Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission:
9/8/2008
2. Agency:
Social Security Administration
3. Bureau:
Systems
4. Name of this Capital Asset:
SUMS/MCAS
5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.)
016-00-01-01-01-2035-00
6. What kind of investment will this be in FY 2010? (Please NOTE: Investments moving to O&M in FY 2010, with Planning/Acquisition activities prior to FY 2010 should not select O&M. These investments should indicate their current status.)
Mixed Life Cycle
7. What was the first budget year this investment was submitted to OMB?
FY2004
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:
The work of SSA is complex and highly important to the welfare and economic well being of the nation. SSA provides critical income and medical coverage to aged and disabled individuals. SSA provides these services through a network of offices, central processing facilities, State agencies, telephone centers and the SSA web site. SSA has a complex group of systems to manage the large amount of the federal budget allocated to provide benefits and services to the public. These systems have served SSA well over the years but increasing emphasis on budget and performance integration as well as the need to respond to strategic goals led to the establishment of the SSA Unified Measurement System (SUMS)/Managerial Cost Accountability System (MCAS) project.

The SUMS/MCAS project improves the quality, consistency and accessibility of information used by managers and analysts throughout SSA to manage work and track resources. SUMS/MCAS provides access to information needed to meet strategic business needs, support process reviews and comply with government standards for cost accountability.

Prior to the SUMS/MCAS project, it was very difficult to integrate budget and performance data across the many components of the agency. SUMS/MCAS is the cornerstone of SSA's integrated budget and performance initiative. SUMS/MCAS provides better and more extensive management information, new tools and new business analysis processes to leverage the information and tools. SUMS provides managers with access to expanded information for analysis, monitoring customer service, resource allocation, and strategic decision-making. MCAS satisfies government-wide cost accountability regulations by providing full costs for SSA programs down to the office level.

SUMS/MCAS consists of a portfolio of projects over a 9 year timeline. These projects use business intelligence technology to vastly improve SSA's management information (MI) and cost accounting systems. Projects focus on improving and integrating existing MI data sources, creating new MI data sources where needed, providing workload reports at both the tactical and strategic level, improving access to customer-centric information, improving unit work time measurements, replacing the current cost accounting system and improving the current budget formulation system. This initiative is well along in progress and many benefits have already been achieved.
9. Did the Agency's Executive/Investment Committee approve this request?
Yes
a. If "yes," what was the date of this approval?
8/4/2008
10. Did the Project Manager review this Exhibit?
Yes
11. Contact information of Program/Project Manager?
Name

Phone Number

Email

a. What is the current FAC-P/PM (for civilian agencies) or DAWIA (for defense agencies) certification level of the program/project manager?
Senior/Expert/DAWIA-Level 3
b. When was the Program/Project Manager Assigned?
8/4/2008

c. What date did the Program/Project Manager receive the FAC-P/PM certification? If the certification has not been issued, what is the anticipated date for certification?
9/5/2008

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?
Yes

a. Will this investment include electronic assets (including computers)?
Yes

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)
No

1. If "yes," is an ESPC or UESC being used to help fund this investment?

2. If "yes," will this investment meet sustainable design principles?

3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment directly support one of the PMA initiatives?
Yes

If "yes," check all that apply:
Financial Performance
Budget Performance Integration

a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)
SUMS/MCAS provides more accurate & timely information to support operating, budget & strategic decisions. By re-engineering work measurement systems using modern technology, information for managing costs & performance is more accurate, reliable and timely. Local Field Office managers have better information to monitor & improve performance and allocate resources. This project fully integrates information about costs & performance to allow better oversight of the budget process.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)
Yes

a. If "yes," does this investment address a weakness found during a PART review?
Yes

b. If "yes," what is the name of the PARTed program?
10000370 - Social Security Disability Insurance

c. If "yes," what rating did the PART receive?
Moderately Effective

15. Is this investment for information technology?
Yes

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.
For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)
Level 3

17. In addition to the answer in 11(a), what project management qualifications does the Project Manager have? (per CIO Council PM Guidance)
(1) Project manager has been validated as qualified for this investment

18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4 - FY 2008 agency high risk report (per OMB Memorandum M-05-23)
No

19. Is this a financial management system?
Yes

a. If "yes," does this investment address a FFMIA compliance area?
Yes

1. If "yes," which compliance area:
Financial Management System Requirements, Federal Accounting Standards

2. If "no," what does it address?

b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52
SUMS/MCAS - The Social Security Unified Measurement System (SUMS) and Managerial Cost Accountability System (MCAS) investment will revolutionize SSA's MI and managerial accountability and control systems.

SUMS/MCAS is required by legislation and by other government-wide requirements, including many with the force of law. Specifically: Chief Financial Officers (CFO) Act (1990) - Provides for the integration and modernization of federal financial systems and requires development of reporting of cost information. Government Performance and Results Act (GPRA) (1993) - Requires development of agency strategic plans and performance goals, measurement and reporting on actual performance compared to goals. GPRA requires computation of costs and unit costs as key performance indicators, and comparison of costs with outputs and outcomes. Government Management Reform Act (GMRA) (1994) - Requires agency-wide performance and financial statements, audited statements and cost information. Federal Financial Management Information Act (FFMIA)(1996) - Mandates agencies establish financial management systems that comply with federal

standards and requirements. It directs auditors to report on compliance as part of the review of agency financial statements. Federal Accounting Standards Advisory Board and Office of Management and Budget (FASAB/OMB) standards and Chief Financial Officers Council (CFOC) and Joint Financial Management Improvement Project (JFMIP) Guidelines - Require SSA to implement a modern managerial cost accounting system that satisfies all needs at all managerial decision levels. SUMS/MCAS will provide the only source for audit-worthy actual return on investment, cost-benefit and performance information for all SSA activities, programs, goals, objectives, workloads, functions and initiatives.

20. What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)
Hardware
0.000000
Software
3.980000
Services
96.020000
Other
0.000000
21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?
N/A
22. Contact information of individual responsible for privacy related questions:
Name

Phone Number

Title
Lead Social Insurance Specialist
E-mail

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?
Yes
Question 24 must be answered by all Investments:
24. Does this investment directly support one of the GAO High Risk Areas?
No

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS)
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2008	CY 2009	BY 2010	BY+1 2011	BY+2 2012	BY+3 2013	BY+4 and beyond	Total
Planning:	7.87	0	0	0					
Acquisition:	63.956	7.006	5.485	6.458					
Subtotal Planning & Acquisition:	71.826	7.006	5.485	6.458					
Operations & Maintenance:	16.016	1.235	1.371	2.152					
TOTAL:	87.842	8.241	6.856	8.610					
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	39.887	8.364	5.033	2.397					
Number of FTE represented by Costs:	391	74	43	19					

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?
No
a. If "yes," How many and in what year?

3. If the summary of spending has changed from the FY2009 President's budget request, briefly explain those changes:

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Contracts/Task Orders Table:

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order ($M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/email)	Contracting Officer FAC-C or DAWIA Certification Level (Level 1, 2, 3, N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)
LM - SS00-05-60011 Task Order 4-310	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	0.361	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-9462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-311	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	0.449	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-9462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-432	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	0.604	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-9462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-511	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	0.488	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-9462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-520	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task	Yes	9/30/2007	9/30/2007	9/29/2008	1.002	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-9462 / michelle.burgesen@ssa.gov	Level 3	

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order ($M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/email)	Contracting Officer FAC-C or DAWIA Certification Level (Level 1, 2, 3, N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)
	Order															
LM - SS00-05-60011 Task Order 4-521	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	1.453	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-9462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order TBD	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	No	9/30/2008	9/30/2008	9/29/2011	4.325	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-9462 / michelle.burgesen@ssa.gov	Level 3	
SS00-06-40018	Time + Materials (T+M) Labor Hours	Yes	7/12/2006	7/12/2006	3/15/2009	19	No	No	No	NA	No	Yes	Burgesen, Michelle	410-965-9462 / michelle.burgesen@ssa.gov	Level 3	
SS00-06-31237	Time + Materials (T&M)	Yes	9/30/2006	9/30/2006	9/28/2009	1.855	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-9462 / michelle.burgesen@ssa.gov	Level 3	

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

SSA's earned value management (EVM) policy and implementation has been reviewed by OMB, OIG and others and deemed consistent with the intent of OMB guidance and the ANSI standards which define a compliant EVM. SSA performs the vast majority of our work in-house, and thus conducts EVM and program management at the total program level which includes both Government costs and support contracts. The inclusion of earned value in SSA contracts is based on the type of contract let, the services performed, and the date when the contract was let. When applicable per policy, earned value management requirements are applied to SSA contractors in one of two ways. The first is to require the contractor to satisfy requirements utilizing their own earned value management system (EVMS) in accordance with FAR 52.234. The second is for the contractor to provide necessary data directly into SSA's in-house EVMS.

An example of the second case is the Lockheed Martin (LM) AWSSC Task Order contract where LM provides SSA with IT labor support. AWSSC task orders are issued annually on a fixed hour and dollar basis with very detailed work scopes, deliverables and schedules. In these scenarios SSA realizes efficiency advantages by mandating that LM utilize SSA's EVMS, which includes more consolidated and consistent tracking of program level resources and lower contractor costs. SSA's IT Advisory Board allocates these contractors to projects at the same time that it allocates Federal IT employees to the same projects. This is due to the fact that these contractors work side by side with federal employees, charge to the same work break down codes and perform the same work according to SSA mandated schedules, budgets and scope agreements. SSA has an in-house, program level EVMS that produces data attributable to the component and sub-component levels, thereby enabling these contractor's efforts to be easily separately monitored. The LM AWSSC Task Order contract also has many related progress, schedule and cost monitoring tools. Finally, instead of having contractor reporting be a month behind government reporting (as the case would be if we waited for separate contractor EVM reports) this process allows for expedited time reporting.

AWSSC task orders are issued in annual fixed hour and dollar increments with very detailed work scope, deliverables and schedules.

3. Do the contracts ensure Section 508 compliance?

Yes

a. Explain why not or how this is being done?

SSA ensures that any applicable IT requirements comply with Section 508 standards. The SSA includes Section 508 contract clauses and evaluation criteria in its solicitations and contracts as appropriate and ensures during the review of technical proposals that offerers are fully compliant or as compliant as possible based on the state of the technology in the marketplace. This is accomplished through review of technical documentation as well as through actual testing of the product.

4. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements?

Yes

a. If "yes," what is the date?

9/5/2008

1. Is it Current?

Yes

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond the next President's Budget.

Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2008	Service - To deliver high-quality, citizen-centered service	Customer Results	Timeliness and Responsiveness	Delivery Time	Improve workload information using the Social Security Unified Measurement System	FY 2007 Actual - Completed 74% of Social Security Unified Measurement System projects	Complete 77% of Social Security Unified Measurement System projects	Actual results will be available in FY 2009
2008	Stewardship - To	Mission and	Information and	Information	Enhance efforts	FY 2007 Actual -	Complete	Actual results

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	ensure superior stewardship of Social Security programs and resource	Business Results	Technology Management	Management	to improve financial performance using the Managerial Cost Accountability Systems	Completed 29% of Managerial Cost Accountability Systems projects	Updates to Managerial Cost Accountability Systems projects	will be available in FY 2009
2008	Stewardship - To ensure superior stewardship of Social Security programs and resource	Processes and Activities	Financial (Processes and Activities)	Financial Management	Improve Stewardship and Accountability by providing local managers with accurate cost and productivity information.	Field Office and TeleService Center managers have cost and productivity information that is valid at their office level. They can use this information to manage and allocate resources and move work where capacity is available.	Provide Processing Center managers with productivity information that is valid at their local office level	Actual results will be available in FY 2009
2008	Service - To deliver high-quality, citizen-centered service	Technology	Information and Data	Data Reliability and Quality	Degree of compliance with enterprise architecture standards	Existing SUMS/MCAS projects are fully compliant with enterprise architecure standards as defined by the Architecture Review Board (ARB).	100% of new SUMS/MCAS projects are deemed compliant with enterprise architecure standards as a result of ARB review.	Actual results will be available in FY 2009
2009	Service - To deliver high-quality, citizen-centered service	Customer Results	Timeliness and Responsiveness	Delivery Time	Improve workload information using the Social Security Unified Measurement System	FY 2007 Actual - Completed 74% of Social Security Unified Measurement System projects	Complete 90% of Social Security Unified Measurement System projects	Actual results will be available in FY 2010
2009	Stewardship - To ensure superior stewardship of Social Security programs and resource	Mission and Business Results	Information and Technology Management	Information Management	Enhance efforts to improve financial performance using the Managerial Cost Accountability Systems	FY 2007 Actual - Completed 29% of Managerial Cost Accountability Systems projects	Complete 54% of Managerial Cost Accountability Systems projects	Actual results will be available in FY 2010
2009	Stewardship - To ensure superior stewardship of Social Security programs and resource	Processes and Activities	Financial (Processes and Activities)	Financial Management	Improve Stewardship and Accountability by providing local managers with accurate cost and productivity information.	All Regional and Field components have access to productivity information at the local office level.	Provide the Office of Disability Adjudication and Review with productivity information that is valid at their local office level.	Actual results will be available in FY 2010
2009	Service - To deliver high-quality, citizen-centered service	Technology	Information and Data	Data Reliability and Quality	Degree of compliance with enterprise architecture standards	Existing SUMS/MCAS projects are fully compliant with enterprise architecure standards as defined by the Architecture Review Board (ARB).	100% of new SUMS/MCAS projects are deemed compliant with enterprise architecure standards as a result of ARB review.	Actual results will be available in FY 2010
2010	Service - To deliver high-quality, citizen-centered service	Customer Results	Customer Benefit	Customer Satisfaction	Percent of individuals who do business with SSA rating the overall services as excellent,very good or good	All Regional and Field components have access to productivity information at the local office level.	Provide Field Offices with productivity data that is valid to the office level for 90% of the workloads.	Actual results will be available in FY 2011
2010	Service - To deliver high-quality, citizen-centered service	Mission and Business Results	Income Security	General Retirement and Disability	Percent of Social Security Number receipts processed up to the budgeted level	All Regional and Field components have access to productivity information at the local office level.	Provide Field Offices with productivity that is valid to the office level.	Actual results will be available in FY 2011

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2010	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Productivity	Efficiency	Percent of initial disability claims receipts processed by the Disability Determination Services up to the budgeted level	All Disability Determination Service Centers have access to productivity information at the office level.	Provide DDS data that is valid to the office level.	Actual results will be available in FY 2011
2010	Service - To deliver high-quality, citizen-centered service	Technology	Information and Data	Data Reliability and Quality	Degree of compliance with enterprise architecture	Existing SUMS/MCAS projects are fully compliant with enterprise architecture standards as defined by the Architecture Review Board (ARB).	100% of new SUMS/MCAS projects are deemed compliant with enterprise architecture standards as a result of ARB review.	Actual results will be available in FY 2011

Section E: Security and Privacy (IT Capital Assets only)

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

For existing Mixed-Life Cycle investments where enhancement, development, and/or modernization is planned, include the investment in both the "Systems in Planning" table (Table 3) and the "Operational Systems" table (Table 4). Systems which are already operational, but have enhancement, development, and/or modernization activity, should be included in both Table 3 and Table 4. Table 3 should reflect the planned date for the system changes to be complete and operational, and the planned date for the associated C&A update. Table 4 should reflect the current status of the requirements listed. In this context, information contained within Table 3 should characterize what updates to testing and documentation will occur before implementing the enhancements; and Table 4 should characterize the current state of the materials associated with the existing system.

All systems listed in the two security tables should be identified in the privacy table. The list of systems in the "Name of System" column of the privacy table (Table 8) should match the systems listed in columns titled "Name of System" in the security tables (Tables 3 and 4). For the Privacy table, it is possible that there may not be a one-to-one ratio between the list of systems and the related privacy documents. For example, one PIA could cover multiple systems. If this is the case, a working link to the PIA may be listed in column (d) of the privacy table more than once (for each system covered by the PIA).

The questions asking whether there is a PIA which covers the system and whether a SORN is required for the system are discrete from the narrative fields. The narrative column provides an opportunity for free text explanation why a working link is not provided. For example, a SORN may be required for the system, but the system is not yet operational. In this circumstance, answer "yes" for column (e) and in the narrative in column (f), explain that because the system is not operational the SORN is not yet required to be published.

Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment?:

Yes

a. If "yes," provide the "Percentage IT Security" for the budget year:

2.92

2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment?

Yes

3. Systems in Planning and Undergoing Enhancement(s), Development, and/or Modernization - Security Table(s):

Name of System	Agency/ or Contractor Operated System?	Planned Operational Date	Date of Planned C&A update (for existing mixed life cycle systems) or Planned Completion Date (for new systems)
Social Security Unified Measurement System	Government Only	7/20/2010	7/20/2010

4. Operational Systems - Security Table:

Name of System	Agency/ or Contractor Operated System?	NIST FIPS 199 Risk Impact level (High, Moderate, Low)	Has C&A been Completed, using NIST 800-37? (Y/N)	Date Completed: C&A	What standards were used for the Security Controls tests? (FIPS 200/NIST 800-53, Other, N/A)	Date Completed: Security Control Testing	Date the contingency plan tested
Social Security Unified Measurement System	Government Only	Low	yes	8/20/2007	FIPS 200 / NIST 800-53	6/25/2008	1/12/2008

5. Have any weaknesses, not yet remediated, related to any of the systems part of or supporting this investment been identified by the agency or IG?
Yes

a. If "yes," have those weaknesses been incorporated into the agency's plan of action and milestone process?
Yes

6. Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses?
No

a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.

7. How are contractor security procedures monitored, verified, and validated by the agency for the contractor systems above?
This is not a contractor system.

8. Planning & Operational Systems - Privacy Table:

(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
SUMS/MCAS	No	Yes	http://www.socialsecurity.gov/foia/piadocuments/FY08/PIA for SUMS-MCAS 1-11-08.htm	Yes	http://a257.g.akamaitech.net/7/257/2422/01jan20081800/edocket.access.gpo.gov/2008/E8-1674.htm [SOR 60-0371 - Social Security Administration Unified Measurement System/Managerial Cost Accountability (SUMS/MCAS); 73 F.R. 5620, January 30, 2008]

Details for Text Options:
Column (d): If yes to (c), provide the link(s) to the publicly posted PIA(s) with which this system is associated. If no to (c), provide an explanation why the PIA has not been publicly posted or why the PIA has not been conducted.

Column (f): If yes to (e), provide the link(s) to where the current and up to date SORN(s) is published in the federal register. If no to (e), provide an explanation why the SORN has not been published or why there isn't a current and up to date SORN.

Note: Working links must be provided to specific documents not general privacy websites. Non-working links will be considered as a blank field.

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?
Yes

a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy?
Yes

a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.
SUMS/MCAS

b. If "no," please explain why?

3. Is this investment identified in a completed and approved segment architecture?
Yes

a. If "yes," provide the six digit code corresponding to the agency segment architecture. The segment architecture codes are maintained by the agency Chief Architect. For detailed guidance regarding segment architecture codes, please refer to http://www.egov.gov.

004-000

4. Service Component Reference Model (SRM) Table:

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
Ab Initio	Ab Initio software is a suite of products that together provide a platform for high performance, highly flexible, and highly robust data processing applications. It is the ETL development tool for SSA.	Back Office Services	Data Management	Data Cleansing	Data Cleansing		Internal	4
Korn Shell	Korn Shell is an interactive command language that provides access to the UNIX system and to many other systems, on the many different computers and workstations on which it is implemented.	Back Office Services	Data Management	Data Mart	Data Mart	016-00-03-00-02-2133-00	Internal	0
RAID	Redundant Array of Independent Disks. This disk subsystem architecture uses multiple hard drives to write data to achieving redundancy and enhancing fault resilience.	Back Office Services	Data Management	Data Recovery	Data Recovery	016-00-02-00-01-2210-00	Internal	0
Ab Initio	Ab Initio software is a suite of products that together provide a platform for high performance, highly flexible, and highly robust data processing applications. It is the ETL development tool for SSA.	Back Office Services	Data Management	Extraction and Transformation	Extraction and Transformation		Internal	4
DRMS	Data Resource Management System - It is a tool for designers, analysts, and programmers to use during the various phases of the Software Life Cycle. The DRMS is used to maintain data integrity. It supports programmers working with both CICS and	Back Office Services	Data Management	Meta Data Management	Meta Data Management	016-00-03-00-02-2133-00	Internal	0

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	Data Base Architecture applications.							
CA Repository	Computer Associates repository for metadata management.	Back Office Services	Data Management	Meta Data Management	Meta Data Management	016-00-02-00-01-2210-00	Internal	0
SUMS	The Social Security Unified Measurement System (SUMS) will, when fully implemented, provide work measurement data for all workloads processed throughout SSA. The data will be available on demand through a user-friendly graphical interface. Under SUMS, both workload counts and employee time will be captured consistently regardless of where the work is performed.	Business Analytical Services	Reporting	Standardized / Canned	Standardized / Canned		Internal	1
Endevor	Endevor is an integrated set of management tools that is used to control and monitor application development and production implementation processes.	Business Management Services	Management of Processes	Change Management	Change Management	016-00-03-00-02-2133-00	Internal	0
QA2	QA2 enforces the completion of an System Release Certification through its interface with the online and batch release processes.	Business Management Services	Management of Processes	Configuration Management	Configuration Management	016-00-03-00-02-2133-00	Internal	0
DRMS	Data Resource Management System - It is a tool for designers, analysts, and programmers to use during the various phases of the Software Life Cycle. The DRMS is used to maintain data integrity. It supports programmers working with both CICS and Data Base Architecture applications.	Digital Asset Services	Knowledge Management	Categorization	Categorization	016-00-03-00-02-2133-00	Internal	0
eTrust, Top Secret	eTrust SSO provides internal SSA end users a login option (leveraging Microsoft Active Directory login) that allows them to more	Support Services	Security Management	Access Control	Access Control	016-00-02-00-01-2210-00	Internal	0

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	effectively manage UserIDs and passwords for multiple applications (Internet, Intranet and/or CISC) - each one with unique sign-on requirements. TOP SECRET is the security software running on all of SSA's mainframe systems.							
Top Secret	TOP SECRET is the security software running on all of SSA's mainframe systems.	Support Services	Security Management	Identification and Authentication	Identification and Authentication	016-00-02-00-01-2210-00	Internal	0

a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

5. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Access Control	Component Framework	Business Logic	Platform Dependent Technologies	Java Servlet (JSR 53)
Configuration Management	Component Framework	Business Logic	Platform Dependent Technologies	Visual Basic .Net (VB.Net)
Configuration Management	Component Framework	Data Management	Database Connectivity	Active Data Objects .Net (ADO.Net)
Meta Data Management	Component Framework	Data Management	Database Connectivity	DB2 Connector
Categorization	Component Framework	Data Management	Database Connectivity	DB2 Connector
Data Cleansing	Component Framework	Data Management	Database Connectivity	Open Database Connectivity (ODBC)
Extraction and Transformation	Component Framework	Data Management	Database Connectivity	Open Database Connectivity (ODBC)
Data Mart	Component Framework	Data Management	Database Connectivity	Open Database Connectivity (ODBC)
Configuration Management	Component Framework	Data Management	Database Connectivity	Open Database Connectivity (ODBC)
Meta Data Management	Component Framework	Security	Supporting Security Services	TopSecret
Categorization	Component Framework	Security	Supporting Security Services	TopSecret
Access Control	Component Framework	Security	Supporting Security Services	TopSecret
Identification and Authentication	Component Framework	Security	Supporting Security Services	TopSecret
Configuration Management	Component Framework	User Presentation / Interface	Dynamic Server-Side Display	Active Server Pages .Net (ASP.Net)
Standardized / Canned	Component Framework	User Presentation / Interface	Static Display	SUMS
Access Control	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Access Control	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on	
Data Cleansing	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Extraction and Transformation	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Meta Data Management	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Categorization	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Access Control	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Data Mart	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Configuration Management	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Data Recovery	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Identification and Authentication	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Access Control	Service Access and Delivery	Service Requirements	Legislative / Compliance	Security
Identification and Authentication	Service Access and Delivery	Service Requirements	Legislative / Compliance	Security
Identification and Authentication	Service Interface and Integration	Integration	Middleware	CICS
Change Management	Service Interface and Integration	Integration	Middleware	Transaction Processing Monitor
Data Cleansing	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Extraction and Transformation	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Categorization	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Meta Data Management	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Data Cleansing	Service Platform and Infrastructure	Database / Storage	Database	Oracle
Extraction and Transformation	Service Platform and Infrastructure	Database / Storage	Database	Oracle
Data Mart	Service Platform and Infrastructure	Database / Storage	Database	Oracle
Data Cleansing	Service Platform and Infrastructure	Database / Storage	Database	VSAM
Extraction and Transformation	Service Platform and Infrastructure	Database / Storage	Database	VSAM
Data Recovery	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	Redundant Array of Independent Disks (RAID)
Data Cleansing	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Extraction and Transformation	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Meta Data Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Categorization	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Change Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Data Mart	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Identification and Authentication	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Data Cleansing	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server
Extraction and Transformation	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server
Access Control	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server
Data Cleansing	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Extraction and Transformation	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Change Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Data Mart	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Identification and Authentication	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Change Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Version Management
Access Control	Service Platform and Infrastructure	Support Platforms	Independent Platform	Java 2 Platform Enterprise Edition (J2EE)

a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

6. Will the application leverage existing components and/or applications across the Government (i.e., USA.gov, Pay.Gov, etc)?

No

a. If "yes," please describe.

Exhibit 300: Part II: Planning, Acquisition and Performance Information

Section A: Alternatives Analysis (All Capital Assets)

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.
In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project?

Yes

a. If "yes," provide the date the analysis was completed?

8/29/2008

b. If "no," what is the anticipated date this analysis will be completed?

c. If no analysis is planned, please briefly explain why:

2. Alternative Analysis Results:

Use the results of your alternatives analysis to complete the following table:
* Costs in millions

Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
Alternative 0 - Status Quo	Continue the development of MI data warehouse using the current Operational Data Store (ODS) and Unit Of Work (UOW) architecture. Use the current mix of government employees and contractors.	179.287	230.549
Alternative 1-Contract out all remaining work	Contract all work out to a contractor with the only government involvement being contract oversight	208.263	230.549
Alternative 2 - Continue doing the data warehouse and report work using the current resource structure but have all ODS and UOW work performed by a contractor.	Continue doing the data warehouse and report work using the current resource structure but have all ODS and UOW work performed by a contractor.	225.489	230.549
Alternative 3 - Stop Work on ODS's and have data go directly from current sources to DW (using current resource structure)	Rearchitecture to allow movement of data from production systems directly to data warehouse. This would require an increase to the number of workyears compensate for the learning curves on each of the workloads. The expertise needed to do this type of analysis, requirements and development would require extensive training. We would want that expertise to remain with government empoyees.	221.663	230.549

3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen?

Alternative 0 - Status Quo was selected because it provides:

- the continued use of current enterprise architecture,

- requires the least amount of knowledge transfer required when contractors have completed their contract,
- is least disruptive to existing administrative processing applications,
- offers the most significant long-term benefits to SSA as listed below.

Since all alternatives would close the Agency gap that SUMS/MCAS is responsible for, all alternatives have the same risk adjusted lifecycle benefits estimation.

a. What year will the investment breakeven? (Specifically, when the budgeted costs savings exceed the cumulative costs.)
Beyond 2021

4. What specific qualitative benefits will be realized?
The Agency would achieve the full benefits as follows:
Managers at all levels will have the full range of performance, efficiency, effectiveness, managerial accountability and control information needed to support business decisions.
Capture and count work consistently, regardless of where the work is performed.
Measure workpower consistently across components.
Provide valid productivity information at the Agency level, down to the local manager's level.
Accommodate new workloads in a flexible work measurement system.
Satisfy government-wide managerial cost accountability regulations.
Provide managers with comprehensive, detailed information about the full cost of workloads at the Agency level and down to the office level.

For SUMS, all workloads will have improved data sources, control listings, performance measures and workload counts. SUMS will provide significant improvements in the accuracy, consistency and flexibility of work and performance measurement systems. Discrepancies will be eliminated by obtaining work measurement and processing time data from the same source using common business rules. SUMS will provide the ability to analyze work patterns and identify bottlenecks across all Agency workloads and components. Because of the flexibility of SUMS, new work tasks can be easily added and existing work tasks can be shifted without major changes to the work measurement process. MI Central provides a single place where managers can view their workload information and claims processing workers can view their pending and competed work. This is a vast improvement over the many different places where MI existed before SUMS.

All phases of MCAS will be completed. MCAS will provide the foundation for an improved Budget Formulation System. SUMS will be fully integrated with TAS and MCAS. Full implementation of TAS will eliminate work sampling, resulting in more work years being available for processing Agency workloads.

With full implementation of SUMS/MCAS, the Agency will have more accurate and consistent information that is needed to meet changing business demands, monitor customer service, determine productivity, allocate resources and perform strategic planning.

5. Federal Quantitative Benefits

What specific quantitative benefits will be realized (using current dollars) Use the results of your alternatives analysis to complete the following table:

	Budgeted Cost Savings	Cost Avoidance	Justification for Budgeted Cost Savings	Justification for Budgeted Cost Avoidance
PY - 1 2007 & Prior	0	0		
PY 2008	0	19.37		To gather information using older legacy systems, an MI Analyst must go to several sources to obtain the data needed. They must then reconcile the various sources to each other to determine the variances and causes. SUMS/MCAS is a one stop shop for MI data which is consistent and acurate. Therefore, based on the number of visits to our front end (~9.8 Million/yr), we can estimate that ~197

	Budgeted Cost Savings	Cost Avoidance	Justification for Budgeted Cost Savings	Justification for Budgeted Cost Avoidance
				workyears are saved each year by the MI Analysts in the Agency.
CY 2009	0	19.521		To gather information using older legacy systems, an MI Analyst must go to several sources to obtain the data needed. They must then reconcile the various sources to each other to determine the variances and causes. SUMS/MCAS is a one stop shop for MI data which is consistent and acurate. Therefore, based on the number of visits to our front end (~9.8 Million/yr), we can estimate that ~197 workyears are saved each year by the MI Analysts in the Agency.
BY 2010	0	19.711		To gather information using older legacy systems, an MI Analyst must go to several sources to obtain the data needed. They must then reconcile the various sources to each other to determine the variances and causes. SUMS/MCAS is a one stop shop for MI data which is consistent and acurate. Therefore, based on the number of visits to our front end (~9.8 Million/yr), we can estimate that ~197 workyears are saved each year by the MI Analysts in the Agency.
BY + 1 2011				To gather information using older legacy systems, an MI Analyst must go to several sources to obtain the data needed. They must then reconcile the various sources to each other to determine the variances and causes. SUMS/MCAS is a one stop shop for MI data which is consistent and acurate. Therefore, based on the number of visits to our front end (~9.8 Million/yr), we can estimate that ~197 workyears are saved each year by the MI Analysts in the Agency.
BY + 2 2012				To gather information using older legacy systems, an MI Analyst must go to several

	Budgeted Cost Savings	Cost Avoidance	Justification for Budgeted Cost Savings	Justification for Budgeted Cost Avoidance
				sources to obtain the data needed. They must then reconcile the various sources to each other to determine the variances and causes. SUMS/MCAS is a one stop shop for MI data which is consistent and acurate. Therefore, based on the number of visits to our front end (~9.8 Million/yr), we can estimate that ~197 workyears are saved each year by the MI Analysts in the Agency.
BY + 3 2013				To gather information using older legacy systems, an MI Analyst must go to several sources to obtain the data needed. They must then reconcile the various sources to each other to determine the variances and causes. SUMS/MCAS is a one stop shop for MI data which is consistent and acurate. Therefore, based on the number of visits to our front end (~9.8 Million/yr), we can estimate that ~197 workyears are saved each year by the MI Analysts in the Agency.
BY + 4 2014 & Beyond				To gather information using older legacy systems, an MI Analyst must go to several sources to obtain the data needed. They must then reconcile the various sources to each other to determine the variances and causes. SUMS/MCAS is a one stop shop for MI data which is consistent and acurate. Therefore, based on the number of visits to our front end (~9.8 Million/yr), we can estimate that ~197 workyears are saved each year by the MI Analysts in the Agency.
Total LCC Benefit			LCC = Life-cycle Cost	

6. Will the selected alternative replace a legacy system in-part or in-whole?

Yes

a. If "yes," are the migration costs associated with the migration to the selected alternative included in this investment, the legacy investment, or in a separate migration investment?

This Investment

b. If "yes," please provide the following information:

5b. List of Legacy Investment or Systems

Name of the Legacy Investment of Systems	UPI if available	Date of the System Retirement
Accretions to Counts (Enumeration)		3/30/2007
Central Office Redetermination Control (CORC)		12/30/2002
Cost Analysis System (CAS)		12/30/2008
Cyclical Statistical Data		12/30/2010
Disability Operational Data Store (DIODS)		12/30/2009
District Office Work Sampling (DOWS)		9/30/2009
District Office Workload Report		12/30/2010
DOWR Time and Attendance		11/30/2006
Earnings Modernization Itemized Statement Request (EMISR) MI		12/30/2010
Earnings Reconciliation (RECON) Management Information		9/30/2010
Enumerations at Entry (EAE)		3/30/2007
Field Office Social Security Number Enumeration Report (FOSSNER)		9/30/2009
Integrated Work Measurement System (IWMS)		12/30/2009
Item Correction Workload Management System (ICOR WMI)		12/30/2010
Limited English Proficiency		12/30/2010
MI Initial Claims Record (MIICR)		8/23/2006
Modernized Enumeration System for Workload Management Information (MESWMI)		3/30/2007
Modernized Office of Earnings Operations Tracking System (MOS/OTS)		12/30/2010
Personal Earnings and Benefit Estimate Statement (PEBES) MI		12/30/2010
Post Entitlement MI (PEMI)		12/30/2010
Processing Center Management Information (PCMI)		12/30/2009
Report Correction Management Information (RCOR MI)		12/30/2010
SSA Management Information System (SSAMIS)		9/30/2009
SSI Initial Claims Report Processing Time (SSICR)		12/30/2003
Title XVI Initial Claims Operational Data Store (ODS) Initial version		9/30/2009
Work Measurement Transition		12/30/2010
Work Units Per Work Year (WUPWY) System		12/30/2009

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan?

Yes

a. If "yes," what is the date of the plan?

7/1/2008

b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

No

c. If "yes," describe any significant changes:

2. If there currently is no plan, will a plan be developed?

a. If "yes," what is the planned completion date?

b. If "no," what is the strategy for managing the risks?

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

SSA's baselines are risk adjusted in terms of both life cycle schedule and resource estimates. Factors considered in determining baseline risk adjustments include: identification of known and types of unknown program and technology risks, the likelihood of occurrence, the impact in the event the risk occurs, and the mitigation strategy adopted to manage each risk. Since SSA performs IT work in-house program cost and schedule estimates are developed internally. SSA estimators have at their disposal parametric data and numerous sizing and estimating tools which offer an excellent basis to assess and account for risk.

The intent of adopting this strategy is for the program to be able to absorb inevitable risk occurrences and still achieve end cost and schedule objectives. This practice (along with our risk management policies and procedures) has to date been a successful one at SSA. Small management reserves are held at the Deputy Commissioner level in the event they are required.

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

1. Does the earned value management system meet the criteria in ANSI/EIA Standard-748?

Yes

2. Is the CV% or SV% greater than +/- 10%? (CV% = CV/EV x 100; SV% = SV/PV x 100)

No

a. If "yes," was it the CV or SV or both?

b. If "yes," explain the causes of the variance:

c. If "yes," describe the corrective actions:

3. Has the investment re-baselined during the past fiscal year?

No

a. If "yes," when was it approved by the agency head?

4. Comparison of Initial Baseline and Current Approved Baseline

Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in $ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
		Planned Completion Date (mm/dd/yyyy)	Total Cost ($M) Estimated	Completion Date (mm/dd/yyyy)		Total Cost ($M)		Schedule (# days)	Cost ($M)	
				Planned	Actual	Planned	Actual			
1	Maintenance	9/30/2002	$0.730000	9/30/2002	9/30/2002	$0.730000	$0.722000	0	$0.008000	100.00%
1.1	FY02 Maintenance	9/30/2002	$0.730000	9/30/2002	9/30/2002	$0.730000	$0.722000	0	$0.008000	100.00%
2	SUMS/MCAS FY03	9/30/2003	$9.767000	9/30/2003	9/30/2003	$9.767000	$9.935000	0	-$0.168000	100.00%
2.1	SUMS Initiative	9/30/2003	$5.269000	9/30/2003	9/30/2003	$5.269000	$5.588000	0	-$0.319000	100.00%
2.1.1	Government FTE Development Costs	9/30/2003	$3.150000	9/30/2003	9/30/2003	$3.150000	$4.054000	0	-$0.904000	100.00%
2.1.2	CTR Development Costs	9/30/2003	$1.968000	9/30/2003	9/30/2003	$1.968000	$1.383000	0	$0.585000	100.00%
2.1.3	ITS Equip/Software Costs	9/30/2003	$0.151000	9/30/2003	9/30/2003	$0.151000	$0.151000	0	$0.000000	100.00%
2.2	MCAS Initiative	9/30/2003	$2.848000	9/30/2003	9/30/2003	$2.848000	$2.647000	0	$0.201000	100.00%
2.2.1	Government FTE Development Costs	9/30/2003	$0.540000	9/30/2003	9/30/2003	$0.540000	$0.695000	0	-$0.155000	100.00%
2.2.2	CTR Development Costs	9/30/2003	$1.198000	9/30/2003	9/30/2003	$1.198000	$0.842000	0	$0.356000	100.00%
2.2.3	ITS Equip/Software Costs	9/30/2003	$1.110000	9/30/2003	9/30/2003	$1.110000	$1.110000	0	$0.000000	100.00%
2.3	Maintenance	9/30/2003	$1.650000	9/30/2003	9/30/2003	$1.650000	$1.700000	0	-$0.050000	100.00%
3	SUMS/MCAS FY04	9/30/2004	$15.320000	9/30/2004	9/30/2004	$15.320000	$14.451000	0	$0.869000	100.00%
3.1	SUMS Initiative	9/30/2004	$7.785000	9/30/2004	9/30/2004	$7.785000	$7.129000	0	$0.656000	100.00%
3.1.1	Government FTE Development Costs	9/30/2004	$5.274000	9/30/2004	9/30/2004	$5.274000	$4.669000	0	$0.605000	100.00%
3.1.2	CTR Development Costs	9/30/2004	$2.126000	9/30/2004	9/30/2004	$2.126000	$2.075000	0	$0.051000	100.00%
3.1.3	ITS Equip/Software Costs	9/30/2004	$0.385000	9/30/2004	9/30/2004	$0.385000	$0.385000	0	$0.000000	100.00%
3.2	MCAS Initiative	9/30/2004	$5.665000	9/30/2004	9/30/2004	$5.665000	$5.452000	0	$0.213000	100.00%
3.2.1	Government FTE Development Costs	9/30/2004	$1.165000	9/30/2004	9/30/2004	$1.165000	$1.106000	0	$0.059000	100.00%
3.2.2	CTR Development Costs	9/30/2004	$1.678000	9/30/2004	9/30/2004	$1.678000	$1.524000	0	$0.154000	100.00%
3.2.3	ITS Equip/Software Costs	9/30/2004	$2.822000	9/30/2004	9/30/2004	$2.822000	$2.822000	0	$0.000000	100.00%
3.3	Maintenance	9/30/2004	$1.870000	9/30/2004	9/30/2004	$1.870000	$1.870000	0	$0.000000	100.00%
4	SUMS/MCAS FY05	9/30/2005	$21.762000	9/30/2005	9/30/2005	$21.762000	$25.197500	0	-$3.435500	100.00%
4.1	SUMS Initiative	9/30/2005	$9.826000	9/30/2005	9/30/2005	$9.826000	$11.378000	0	-$1.552000	100.00%
4.1.1	Government FTE Development	9/30/2005	$5.569000	9/30/2005	9/30/2005	$5.569000	$6.448500	0	-$0.879500	100.00%

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				Planned	Actual	Planned	Actual			
	Costs									
4.1.2	CTR Development Costs	9/30/2005	$3.347000	9/30/2005	9/30/2005	$3.347000	$3.875500	0	-$0.528500	100.00%
4.1.3	ITS Equip/Software Costs	9/30/2005	$0.910000	9/30/2005	9/30/2005	$0.910000	$1.054000	0	-$0.144000	100.00%
4.2	MCAS Initiative	9/30/2005	$10.166000	9/30/2005	9/30/2005	$10.166000	$11.770500	0	-$1.604500	100.00%
4.2.1	Government FTE Development Costs	9/30/2005	$1.421000	9/30/2005	9/30/2005	$1.421000	$1.645500	0	-$0.224500	100.00%
4.2.2	CTR Development Costs	9/30/2005	$2.073000	9/30/2005	9/30/2005	$2.073000	$2.400000	0	-$0.327000	100.00%
4.2.3	ITS Equip/Software Costs	9/30/2005	$6.672000	9/30/2005	9/30/2005	$6.672000	$7.725000	0	-$1.053000	100.00%
4.3	Maintenance	9/30/2005	$1.770000	9/30/2005	9/30/2005	$1.770000	$2.049000	0	-$0.279000	100.00%
5	FY06 SUMS / MCAS	9/30/2006	$24.888400	9/30/2006	9/30/2006	$23.717500	$25.866700	0	-$2.154702	99.98%
5.1	SUMS Initiative	9/30/2006	$11.528400	9/30/2006	9/30/2006	$10.985300	$13.079900	0	-$2.099609	99.95%
5.1.1	SUMS - Initial Claims - SUMS Counts	9/30/2006	$2.144000	9/30/2006	9/30/2006	$2.043300	$3.454700	0	-$1.411400	100.00%
5.1.2	SUMS - T2 Initial Claims - Maintenance	9/30/2006	$0.111000	9/30/2006	9/30/2006	$0.105600	$0.042100	0	$0.063500	100.00%
5.1.3	SUMS - T16 Initial Claims - Maintenance	9/30/2006	$0.111000	9/30/2006	9/30/2006	$0.105600	$0.032000	0	$0.073600	100.00%
5.1.4	SUMS - CSR - VIP Lite	9/30/2006	$0.114000	9/30/2006	9/30/2006	$0.108700	$0.055000	-9	$0.048700	95.40%
5.1.5	SUMS - CSR - Maintenance	9/30/2006	$0.094000	9/30/2006	9/30/2006	$0.089800	$0.073300	0	$0.016500	100.00%
5.1.6	SUMS - Enumeration - SUMS Counts	9/30/2006	$0.897000	9/30/2006	9/30/2006	$0.854700	$1.363700	0	-$0.509000	100.00%
5.1.7	SUMS - Enumeration - Maintenance	9/30/2006	$0.033000	9/30/2006	9/30/2006	$0.031700	$0.093600	0	-$0.061900	100.00%
5.1.8	SUMS - RZ/LI - SUMS Counts	9/30/2006	$1.992000	9/30/2006	9/30/2006	$1.898300	$2.515900	0	-$0.617600	100.00%
5.1.9	SUMS - CDR - SUMS Counts	9/30/2006	$1.077000	9/30/2006	9/30/2006	$1.026200	$1.380900	0	-$0.354700	100.00%
5.1.10	SUMS - Appeals - SUMS Counts	9/30/2006	$2.062000	9/30/2006	9/30/2006	$1.964600	$1.582200	0	$0.382400	100.00%
5.1.11	SUMS - Earnings - SUMS Counts	9/30/2006	$0.911400	9/30/2006	9/30/2006	$0.868100	$1.055600	0	-$0.187500	100.00%
5.1.12	SUMS - Title II PE - SUMS Counts	9/30/2006	$1.187000	9/30/2006	9/30/2006	$1.130700	$0.876000	0	$0.254700	100.00%
5.1.13	SUMS - DSI - Data Warehouse	9/30/2006	$0.795000	9/30/2006	9/30/2006	$0.758000	$0.554900	0	$0.203100	100.00%
5.2	MCAS Initiatives	9/30/2006	$4.147000	9/30/2006	9/30/2006	$3.952200	$4.687400	0	-$0.735200	100.00%
5.2.1	MCAS - TAS	9/30/2006	$1.064000	9/30/2006	9/30/2006	$1.014400	$1.129000	0	-$0.114600	100.00%
5.2.2	MCAS - Work Measurement, CAS Replacement, Budget	9/30/2006	$2.725000	8/29/2004	9/30/2006	$2.596400	$3.219600	0	-$0.623200	100.00%

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				Planned	Actual	Planned	Actual			
5.2.3	MCAS - WMT - Maintenance	9/30/2006	$0.169000	9/30/2006	9/30/2006	$0.161300	$0.142200	0	$0.019100	100.00%
5.2.4	MCAS - CAS - Maintenance	9/30/2006	$0.006000	9/30/2006	9/30/2006	$0.005300	$0.007700	0	-$0.002400	100.00%
5.2.5	MCAS - Standards - Maintenance	9/30/2006	$0.172000	9/30/2006	9/30/2006	$0.164200	$0.169300	0	-$0.005100	100.00%
5.2.6	MCAS - MI Central - Maintenance	9/30/2006	$0.011000	9/30/2006	9/30/2006	$0.010600	$0.019600	0	-$0.009000	100.00%
5.3	SUMS/MCAS Initiative ITS Costs	9/30/2006	$9.213000	9/30/2006	9/30/2006	$8.780000	$8.099400	0	$0.680600	100.00%
6	SUMS/MCAS FY07	9/30/2007	$23.195000	10/30/2007	10/30/2007	$22.590000	$21.839300	-4	$0.443600	98.64%
6.1	SUMS Initiative FY07	9/30/2007	$11.159000	10/30/2007	10/30/2007	$9.742100	$9.406400	-2	$0.257400	99.20%
6.1.1	SUMS - Initial Claims	9/30/2007	$3.761000	9/28/2007	9/28/2007	$3.422900	$3.496400	0	-$0.073500	100.00%
6.1.2	Enumeration - Post Implementation Review	9/30/2007	$0.136000	12/29/2006	12/29/2006	$0.117700	$0.275700	0	-$0.158000	100.00%
6.1.3	RZ/LI - Post Implementation Review	9/30/2007	$0.328000	12/29/2006	12/29/2006	$0.284600	$0.250800	0	$0.033800	100.00%
6.1.4	CSR - Post Implementation Review	9/30/2007	$0.006000	12/29/2006	12/29/2006	$0.005500	$0.022600	0	-$0.017100	100.00%
6.1.5	CDR	9/30/2007	$2.498000	9/28/2007	9/28/2007	$2.049600	$2.685800	0	-$0.636200	100.00%
6.1.6	Earnings	9/30/2007	$1.565000	8/24/2007	7/28/2007	$1.362000	$1.039300	0	$0.322700	100.00%
6.1.7	Appeals	9/30/2007	$0.090000	9/28/2007	9/28/2007	$0.078200	$0.061300	-22	-$0.061300	0.00%
6.1.8	T2 PE	9/30/2007	$1.107000	9/28/2007	9/28/2007	$0.969800	$0.836900	0	$0.132900	100.00%
6.1.9	MI Central Maintenance	9/30/2007	$0.013000	9/28/2007	9/28/2007	$0.011000	$0.007000	0	$0.003900	100.00%
6.1.10	T16 Initial Claims Maintenance	9/30/2007	$0.127000	10/30/2007	10/30/2007	$0.108000	$0.125600	0	-$0.017600	100.00%
6.1.11	SUMS Maintenance	9/30/2007	$1.401000	9/28/2007	9/28/2007	$1.223200	$0.604900	0	$0.618300	100.00%
6.1.12	CDR ODS Maintenance	9/30/2007	$0.127000	9/28/2007	9/28/2007	$0.109600	$0.000100	0	$0.109500	100.00%
6.2	MCAS Initiative FY07	9/30/2007	$4.460000	9/28/2007	9/28/2007	$3.917900	$3.599200		$0.318500	100.00%
6.2.1	TAS - Direct Service Components	9/30/2007	$1.613000	9/28/2007	9/28/2007	$1.409000	$1.271100		$0.137800	100.00%
6.2.2	Work Measurement Transition/CAS Replacement Complete	9/30/2007	$2.505000	9/28/2007	9/28/2007	$2.211900	$1.970200		$0.241600	100.00%
6.2.3	CAS Maintenance	9/30/2007	$0.076000	9/28/2007	9/28/2007	$0.065800	$0.065800		$0.000000	100.00%
6.2.4	WMT Maintenance	9/30/2007	$0.257000	9/28/2007	9/28/2007	$0.223500	$0.237800	0	-$0.014200	100.00%
6.2.5	TAS Maintenance	9/30/2007	$0.009000	9/28/2007	9/28/2007	$0.007700	$0.054300		-$0.046700	100.00%
6.3	SUMS/MCAS Initiative ITS	9/30/2007	$7.576000	10/30/2007	10/30/2007	$8.930000	$8.833700	-7	$0.132300	97.44%

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				Planned	Actual	Planned	Actual			
	Costs FY07									
7	SUMS/MCAS FY08	9/30/2008	$23.194900	9/26/2008		$17.619100	$12.745000	-1	$1.420400	80.40%
7.1	SUMS Initiative FY08	9/30/2008	$11.852800	9/26/2008		$9.006200	$7.978000	-2	-$0.256100	85.74%
7.1.1	Integration	9/30/2008	$3.801400	9/26/2008		$2.873000	$2.379700	-2	$0.112400	86.74%
7.1.2	CDR	9/30/2008	$2.351800	9/26/2008		$1.782700	$1.930400	-2	-$0.389200	86.45%
7.1.3	Appeals	9/30/2008	$2.621200	9/26/2008		$1.977400	$1.474000	-3	$0.135400	81.38%
7.1.4	T2 PE	9/30/2008	$0.999800	9/26/2008		$0.759700	$0.747100	0	-$0.026800	94.81%
7.1.5	SUMS Maintenance	9/30/2008	$2.078600	9/26/2008		$1.613400	$1.446800	0	-$0.087800	84.23%
7.2	MCAS Initiative FY08	9/30/2008	$4.840200	9/26/2008		$3.612900	$2.827400	-2	$0.193000	83.60%
7.2.1	TAS	9/30/2008	$1.268600	9/26/2008		$0.958000	$0.906600	-3	-$0.105100	83.66%
7.2.2	CAS Replacement	9/30/2008	$2.990600	9/26/2008		$2.263500	$1.438500	-3	$0.450800	83.47%
7.2.3	CAS Maintenance	9/30/2008	$0.100100	9/26/2008		$0.069300	$0.045300	0	$0.013000	84.13%
7.2.4	WMT Maintenance	9/30/2008	$0.461200	9/26/2008		$0.314000	$0.402200	0	-$0.137700	84.24%
7.2.5	TAS Maintenance	9/30/2008	$0.019700	9/26/2008		$0.008100	$0.034800	0	-$0.028000	83.95%
7.3	SUMS/MCAS Initiative ITS Costs FY08	9/30/2008	$6.501900	9/26/2008		$5.000000	$1.939600	0	$1.483500	68.46%
8	SUMS/MCAS FY09	9/30/2009	$11.988000	9/30/2009		$13.777902				0.00%
8.1	SUMS Initiative FY09	9/30/2009	$5.194627	9/30/2009		$5.931350				0.00%
8.1.1	Integration	9/30/2009	$0.736718	9/30/2009		$0.837799				0.00%
8.1.2	CDR	9/30/2009	$0.711935	9/30/2009		$0.813137				0.00%
8.1.3	Appeals	9/30/2009	$2.139120	9/30/2009		$2.450556				0.00%
8.1.4	SUMS Maintenance	9/30/2009	$1.606854	9/30/2009		$1.829858				0.00%
8.2	MCAS Initiative FY09	9/30/2009	$1.659817	9/30/2009		$1.890552				0.00%
8.2.1	TAS	9/30/2009	$1.000131	9/30/2009		$1.142065				0.00%
8.2.2	CAS Replacement	9/30/2009	$0.105879	9/30/2009		$0.121271				0.00%
8.2.3	CAS Maintenance	9/30/2009	$0.253303	9/30/2009		$0.287595				0.00%
8.2.4	WMT Maintenance	9/30/2009	$0.300504	9/30/2009		$0.339621				0.00%
8.3	SUMS/MCAS Initiative ITS Costs	9/30/2009	$5.133556	9/30/2009		$5.956000				0.00%
9	SUMS/MCAS FY10	9/30/2010	$23.195000	9/29/2010		$23.195000				0.00%

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				Planned	Actual	Planned	Actual			
10	SUMS/MCAS FY11	9/30/2011		9/29/2011						0.00%
11	SUMS/MCAS FY12	9/30/2012		9/30/2012						0.00%
Project Totals		**9/30/2012**		**9/30/2012**	**10/30/2007**					**55.29%**