



SOCIAL SECURITY

The Commissioner

February 3, 2010

The Honorable David R. Obey
Chairman, Committee on Appropriations
House of Representatives
Washington, D.C. 20515

Dear Mr. Chairman:

I am providing our fiscal year (FY) 2010 Operating Plan, as required by Section 518 of Title V of Division D of the Consolidated Appropriations Act, 2010 Public Law (P.L.) 111-117. It provides a comparison of the FY 2010 President's budget to the FY 2010 enacted appropriation for each of our accounts.

The final page of the plan displays our workload and performance measures consistent with the Justification of Estimates for the committees. It includes actual experience in FY 2009 and an update of FY 2010 commitments to incorporate enacted funding levels and the most recent workload estimates. Our commitments in the FY 2010 enacted column represent amounts appropriated under P.L. 111-117 and additional funding from the resources appropriated under the American Recovery and Reinvestment Act (P.L. 111-5).

We will be glad to work with your staff to answer any questions you may have about our Operating Plan. We are sending similar letters to Mr. Tiahrt and Mr. Lewis and to Senators Inouye, Cochran, and Harkin. If there are any questions, please have your staff contact Bonnie Kind, our Associate Commissioner for the Office of Budget, at (410) 965-3501.

Sincerely,

A handwritten signature in black ink, appearing to read "Michael J. Astrue".

Michael J. Astrue

Enclosure

SSA FY 2010 Operating Plan
(Appropriated FY 2010 Funds and Carryover/Transfers
of Unobligated Prior-Year Funds)
(\$ in millions)

<u>Budget Authority:</u>	<u>FY 2010 President's Budget</u>	<u>FY 2010 Available Funding</u>
<u>Payments to the Social Security Trust Funds (PTF)</u>		
Pension Reform.....	\$6.4	\$6.4
Unnegotiated Checks.....	14.0	14.0
Special Benefits for Certain Uninsured Persons.....	0.0 ^{1/}	0.0 ^{1/}
Military Service Wage Credits.....	0.0	0.0
Total Appropriation (P.L. 111-117).....	<u>\$20.4</u>	<u>\$20.4</u>
<u>Supplemental Security Income (SSI)</u>		
FY 2010 Benefit Payments, Total.....	\$46,602.0	\$46,602.0
- FY 2010 First Quarter Advance (P.L. 111-8).....	15,400.0	15,400.0
- FY 2010 Appropriation (P.L. 111-117).....	31,202.0	31,202.0
Administrative Expenses.....	3,442.0	3,442.0
Beneficiary Services.....	49.0	49.0
Research and Demonstration (R&D) Projects.....	49.0	49.0
Total Appropriations (P.L. 111-8 and P.L. 111-117).....	<u>\$50,142.0</u>	<u>\$50,142.0</u>
Carryover of Unobligated FY 2009 Balances and Actual Recoveries:		
- Benefits.....	\$0.0	\$261.9
- Administrative Expenses.....	53.0	225.1
- Beneficiary Services.....	39.0	33.0
- R&D.....	8.0	5.3
Total, Carryover of Unobligated FY 2009 Balances and Actual Recoveries....	<u>\$100.0</u>	<u>\$525.3</u> ^{2/}
Total Funds Available for FY 2010.....	\$50,242.0	\$50,667.3
<u>American Recovery and Reinvestment Act ^{3/}</u>		
Economic Recovery Payments (P.L. 111-5)		\$300.0 ^{3/}

^{1/} Requested and appropriated amount is \$4,000.

^{2/} The carryover/recovery amounts shown in the available funding column represent unobligated FY 2009 funding needed to cover FY 2010 estimated SSI costs. The remaining carryover from FY 2009 unobligated balances will be applied to future SSI costs.

^{3/} ARRA available funding is shown on Page 2.

	FY 2010 President's Budget	FY 2010 Available Funding
<u>Limitation on Administrative Expenses (LAE)</u>		
Regular LAE.....	\$10,525.2 ^{1/}	\$10,525.2 ^{1/}
Base CDRs/Redeterminations.....	273.0	273.0
Additional CDRs/Redeterminations.....	451.0	451.0
Access to Financial Institutions.....	34.0	34.0
SSA Advisory Board.....	2.3	2.3
SSI User Fees.....	165.0	160.0
Non-Attorney Certification User Fee.....	0.5	1.0
Total Appropriated (P.L. 111-117).....	<u>\$11,451.0</u>	<u>\$11,446.5</u>
American Recovery and Reinvestment Act (P.L. 111-5):		
Workload Processing.....	\$325.0	\$328.9
Health Information Technology.....	0.0	24.0
Economic Recovery Payment - Admin.....	10.0	10.0 ^{2/}
NCC Replacement.....	20.0	10.0
Total, Recovery Act Funding.....	<u>\$355.0</u>	<u>\$372.9</u>
Medicare Improvement for Patients and Providers Act (P.L. 110-275):		
Low Income Subsidy.....	\$17.0	\$17.9
Other Available Funds:		
<u>Automation Investment Fund (AIF) (No-Year)</u>		
- Carryover from FY 2009 Unobligated AIF Balances.....	\$0.0	\$0.7
<u>Information Technology Systems (ITS) (No-Year)</u>		
- Carryover from FY 2009 Unobligated ITS Balances.....	\$0.0	\$201.6
Carryover of Other Unobligated Balances.....	\$0.0	\$11.0 ^{3/}
<u>Office of the Inspector General, SSA</u>		
Federal Funds.....	\$29.0	\$29.0
Trust Funds.....	73.7	73.7
Total Appropriated (P.L. 111-117).....	<u>\$102.7</u>	<u>\$102.7</u>
American Recovery and Reinvestment Act (P.L. 111-5):		
Oversight and audit.....	\$0.5	\$0.5

^{1/} Excludes funding for base CDRs and Redeterminations.

^{2/} Expect to obligate only \$5 Million out of the \$10 Million available.

^{3/} Includes authority from prior years carried over for the following purposes: \$9.5M for operation and maintenance of specific facilities delegated to SSA by the General Services Administration, \$1.5M for construction and \$26K for Disaster Relief.

	FY 2010 President's Budget	FY 2010 Current Estimate
<u>Estimated Outlays:</u>		
PTF.....	\$20	\$20
LAE.....	\$11,699	\$11,775
SSI.....	\$50,115	\$50,925
OIG.....	\$103	\$103
Economic Recovery Act Payment.....	\$250	\$300

**Social Security Administration
Workload and Outcome Measures**

	FY 2009		FY 2010	
	Enacted Appropriation	Actual	President's Budget	Enacted Appropriation
RESOURCES (\$ in millions)				
Appropriation (Limitation on Administrative Expenses) (New BA only)	\$11,544 ^{1/}	\$11,544 ^{2/}	\$11,826 ^{3/}	\$11,820 ^{4/}
SELECTED OUTCOME MEASURES ^{5/}				
Initial Disability Claims Pending (thousands)	833	780	964	1,041
SSA Hearings Pending (thousands)	755	723	727	707
Average Processing Time for Initial Disability Claims (days)	129	101	156	132
Average Processing Time for Hearing Decisions (days)	516	491	508	485
Disability Decisions, Per Worker Per Year	265	274	268	268
SSA Hearing Decisions, Per Worker Per Year	107	105	109	108
Disability Determination Services Accuracy Rate	97%	97%	97%	97%
SELECTED WORKLOAD MEASURES				
800 Number Transactions Handled (millions)	60	67	61	64
Retirement and Survivors Claims Processed (thousands)	4,543	4,742	4,565	4,718
Initial Disability Claims Processed (thousands)	2,637	2,813	2,851	3,081
SSA Hearings Processed (thousands)	647	661	726	725
Periodic Continuing Disability Reviews (CDRs) Processed (thousands)	1,079	1,102	794	954
Periodic Medical CDRs (included above)	329	317	329	329
Supplemental Security Income (SSI) Non-Disability Redeterminations Processed (thousands)	1,711	1,731	2,322	2,422
Social Security Statements Issued (millions)	151	151	153	153
Annual Earnings Items Processed (millions)	271	262	271	238
Social Security Numbers Issued (millions)	18	17	18	18

^{1/} Reflects amount appropriated under P.L. 111-8 (\$10,454 Million) and the \$1,090 Million appropriated to the Agency under the American Recovery and Reinvestment Act, P.L. 111-5, for the acquisition of a new data center (\$500 Million), the administrative costs associated with issuing economic recovery payments (\$90 Million) and for workload processing, related information technology (IT) costs and health IT (\$500 Million).

^{2/} Does not reflect the transfer of \$1.4 Million from the LAE account to the OIG account in FY 2009.

^{3/} Reflects base appropriation (\$11,451 Million) and \$375 Million carryover assumption from the American Recovery and Reinvestment Act, P.L. 111-5, for workload processing, related information technology (IT) costs, and health IT (\$325 Million), the administrative costs associated with issuing economic recovery payments (\$30 Million), and the acquisition of a new data center (\$20 Million).

^{4/} Reflects amount appropriated under P.L. 111-117 (\$11,447 Million) and the \$373 Million in actual carryover from the American Recovery and Reinvestment Act, P.L. 111-5, for workload processing (\$329 Million), related information technology (IT) costs, and health IT (\$24 Million), the administrative costs associated with issuing economic recovery payments (\$10 Million), and the acquisition of a new data center (\$10 Million).

^{5/} Measures are based on using the amount appropriated under P.L. 111-117 and the Agency's anticipated use of the remaining funds (\$329 Million) from the \$500 Million appropriated for workload processing under P.L. 111-5.