTE). CICS enqueue is a programming mechanism that allows a program to have exclusive control over a resource until a dequeue is issued. Enqueues enable programs to single thread the access of a	Digital Asset Services	Knowledge Management	Information Sharing	Information Sharing	016-00-03-00-02-2133-00	Internal	0

Exhibit 300: Medicare Modernization Act (Revision 9)

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	resource, such as a master record on a file.							
FECS	The Front-End Capture System (FECS) is the software used to provide the front-end capture capabilities needed to process unstructured data.	Digital Asset Services	Knowledge Management	Knowledge Capture	Knowledge Capture	016-00-02-00-01-2210-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Digital Asset Services	Records Management	Document Classification	Document Classification	016-00-02-00-01-2210-00	Internal	0
CFRMS	The Claim File Records Management System (CFRMS) provides a consolidated view of the electronic claims file for the purpose of records and content management.	Digital Asset Services	Records Management	Document Retirement	Document Retirement	016-00-01-02-02-2130-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Support Services	Collaboration	Document Library	Document Library	016-00-02-00-01-2210-00	Internal	0
ORS	The Online Retrieval System (ORS) provides the ability to view any notice that has been sent to a customer. ORS also stores the notices in an exact image of the original, thus allowing SSA to adhere to Federal regulations on retention of documents, and move closer to an efficient, paperless environment.	Support Services	Collaboration	Document Library	Document Library	016-00-01-02-02-2130-00	Internal	0
S/MIME, eTrust, Top Secret, iESI	S/MIME is a public key encryption protocol for securely sending Multi-purpose	Support Services	Security Management	Access Control	Access Control	016-00-02-00-01-2210-00	Internal	0

Exhibit 300: Medicare Modernization Act (Revision 9)

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	Internet Mail Extension (MIME) attachments. eTrust SSO provides internal SSA end users a login option (leveraging Microsoft Active Directory login) that allows them to more effectively manage UserIDs and passwords for multiple applications (Internet, Intranet and/or CISC). TOP SECRET is the security software running on all of SSA's mainframe systems. iESI is Internet/Intranet Enterprise Security Interface.							
ATS	The purpose of the Audit Trail System (ATS) is to provide an effective tool to deter, detect, investigate and prosecute instances of fraud and abuse.	Support Services	Security Management	Audit Trail Capture and Analysis	Audit Trail Capture and Analysis	016-00-01-02-02-2130-00	Internal	0
Top Secret	TOP SECRET is the security software running on all of SSA's mainframe systems.	Support Services	Security Management	Identification and Authentication	Identification and Authentication	016-00-02-00-01-2210-00	Internal	0

a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

**5. Technical Reference Model (TRM) Table:**

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Data Integration	Component Framework	Business Logic	Platform Dependent Technologies	ALC
Legacy Integration	Component Framework	Business Logic	Platform Dependent Technologies	ALC
Data Integration	Component Framework	Business Logic	Platform Dependent Technologies	COBOL 3
Legacy Integration	Component Framework	Business Logic	Platform Dependent Technologies	COBOL 3
Audit Trail Capture and Analysis	Component Framework	Business Logic	Platform Dependent Technologies	COBOL 3
Indexing	Component Framework	Business Logic	Platform Dependent Technologies	COBOL 3
Alerts and Notifications	Component Framework	Business Logic	Platform Independent Technologies	Java Servlet (JSR 53)

Exhibit 300: Medicare Modernization Act (Revision 9)

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Legacy Integration	Component Framework	Business Logic	Platform Independent Technologies	Java Servlet (JSR 53)
Access Control	Component Framework	Business Logic	Platform Independent Technologies	Java Servlet (JSR 53)
Data Exchange	Component Framework	Data Interchange	Data Exchange	Resource Description Framework (RDF)
Document Retirement	Component Framework	Data Interchange	Data Exchange	Simple Object Access Protocol (SOAP)
Information Retrieval	Component Framework	Data Management	Database Connectivity	BDAM
Document Retirement	Component Framework	Data Management	Database Connectivity	DB2 Connector
Document Classification	Component Framework	Data Management	Database Connectivity	DB2 Connector
Classification	Component Framework	Data Management	Database Connectivity	DB2 Connector
Meta Data Management	Component Framework	Data Management	Database Connectivity	DB2 Connector
Categorization	Component Framework	Data Management	Database Connectivity	DB2 Connector
Loading and Archiving	Component Framework	Data Management	Database Connectivity	DB2 Connector
Indexing	Component Framework	Data Management	Database Connectivity	DB2 Connector
Library / Storage	Component Framework	Data Management	Database Connectivity	DB2 Connector
Document Classification	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Classification	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Imagery	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Tagging and Aggregation	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Document Revisions	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Document Imaging and OCR	Component Framework	Security	Supporting Security Services	Secure Multipurpose Internet Mail Extensions (S/MIME)
Alerts and Notifications	Component Framework	Security	Supporting Security Services	Tivoli Access Manager (TAM)
Information Retrieval	Component Framework	Security	Supporting Security Services	TopSecret
Meta Data Management	Component Framework	Security	Supporting Security Services	TopSecret
Categorization	Component Framework	Security	Supporting Security Services	TopSecret
Access Control	Component Framework	Security	Supporting Security Services	TopSecret
Identification and Authentication	Component Framework	Security	Supporting Security Services	TopSecret
Document Imaging and OCR	Component Framework	Security	Supporting Security Services	Transport Layer Security (TLS)
Legacy Integration	Component Framework	Security	Supporting Security Services	Transport Layer Security (TLS)
Alerts and Notifications	Component Framework	Security	Supporting Security Services	Web Services Security (WS-Security)
Document Imaging and OCR	Service Access and Delivery	Access Channels	Collaboration / Communications	Electronic Mail (E-mail)
Document Imaging and OCR	Service Access and Delivery	Access Channels	Collaboration / Communications	Facsimile (Fax)
Loading and Archiving	Service Access and Delivery	Access Channels	Other Electronic Channels	Internet Explorer
Information Retrieval	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Data Integration	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Legacy Integration	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Access Control	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Knowledge Capture	Service Access and Delivery	Access Channels	Other Electronic Channels	Web Service
Imagery	Service Access and Delivery	Access Channels	Other Electronic Channels	Web Service
Governance / Policy Management	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Knowledge Capture	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Tagging and Aggregation	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Document Revisions	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Alerts and Notifications	Service Access and Delivery	Delivery Channels	Internet	
Business Rule Management	Service Access and Delivery	Delivery Channels	Intranet	
Governance / Policy Management	Service Access and Delivery	Delivery Channels	Intranet	
Information Sharing	Service Access and Delivery	Delivery Channels	Intranet	
Access Control	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on	
Document Retirement	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Information Retrieval	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Business Rule Management	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Change Management	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Governance / Policy Management	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)

Exhibit 300: Medicare Modernization Act (Revision 9)

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Document Imaging and OCR	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Knowledge Capture	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Imagery	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Tagging and Aggregation	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Classification	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Document Revisions	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Document Classification	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Loading and Archiving	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Document Library	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Data Recovery	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Access Control	Service Access and Delivery	Service Requirements	Legislative / Compliance	Security
Identification and Authentication	Service Access and Delivery	Service Requirements	Legislative / Compliance	Security
Document Imaging and OCR	Service Access and Delivery	Service Transport	Service Transport	File Transfer Protocol (FTP)
Loading and Archiving	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Legacy Integration	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Change Management	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Loading and Archiving	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Legacy Integration	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Change Management	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Document Imaging and OCR	Service Access and Delivery	Service Transport	Supporting Network Services	Multipurpose Internet Mail Extensions (MIME)
Document Imaging and OCR	Service Access and Delivery	Service Transport	Supporting Network Services	Simple Mail Transfer Protocol (SMTP)
Audit Trail Capture and Analysis	Service Interface and Integration	Integration	Middleware	CICS
Data Integration	Service Interface and Integration	Integration	Middleware	CICS
Access Control	Service Interface and Integration	Integration	Middleware	CICS
Identification and Authentication	Service Interface and Integration	Integration	Middleware	CICS
Business Rule Management	Service Interface and Integration	Integration	Middleware	Database Access: NET8
Change Management	Service Interface and Integration	Integration	Middleware	Database Access: NET8
Governance / Policy Management	Service Interface and Integration	Integration	Middleware	Database Access: NET8
Change Management	Service Interface and Integration	Integration	Middleware	Transaction Processing Monitor
Data Exchange	Service Interface and Integration	Interface	Service Description / Interface	Application Program Interface (API) / Protocol
Information Retrieval	Service Platform and Infrastructure	Database / Storage	Database	BDAM
Imagery	Service Platform and Infrastructure	Database / Storage	Database	Content Manager
Tagging and Aggregation	Service Platform and Infrastructure	Database / Storage	Database	Content Manager
Document Revisions	Service Platform and Infrastructure	Database / Storage	Database	Content Manager
Document Classification	Service Platform and Infrastructure	Database / Storage	Database	Content Manager
Document Retirement	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Classification	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Document Classification	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Meta Data Management	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Categorization	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Data Integration	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Loading and Archiving	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Indexing	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Library / Storage	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)

Exhibit 300: Medicare Modernization Act (Revision 9)

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Data Integration	Service Platform and Infrastructure	Database / Storage	Database	IDMS
Business Rule Management	Service Platform and Infrastructure	Database / Storage	Database	Oracle
Change Management	Service Platform and Infrastructure	Database / Storage	Database	Oracle
Governance / Policy Management	Service Platform and Infrastructure	Database / Storage	Database	Oracle
Data Integration	Service Platform and Infrastructure	Database / Storage	Database	VSAM
Data Exchange	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Business Rule Management	Service Platform and Infrastructure	Delivery Servers	Web Servers	Internet Information Server (IIS)
Change Management	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	Hard Disk Drive
Data Recovery	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	Redundant Array of Independent Disks (RAID)
Document Retirement	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Information Retrieval	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Business Rule Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Change Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Governance / Policy Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Loading and Archiving	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Classification	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Document Classification	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Document Revisions	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Tagging and Aggregation	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Imagery	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Meta Data Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Categorization	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Indexing	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Library / Storage	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Document Library	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Document Imaging and OCR	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Scanner
Knowledge Capture	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server
Change Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server
Access Control	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server
Document Retirement	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Classification	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Data Integration	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Legacy Integration	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Audit Trail Capture and Analysis	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Change Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Document Library	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Change Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Version Management
Business Rule Management	Service Platform and Infrastructure	Support Platforms	Dependent Platform	Windows .Net

Exhibit 300: Medicare Modernization Act (Revision 9)

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
	Infrastructure			
Imagery	Service Platform and Infrastructure	Support Platforms	Independent Platform	Java 2 Platform Enterprise Edition (J2EE)
Tagging and Aggregation	Service Platform and Infrastructure	Support Platforms	Independent Platform	Java 2 Platform Enterprise Edition (J2EE)
Document Revisions	Service Platform and Infrastructure	Support Platforms	Independent Platform	Java 2 Platform Enterprise Edition (J2EE)
Document Classification	Service Platform and Infrastructure	Support Platforms	Independent Platform	Java 2 Platform Enterprise Edition (J2EE)
Legacy Integration	Service Platform and Infrastructure	Support Platforms	Independent Platform	Java 2 Platform Enterprise Edition (J2EE)
Access Control	Service Platform and Infrastructure	Support Platforms	Independent Platform	Java 2 Platform Enterprise Edition (J2EE)

a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

**6. Will the application leverage existing components and/or applications across the Government (i.e., USA.gov, Pay.Gov, etc)?**

No

a. If "yes," please describe.

**Exhibit 300: Part II: Planning, Acquisition and Performance Information**

**Section A: Alternatives Analysis (All Capital Assets)**

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above. In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

**1. Did you conduct an alternatives analysis for this project?**

Yes

**a. If "yes," provide the date the analysis was completed?**

8/13/2008

**b. If "no," what is the anticipated date this analysis will be completed?**

**c. If no analysis is planned, please briefly explain why:**

**2. Alternative Analysis Results:**

Use the results of your alternatives analysis to complete the following table:

\* Costs in millions

Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
1 - Modify Existing MMA System Processes to Implement Legislative Changes	Existing MMA System software and processes will be reused and expanded upon to streamline the work effort needed to support the legislation. Experienced personnel would be used to develop and implement the legislation.	132.326	7800
2 - Expand the current Title II System Processes to include New MMA Legislative Changes	Modify the existing Title II System processes and integrate only this piece of the Medicare legislation. This would require new software, processes, and databases. Title II processing would change to access/process data relevant to the legislation implementation.	161.694	7800
3 - Build a New System to Implement Legislative Changes	Build an entirely new system apart from the current MMA system that will include new software, processes, and databases to implement the required legislative changes. Hire additional personnel to develop and support this work effort.	343.857	7800
4 - Status Quo	The existing Medicare System would be used to attempt to process any new Legislation, modifications, or existing production problems. No additional modifications will be made to the existing Medicare System.	45.7468	0

**3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen?**

Alternative 1 was chosen because it was the most viable in terms of meeting the delivery dates mandated by legislation. This alternative builds on existing, proven software which will expedite the delivery of the finished product. Building on proven software should result in a final product that is more accurate than a 'built from scratch' product. Additional benefits will be gained with this alternative because it allows for the lowest number of resources used. The personnel that will be used to implement this legislation are already familiar with the Medicare Low Income Subsidy process and will need minimal time to understand the changes that are required to support the legislation. The delivery and resource benefits will result in substantial cost savings to the agency. These factors contribute to determining that this alternative is the one with the least risks and greatest potential success.

The benefits identified in the Alternative Analysis above, are the benefits from the additional entitlements to the customers as identified by the Congressional Budget Office Cost Estimate document dated July 23, 2008, which is associated with the passage of PL 110-275.

a. What year will the investment breakeven? (Specifically, when the budgeted costs savings exceed the cumulative costs.)

Beyond 2021

4. What specific qualitative benefits will be realized?

This investment is of a nature that the financial Return On Investment (ROI) is not, was not, the driving factor as there is no discernable breakeven point. At the suggestion of OMB, the breakeven year 2000 was selected for those investments in which no breakeven is actually expected, since the question above cannot be left blank.

The implementation of this legislation will enable more of our beneficiaries to qualify for a prescription drug subsidy resulting in lower drug costs to them. The implementation of this legislation via Alternative 1 will enable more of our beneficiaries to qualify for a prescription drug subsidy resulting in lower drug costs to them. In addition, we will aid our beneficiaries by capturing their interest in Medicare Savings Programs (MSP) and relaying that information to the states. SSA's exchange of data with the states will result in protected filing dates for the applicants.

Alternative 1 will enable SSA to provide better customer service by sending outreach notices to all beneficiaries that have been previously denied a subsidy. This notice will explain the provisions of the new legislation and enable the beneficiary to re-apply for a subsidy that could result in drug cost savings to them. In addition, Alternative 1 will allow SSA to send outreach notices to beneficiaries to inform them about Medicare Savings Programs (MSP). These notices will give them the option of returning the notice to SSA which will result in the beneficiary receiving a protected filing date for the MSP.

When SSA implements this legislation, SSA will be supporting it's strategic goal of delivering high-quality, citizen-centered service.

**5. Federal Quantitative Benefits**

What specific quantitative benefits will be realized (using current dollars) Use the results of your alternatives analysis to complete the following table:

	Budgeted Cost Savings	Cost Avoidance	Justification for Budgeted Cost Savings	Justification for Budgeted Cost Avoidance
PY - 1 2007 & Prior	0	57.7071		Medicare Legislation for Part D prescription drugs and Part B premiums
PY 2008	0	100		The implementation of Public Law 110-275
CY 2009	0	400		The implementation of Public Law 110-275
BY 2010	0	200		The implementation of Public Law 110-275
BY + 1 2011				The implementation of Public Law 110-275
BY + 2 2012				The implementation of Public Law 110-275
BY + 3 2013				The implementation of Public Law 110-275
BY + 4 2014 & Beyond				The implementation of Public Law 110-275
Total LCC Benefit			LCC = Life-cycle Cost	

**6. Will the selected alternative replace a legacy system in-part or in-whole?**

No

a. If "yes," are the migration costs associated with the migration to the selected alternative included in this investment, the legacy investment, or in a separate migration investment?

b. If "yes," please provide the following information:

**5b. List of Legacy Investment or Systems**

Name of the Legacy Investment or Systems	UPI if available	Date of the System Retirement
--	------------------	-------------------------------

## Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

**1. Does the investment have a Risk Management Plan?**

Yes

a. If "yes," what is the date of the plan?

12/31/2007

b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

No

c. If "yes," describe any significant changes:

Identification of risks associated with P.L. 110-275 will begin approximately November 2008.

**2. If there currently is no plan, will a plan be developed?**

a. If "yes," what is the planned completion date?

b. If "no," what is the strategy for managing the risks?

**3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:**

SSA's baselines are risk adjusted in terms of both life cycle schedule and resource estimates. Factors considered in determining baseline risk adjustments include: identification of known and types of unknown program and technology risks, the likelihood of occurrence, the impact in the event the risk occurs, and the mitigation strategy adopted to manage each risk. Since SSA performs IT work in-house program cost and schedule estimates are developed internally. SSA estimators have at their disposal parametric data and numerous sizing and estimating tools which offer an excellent basis to assess and account for risk.

The intent of adopting this strategy is for the program to be able to absorb inevitable risk occurrences and still achieve end cost and schedule objectives. This practice (along with our risk management policies and procedures) has to date been a successful one at SSA. Small management reserves are held at the Deputy Commissioner level in the event they are required.

## Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

**1. Does the earned value management system meet the criteria in ANSI/EIA Standard-748?**

Yes

**2. Is the CV% or SV% greater than +/- 10%? (CV% = CV/EV x 100; SV% = SV/PV x 100)**

No

a. If "yes," was it the CV or SV or both?

b. If "yes," explain the causes of the variance:

c. If "yes," describe the corrective actions:

3. Has the investment re-baselined during the past fiscal year?

No

a. If "yes," when was it approved by the agency head?

**4. Comparison of Initial Baseline and Current Approved Baseline**

Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate 'O' for any milestone no longer active.

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
		Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy)		Total Cost (\$M)		Schedule (# days)	Cost (\$M)	
				Planned	Actual	Planned	Actual			
1	TRICARE	9/1/2004	\$0.500000	9/1/2004	7/1/2005	\$0.500000	\$0.092000	0	\$0.408000	100.00%
2	Implement Interim Drug Card	12/27/2005	\$0.789000	12/27/2005	12/27/2005	\$0.789000	\$0.536000	0	\$0.253000	100.00%
3	Create Health Savings Account	1/21/2005	\$0.952000	1/21/2005	1/21/2005	\$0.952000	\$1.096000	0	-\$0.144000	100.00%
4	Create Subsidy Determination Application Processes - Internet/Intranet/WB DOC	7/1/2005	\$55.195000	7/1/2005	7/1/2005	\$55.195000	\$74.820000	0	-\$19.625000	100.00%
5	Implement Part D and Part C Premium Withholding and Create new Trust Fund and Accounting Processes	11/19/2005	\$42.115000	11/19/2005	11/19/2005	\$42.115000	\$21.527000	0	\$20.588000	100.00%
6	FY06 - Release 2.1	9/30/2006	\$0.149911	9/30/2006	7/29/2006	\$0.166800	\$0.148600	0	\$0.018200	100.00%
7	FY06 - Implement Part D and Part C Premium Withholding and Create new Trust Fund and Accounting Processes	9/30/2006	\$6.084877	9/30/2006	7/29/2006	\$6.770400	\$7.797600	0	-\$1.027200	100.00%
8	FY06 - Process Part D Passive Redets R3	9/30/2006	\$12.982400	9/30/2006	9/30/2006	\$14.445000	\$17.488300	-9	-\$3.515100	96.73%
9	FY06 - Implement Part B IRMAA Processing R4	9/30/2006	\$9.685976	9/30/2006	9/29/2006	\$10.777200	\$8.603500	-42	\$0.475300	84.24%
10	FY06 Support Activities	9/30/2006	\$17.700193	9/30/2006	9/30/2006	\$19.694300	\$22.930500	0	-\$3.236200	100.00%
11	FY06 - Business Process Changes	9/30/2006	\$5.046644	9/30/2006	9/30/2006	\$5.615200	\$0.950300	0	\$4.664900	100.00%

Exhibit 300: Medicare Modernization Act (Revision 9)

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
		Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy)		Total Cost (\$M)		Schedule (# days)	Cost (\$M)	
				Planned	Actual	Planned	Actual			
12	FY07 MMA BENDEX	3/29/2007	\$0.207427	3/29/2007	3/29/2007	\$0.129100	\$0.203400	0	-\$0.074300	100.00%
13	FY07 Process Part D Passive Redets R3	12/29/2006	\$0.731860	12/29/2006	12/29/2006	\$0.455500	\$0.595100	0	-\$0.139600	100.00%
14	FY07 Implement Part B IRMAA Premium R4	5/31/2007	\$6.255594	5/31/2007	7/30/2007	\$3.893400	\$5.987700	0	-\$2.094300	100.00%
15	FY07 - MMA Release 5.0	9/28/2007	\$12.730665	9/28/2007	9/28/2007	\$7.923400	\$7.504400	0	\$0.419000	100.00%
16	MMA Support Activities FY07	9/28/2007	\$25.873454	9/28/2007	9/28/2007	\$15.930700	\$11.499900	0	\$4.430800	100.00%
17	FY08 MMA Health Savings Account (HAS) Maint	9/30/2008	\$2.074527	9/30/2008	7/25/2008	\$1.171000	\$1.202600	0	-\$0.031600	100.00%
18	FY08 MMA Requirements	9/30/2008	\$1.867783	9/30/2008	7/25/2008	\$1.054300	\$0.834400	0	\$0.219900	100.00%
19	FY08 MMA Design	9/30/2008	\$0.601099	9/30/2008	7/25/2008	\$0.339300	\$0.267300	0	\$0.072000	100.00%
20	FY08 MMA Development	9/30/2008	\$2.373748	9/30/2008	7/25/2008	\$1.339900	\$1.340200	0	-\$0.000300	100.00%
21	FY08 MMA Validation	9/30/2008	\$6.455127	9/30/2008		\$3.643700	\$3.380900	1	-\$0.186500	87.67%
22	FY08 MMA Testing	9/30/2008	\$0.245187	9/30/2008	7/25/2008	\$0.138400	\$0.085400	0	\$0.053000	100.00%
23	FY08 MMA Training	9/30/2008	\$0.028877	9/30/2008	7/25/2008	\$0.016300	\$0.000000	0	\$0.016300	100.00%
24	FY08 MMA Implementation and Production	9/30/2008	\$0.073521	9/30/2008	7/25/2008	\$0.041500	\$0.023400	0	\$0.018100	100.00%
25	FY08 MMA Post Implementation Review	9/30/2008	\$0.295678	9/30/2008	7/25/2008	\$0.166900	\$0.090500	0	\$0.076400	100.00%
26	FY08 MMA Support Activities	9/30/2008	\$12.265043	9/30/2008		\$6.923200	\$3.959100	0	\$1.449300	78.12%
27	FY09 MMA	9/30/2009	\$18.939412	9/30/2009		\$20.694258				0.00%
27.1	Planning and Analysis	9/30/2009	\$1.367939	9/30/2009		\$1.537645				0.00%
27.1.1	Medicare Part D Enhancements	9/30/2009	\$0.164106	9/30/2009		\$0.165669				0.00%
27.1.2	Premium HI State Buy-in Fixes	9/30/2009	\$0.022272	9/30/2009		\$0.022484				0.00%
27.1.3	MMA Legislation Enhancements	9/30/2009	\$1.181561	9/30/2009		\$1.349492				0.00%
27.2	Development	9/30/2009	\$12.305584	9/30/2009		\$13.832888				0.00%
27.2.1	Medicare Part D Enhancements	9/30/2009	\$1.476952	9/30/2009		\$1.491021				0.00%
27.2.2	Premium HI State Buy-in Fixes	9/30/2009	\$0.194582	9/30/2009		\$0.196436				0.00%
27.2.3	MMA Legislation Enhancements	9/30/2009	\$10.634050	9/30/2009		\$12.145431				0.00%
27.3	Maintenance	9/30/2009	\$5.265889	9/30/2009		\$5.323725				0.00%
27.3.1	MMA Maintenance	9/30/2009	\$5.064273	9/30/2009		\$5.120189				0.00%
27.3.2	Medicare Health Savings Account	9/30/2009	\$0.201616	9/30/2009		\$0.203536				0.00%

Exhibit 300: Medicare Modernization Act (Revision 9)

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
		Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy)		Total Cost (\$M)		Schedule (# days)	Cost (\$M)	
				Planned	Actual	Planned	Actual			
28	FY10 MMA Planning Package w/hw refresh	9/30/2010	\$24.041001	9/30/2010		\$13.747023			0.00%	
28.1	Planning and Analysis	9/30/2010	\$1.549083	9/30/2010		\$0.826609			0.00%	
28.1.1	Medicare Part D Enhancements	9/30/2010	\$0.240541	9/30/2010		\$0.152228			0.00%	
28.1.2	MMA Legislation Enhancements	9/30/2010	\$1.308542	9/30/2010		\$0.674381			0.00%	
28.2	Development	9/30/2010	\$13.921854	9/30/2010		\$7.426897			0.00%	
28.2.1	Medicare Part D Enhancements	9/30/2010	\$2.144984	9/30/2010		\$1.357465			0.00%	
28.2.2	MMA Legislation Enhancements	9/30/2010	\$11.776870	9/30/2010		\$6.069432			0.00%	
28.3	Maintenance	9/30/2010	\$8.570064	9/30/2010		\$5.493517			0.00%	
28.3.1	MMA Maintenance	9/30/2010	\$8.235139	9/30/2010		\$5.278555			0.00%	
28.3.2	Medicare Health Savings Account	9/30/2010	\$0.334925	9/30/2010		\$0.214962			0.00%	
29	FY11 MMA Planning Package	9/30/2011		9/29/2011					0.00%	
30	FY12 MMA Planning Package	9/30/2012		9/29/2012					0.00%	
31	FY13 MMA Planning Package	9/30/9013		9/30/2013					0.00%	
<b>Project Totals</b>		<b>9/30/9013</b>		<b>9/30/2013</b>	<b>7/25/2008</b>				<b>69.80%</b>	