

Electronic Disability

Exhibit 300: Part I: Summary Information and Justification (All Capital Assets)

I.A. Overview

1. Date of Submission:

9/11/2006

2. Agency:

Social Security Administration

3. Bureau:

4. Name of this Capital Asset:

Electronic Disability

5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.)

016-00-01-02-01-2060-00

6. What kind of investment will this be in FY2008? (Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Mixed Life Cycle

7. What was the first budget year this investment was submitted to OMB?

FY2002

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

Electronic Disability (eDib) is a major Agency initiative consisting of a series of interdependent projects designed to move all partners in disability claims adjudication/review to an electronic business process through the use of an electronic disability folder. The Agency has identified nine Strategic Objective Portfolios to guide the capital planning and investment process. The eDib project is an integral part of the "Make the Right DIB Decision" portfolio. The eDib initiative affects every part of the Agency that develops, adjudicates, processes, reviews, or pays disability claims. eDib will significantly change the business process and the ways that components interact with disability claims. These are the activities that support eDib: Expand Electronic Disability Collection System (EDCS) - Enhance the automated system that captures information collected during the disability interview to support all types of disability claims. Expand Internet - Provide the capability for more types of claimants to complete disability reports via the Internet. Create an Electronic Folder - Create a repository to store electronically the documents, evidence and records upon which the disability determination is based. Interface this electronic folder with SSA and Disability Determination Services (DDS) legacy systems. Develop a Case Processing Management System for the Office of Disability Adjudication and Review (ODAR) - Provide a case processing and management system for ODAR to support their business process and develop an interface to the electronic folder. Accelerate IBM iSeries Migration - Ensure that all DDSs, which were dependent upon Wang VS minicomputers, are transitioned to the iSeries and have software that is able to run on the more stable platform so that they can interface with the electronic folder. While software customization efforts are ongoing, initial procurement and delivery of the iSeries hardware was completed in April, 2004. In January 2006, eDib was fully implemented throughout the country.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

a. If "yes," what was the date of this approval?

7/13/2006

10. Did the Project Manager review this Exhibit?

Yes

11. Removed

12. Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.

Yes

a. Will this investment include electronic assets (including computers)?

Yes

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

1. If "yes," is an ESPC or UESC being used to help fund this investment?

2. If "yes," will this investment meet sustainable design principles?

3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," check all that apply:

Expanded E-Government, Eliminating Improper Payments

13a. Briefly describe how this asset directly supports the identified initiative(s)?

eDib is an initiative designed to move the Agency toward an electronic disability process with error-reducing electronic validations. SSA created an Electronic Folder to house all folder documentation and which both SSA and State case processing components will be able to access. Processing is performed at a computer terminal and case documentation is maintained and stored electronically. Certain paper documentation is converted into a digital image format.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes

a. If "yes," does this investment address a weakness found during the PART review?

Yes

b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

FY 05 DI PART Q 3.4, 3.7; FY 06 SSI PART Q 2.1, 2.6, 3.4, 3.7

c. If "yes," what PART rating did it receive?

Moderately Effective

15. Is this investment for information technology?

Yes

If the answer to Question: "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 2

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance):

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?

Yes

19. Is this a financial management system?

No

a. If "yes," does this investment address a FFMI A compliance area?

1. If "yes," which compliance area:

2. If "no," what does it address?

b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Hardware

0

Software

0

Services

0

Other

0

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

22. Removed

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

I.B. Summary of Funding

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES
(REPORTED IN MILLIONS)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY - 1 and Earlier	PY 2006	CY 2007	BY 2008
Planning Budgetary Resources	15.41	0	0	0
Acquisition Budgetary Resources	247.752	6.705	0	0
Subtotal Planning & Acquisition Budgetary Resources	263.162	6.705	0	0
Operations & Maintenance Budgetary Resources	9.296	0.745	0	0
TOTAL Budgetary Resources	272.458	7.45	0	0
Government FTE Costs Budgetary Resources	48.344	9.891	0	0
Number of FTE represented by Costs:	486	97	0	0

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

a. If "yes," How many and in what year?

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes:

I.C. Acquisition/Contract Strategy

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Contracts/Task Orders Table:

Contract or Task Order Number	Type of Contract/ Task Order	Has the contract been awarded?	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order	Is this an Interagency Acquisition?	Is it performance based?	Competitively awarded?	What, if any, alternative financing option is being used?	Is EVM in the contract?	Does the contract include the required security and privacy clauses?
0440-03-42036	BPA & FFP	Yes	9/1/2003	10/1/2003	9/30/2008	10000	No	No	Yes	NA	No	Yes
SS00-05-60011	Time & Materials	Yes	11/15/2004	11/15/2004	9/29/2011	525124.153	No	Yes	Yes	NA	No	Yes
0440-03-4225A, Call number 73	FFP	Yes	1/30/2006	1/24/2006	1/23/2007	2108.54	No	No	No	NA	No	Yes

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

SSA's earned value management (EVM) policy has been certified as consistent with OMB guidance and the ANSI standards defining a compliant EVM. The inclusion of earned value in SSA contracts is based on the type of contract let, the services performed, and the date when the contract was let. Earned value management requirements are applied to SSA contractors in two ways. The first is to require the contractor to satisfy requirements utilizing their own earned value management system (EVMS). The second is for the contractor to provide necessary data directly into SSA's in-house EVMS.

3. Do the contracts ensure Section 508 compliance?

Yes

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes

a. If "yes," what is the date?

6/30/2005

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

I.D. Performance Information

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the

module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure. Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
2003	Service - to deliver high-quality, citizen-centered service	Reduce average processing time for initial disability claims.	104 days	104 days	97 days
2003	Stewardship - to ensure superior stewardship of Social Security programs and resources	Increase number of Disability Determination Service (DDS) cases processed per work year	264.5	270	270.4
2004	Service - to deliver high-quality, citizen-centered service	Number of initial disability claims processed by DDS	2,526,020	2,485,000	2,574,848
2004	Service - to deliver high-quality, citizen-centered service	Number of initial disability claims pending	581,929	582,000	624,658
2004	Service - to deliver high-quality, citizen-centered service	Maintain number of SSA hearings processed	493,923	538,000	497,379
2004	Service - to deliver high-quality, citizen-centered service	Number of SSA hearings pending	556,369	586,000	635,601
2004	Service - to deliver high-quality, citizen-centered service	Reduce average processing time for initial disability claims.	97 days	97 days	95 days
2004	Service - to deliver high-quality, citizen-centered service	Average processing time for hearings	344 days	377 days	391 days
2004	Service - to deliver high-quality, citizen-centered service	Average processing time for hearings appeals	294 days	275 days	251 days
2004	Service - to deliver high-quality, citizen-centered service	DDS net accuracy rate (allowance and denials combined)	96.1%	97%	96.4%
2004	Service - to deliver high-quality, citizen-centered service	Disability hearings decision accuracy rate	89%	90%	90%
2004	Service - to deliver high-quality, citizen-centered service	Percent of individuals who do business with SSA rating overall service as "excellent", "very good" or "good"	85%	83%	84%

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
2004	Stewardship - to ensure superior stewardship of Social Security programs and resources	number of periodic CDRs processed to determine continuing entitlement based on disability.	2003 - 1,371,255	2004 - 1,537,000	2004 - 1,604,680
2004	Stewardship - to ensure superior stewardship of Social Security programs and resources	Increase Agency productivity by 2% annually on average	2.10%	2.00%	2.20%
2004	Stewardship - to ensure superior stewardship of Social Security programs and resources	Disability Determination Service (DDS) cases processed per work year	270	272	273
2004	Stewardship - to ensure superior stewardship of Social Security programs and resources	Average number of SSA hearings processed per work year	2003 - 103	105	2004 - 100
2004	Stewardship - to ensure superior stewardship of Social Security programs and resources	Get to "green" on four of five President's Management Agenda (PMA) initiatives	2003 - one "green"	2004 - Achieve an overall rating of "green" on four of five PMA initiatives.	2004 - Achieved and overall rating of "green" on three of five PMA initiatives.
2005	Service - to deliver high-quality, citizen-centered service	Number of initial disability claims processed by DDS	2,574,848	2,677,000	2,617,231
2005	Service - to deliver high-quality, citizen-centered service	Maintain the number of initial disability claims pending in DDS (at or below FY 2007) goal.	624,658	592,000	560,529
2005	Service - to deliver high-quality, citizen-centered service	Number of SSA hearings processed	497,379	525,000	519,359
2005	Service - to deliver high-quality, citizen-centered service	Maintain number of SSA hearings pending (at or below the FY 2007 goal)	635,601	714,000	708,164
2005	Service - to deliver high-quality, citizen-centered service	Average processing time for initial disability claims.	95 days	93 days	93 days
2005	Service - to deliver high-quality, citizen-centered service	Average processing time for SSA hearings	391 days	442 days	415 days
2005	Service - to deliver high-quality, citizen-centered service	Average processing time for hearings appeals	251 days	250 days	242 days
2005	Service - to deliver	DDS net accuracy	96%	97%	96%

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
	high-quality, citizen-centered service	rate (allowance and denials combined)			
2005	Service - to deliver high-quality, citizen-centered service	Agency decisional accuracy rate (ADA)		97%	97%
2005	Service - to deliver high-quality, citizen-centered service	Percent of individuals who do business with SSA rating overall service as "excellent", "very good" or "good"	84%	83%	85%
2005	Stewardship - to ensure superior stewardship of Social Security programs and resources	Number of periodic continuing disability reviews (CDR) processed to determine continuing entitlement based on disability	1,604,680	1,384,000	1,515,477
2005	Stewardship - to ensure superior stewardship of Social Security programs and resources	Continue to achieve by 2%, on average, annual productivity improvements	2.20%	2.0%	2.8%
2005	Stewardship - to ensure superior stewardship of Social Security programs and resources	Disability Determination Service (DDS) case production per work year	273	278	260
2005	Stewardship - to ensure superior stewardship of Social Security programs and resources	SSA hearings case production per work year (PPWY)	100	103	102
2005	Stewardship - to ensure superior stewardship of Social Security programs and resources	Get to "green" on the President's Management Agenda (PMA) initiatives status scores	Achieved an overall rating of "green" on three of five PMA initiatives.	Achieve an overall rating of "green" on four of five PMA initiatives.	Achieved a status score of "green" on three of five PMA initiatives.
2006	Service - to deliver high-quality, citizen-centered service	Number of initial disability claims processed by DDS	2,617,231	2,663,000	2,532,264
2006	Service - to deliver high-quality, citizen-centered service	Maintain number of initial disability claims pending	560,529	577,000	555,071
2006	Service - to deliver high-quality, citizen-centered service	Number of SSA hearings processed	519,359	560,000	558,978
2006	Service - to deliver high-quality, citizen-centered service	Maintain number of SSA hearings pending	708,164	756,000	715,568

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
2006	Service - to deliver high-quality, citizen-centered service	Average processing time for initial disability claims.	93 days	93 days	88 days
2006	Service - to deliver high-quality, citizen-centered service	Average processing time for SSA hearings	415 days	467 days	483 days
2006	Service - to deliver high-quality, citizen-centered service	DDS net accuracy rate (allowance and denials combined)	96%	97%	96% estimated
2006	Service - to deliver high-quality, citizen-centered service	Agency decisional accuracy (ADA) rate	97%	97%	97% (Estimated)
2006	Service - to deliver high-quality, citizen-centered service	Percent of individuals who do business with SSA rating overall service as "excellent", "very good" or "good"	85%	83%	82%
2006	Stewardship - to ensure superior stewardship of Social Security programs and resources	Number of periodic CDRs processed to determine continuing entitlement based on disability	1,515,477	1,242,000	1,337,638
2006	Stewardship - to ensure superior stewardship of Social Security programs and resources	Disability Determination Service (DDS) case production per work year	260	262	241
2006	Stewardship - to ensure superior stewardship of Social Security programs and resources	SSA hearings case production per work year (PPWY)	102	104	100
2006	Stewardship - to ensure superior stewardship of Social Security programs and resources	Get to "green" on the President's Management Agenda (PMA) initiatives status scores.	Achieved a status score of "green" on three of five PMA initiatives	Achieve a status score of "green" on four of the five PMA initiatives	Achieved a status score of "green" on four of five PMA initiatives
2006	Stewardship - to ensure superior stewardship of Social Security programs and resources	Continue to achieve by 2%, on average, annual productivity improvements	2.8%	2.00%	2.49% on average
2006	Service - to deliver high-quality, citizen-centered service	Average processing time for hearings appeals	242 days	242 days	203 days
2007	Service - to deliver high-quality, citizen-centered service	Average processing time for SSA hearings	483 days	524 days	
2007	Service - to deliver	Percent of initial	2,532,264	100% (2,530,000)	

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
	high-quality, citizen-centered service	disability claims receipts processed up to the budgeted level.			
2007	Service - to deliver high-quality, citizen-centered service	Maintain number of initial disability claims pending in DDS (at/below the FY2007/2008 goal)	555,071	577,000	
2007	Service - to deliver high-quality, citizen-centered service	Number of SSA hearings processed	558,978	541,000	
2007	Service - to deliver high-quality, citizen-centered service	Maintain number of SSA hearings pending (at/below the FY2007/2008 goal)	715,568	752,000	
2007	Service - to deliver high-quality, citizen-centered service	Average processing time for initial disability claims.	88 days	88 days	
2007	Service - to deliver high-quality, citizen-centered service	Average processing time for SSA hearings	483 days	524 days	
2007	Service - to deliver high-quality, citizen-centered service	Average processing time for hearings appeals	203 days	242 days	
2007	Service - to deliver high-quality, citizen-centered service	DDS net accuracy rate (allowances and denials combined)	96% estimated	97%	
2007	To deliver high quality, citizen-centered service: Make the right decision in the disability process as early as possible	Agency decisional accuracy (ADA) rate	97% (Estimated)	97%	
2007	Service - to deliver high-quality, citizen-centered service	Percent of individuals who do business with SSA rating overall service as "excellent", "very good" or "good"	82%	83%	
2007	Stewardship - to protect the integrity of Social Security programs through superior Stewardship	Number of periodic CDRs processed to determine continuing entitlement based on disability	1,337,638	729,000	
2007	Stewardship- to protect the integrity of Social Security programs through superior Stewardship	Continue to achieve by 2%, on average, annual productivity improvements	2.49% on average	2.00% on average	
2007	Stewardship - to protect the	Disability Determination	241	252	

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
	integrity of Social Security programs through superior Stewardship	Service (DDS) cases processed per work year			
2007	Stewardship - to protect the integrity of Social Security programs through superior Stewardship	SSA hearings case production per work year (PPWY)	100	106	
2007	Stewardship - to protect the integrity of Social Security programs through superior Stewardship	Get to "green" on the President's Management Agenda (PMA) initiatives status scores	Achieved a status score of "green" on four of five PMA initiatives	Achieve a status score of "green" on five of five PMA initiatives	
2008	Service – to deliver high-quality, citizen-centered service	Percent of initial disability claims receipts processed up to the budgeted level		100% (2,462,000)	
2008	Service – to deliver high-quality, citizen-centered service	Maintain number of initial disability claims pending in DDS (at/below the FY2007/2008 goal)		627,000	
2008	Service - to deliver high-quality, citizen-centered service	Number of SSA hearings processed		548,000	
2008	Service - to deliver high-quality, citizen-centered service	Maintain number of SSA hearings pending (at/below the FY2007/2008 goal)		768,000	
2008	Service - to deliver high-quality, citizen-centered service	Average processing time for initial disability claims.		90 days	
2008	Service - to deliver high-quality, citizen-centered service	Average processing time for SSA hearings		541 days	
2008	Service - to deliver high-quality, citizen-centered service	Average processing time for hearings appeals		290 days	
2008	Service - to deliver high-quality, citizen-centered service	DDS net accuracy rate (allowances and denials combined)		97%	
2008	To deliver high quality, citizen-centered service: Make the right decision in the disability process as early as possible	Agency decisional accuracy (ADA) rate		97%	
2008	Service – to deliver high-quality, citizen-centered service	Percent of individuals who do business with SSA rating overall		83%	

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
		service as "excellent", "very good" or "good"			
2008	Stewardship - to protect the integrity of Social Security programs through superior Stewardship	Number of periodic CDRs processed to determine continuing entitlement based on disability		1,118,000	
2008	Stewardship - to protect the integrity of Social Security programs through superior Stewardship	Continue to achieve by 2%, on average, annual productivity improvements		2% on average	
2008	Stewardship - to protect the integrity of Social Security programs through superior Stewardship	Disability Determination Service (DDS) case production per work year		267	
2008	Stewardship - to protect the integrity of Social Security programs through superior Stewardship	SSA hearings case production per work year (PPWY)		108	
2008	Stewardship - to protect the integrity of Social Security programs through superior Stewardship	Get to "green" on the President's Management Agenda (PMA) initiatives status scores		Maintain a status score of "green" on five of five PMA initiatives	

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:

Fiscal Year	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
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I.E. Security and Privacy

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

All systems supporting and/or part of this investment should be included in the tables below, inclusive of both agency owned systems and contractor systems. For IT investments under development, security and privacy planning must proceed in parallel with the development of the system/s to ensure IT security and privacy requirements and costs are identified and incorporated into the overall lifecycle of the system/s.

Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment:

Yes

2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment.

Yes

3. Systems in Planning - Security Table:

Name of System	Agency/ or Contractor Operated System?	Planned Operational Date	Planned or Actual C&A Completion Date
Electronic Disability System	Government Only	1/29/2007	7/18/2006

4. Operational Systems - Security Table:

Name of System	Agency/ or Contractor Operated System?	NIST FIPS 199 Risk Impact level	Has C&A been Completed, using NIST 800-37?	Date C&A Complete	What standards were used for the Security Controls tests?	Date Complete(d): Security Control Testing	Date the contingency plan tested
Electronic Disability System	Government Only	Moderate	Yes	7/18/2006	FIPS 200 / NIST 800-53	5/16/2006	1/13/2006

5. Have any weaknesses related to any of the systems part of or supporting this investment been identified by the agency or IG?

No

a. If "yes," have those weaknesses been incorporated agency's plan of action and milestone process?

6. Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses?

No

a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.

7. How are contractor security procedures monitored, verified, validated by the agency for the contractor systems above?

This is not a contractor system.

8. Planning & Operational Systems - Privacy Table:

Name of System	Is this a new system?	Is there a Privacy Impact Assessment (PIA) that covers this system?	Is the PIA available to the public?	Is a System of Records Notice (SORN) required for this system?	Was a new or amended SORN published in FY 06?
Electronic Disability System	No	Yes.	Yes.	Yes	No, because the existing Privacy Act system of records was not substantially revised in FY 06.

I.F. Enterprise Architecture (EA)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

Yes

a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy?

Yes

a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

Accelerated Electronic Disability (AeDib) System

b. If "no," please explain why?

3. Service Reference Model (SRM) Table:

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
Connect: Direct	Connect: Direct automates the secure movement of large volumes of data between distributed applications within and between enterprises.	Back Office Services	Data Management	Data Exchange	Data Exchange	016-00-01-04-02-2132-00	Internal	0
RAID	Redundant Array of Independent Disks (RAID) is a disk subsystem architecture that uses multiple hard drives to write data to achieving redundancy and enhancing fault resilience.	Back Office Services	Data Management	Data Recovery	Data Recovery	016-00-01-04-02-2132-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that	Back Office Services	Data Management	Loading and Archiving	Loading and Archiving	016-00-02-00-01-2210-00	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	provides the capability to hold images, documents, and forms that previously have been housed in paper folders.							
ORS	The Online Retrieval System (ORS) provides the ability to view any notice that has been sent to a customer. ORS also stores the notices in an exact image of the original, thus allowing SSA to adhere to Federal regulations on retention of documents, and move closer to an efficient, paperless environment.	Back Office Services	Data Management	Loading and Archiving	Loading and Archiving	016-00-01-04-02-2132-00	Internal	0
eView	eView is an application that enables users involved in case processing to view and/or print the disability information contained in the Electronic Folder.	Back Office Services	Development and Integration	Data Integration	Data Integration	016-00-01-02-02-2130-00	Internal	0
AIF	The AIF (Application Interface Facility) is a common interface between SSA's application programs and various Database Management Systems such as IDMS and MADAM.	Back Office Services	Development and Integration	Legacy Integration	Legacy Integration	016-00-01-04-02-2132-00	Internal	0
JWICS	Java Websphere Initiated CICS Servers (JWICS)	Back Office Services	Development and Integration	Legacy Integration	Legacy Integration	016-00-01-04-02-2132-00	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	is a set of Java Classes and resources files which allow remote procedure call communication between a Java Websphere Application Server Client and a CICS Cobol Server program.							
Predictive Model	Predictive Model is a product that helps to determine the likelihood that a case will be an award within 20 days	Business Analytical Services	Knowledge Discovery	Modeling	Modeling	016-00-01-02-02-2130-00	Internal	0
SUMS	The Social Security Unified Measurement System (SUMS) will, when fully implemented, provide work measurement data for all workloads processed throughout SSA. The data will be available on demand through a user-friendly graphical interface. Under SUMS, both workload counts and employee time will be captured consistently regardless of where the work is performed.	Business Analytical Services	Reporting	Standardized / Canned	Standardized / Canned	016-00-01-02-02-2130-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that	Business Analytical Services	Visualization	Imagery	Imagery	016-00-02-00-01-2210-00	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	previously have been housed in paper folders.							
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Business Management Services	Management of Processes	Change Management	Change Management	016-00-02-00-01-2210-00	Internal	0
MKS	Mortice Kern Systems (MKS) Source Integrity Enterprise (SIE) is a comprehensive, project-oriented, software configuration management (SCM) tool that provides version control over changes to application software.	Business Management Services	Management of Processes	Change Management	Change Management	016-00-01-04-02-2132-00	Internal	0
QA2	QA2 enforces the completion of an System Release Certification through its interface with the online and batch release processes.	Business Management Services	Management of Processes	Configuration Management	Configuration Management	016-00-01-04-02-2132-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Digital Asset Services	Content Management	Tagging and Aggregation	Tagging and Aggregation	016-00-02-00-01-2210-00	Internal	0
DMA	The Document	Digital Asset	Document	Classification	Classification	016-00-02-	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Services	Management			00-01-2210-00		
TIFF	Tagged Image File Format (TIFF) is a file format for mainly storing images. It is a flexible and adaptable file format and can handle multiple images and data in a single file through the inclusion of "tags" in the file header.	Digital Asset Services	Document Management	Document Conversion	Document Conversion	016-00-01-04-02-2132-00	Internal	0
FECS	FECS is the software used to provide the front-end capture capabilities needed to process the DMA unstructured data.	Digital Asset Services	Document Management	Document Imaging and OCR	Document Imaging and OCR	016-00-02-00-01-2210-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Digital Asset Services	Document Management	Document Revisions	Document Revisions	016-00-02-00-01-2210-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the	Digital Asset Services	Document Management	Indexing	Indexing	016-00-02-00-01-2210-00	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.							
ORS	The Online Retrieval System (ORS) provides the ability to view any notice that has been sent to a customer. ORS also stores the notices in an exact image of the original, thus allowing SSA to adhere to Federal regulations on retention of documents, and move closer to an efficient, paperless environment.	Digital Asset Services	Document Management	Indexing	Indexing	016-00-01-04-02-2132-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Digital Asset Services	Document Management	Library / Storage	Library / Storage	016-00-02-00-01-2210-00	Internal	0
MADAM	The Master File Data Access Method (MADAM) is an in-house access method designed to access SSA's major master records.	Digital Asset Services	Knowledge Management	Information Retrieval	Information Retrieval	016-00-01-04-02-2132-00	Internal	0
eView	eView is an application that enables users	Digital Asset Services	Knowledge Management	Information Retrieval	Information Retrieval	016-00-01-02-02-2130-00	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	involved in case processing to view and/or print the disability information contained in the Electronic Folder.							
EDCS	The Electronic Disability Collect System (EDCS) is the electronic version of the paper disability forms. Information is collected in the same sequence, using the same questions as the paper forms.	Digital Asset Services	Knowledge Management	Knowledge Capture	Knowledge Capture	016-00-01-02-02-2130-00	Internal	0
FECS	FECS is the software used to provide the front-end capture capabilities needed to process the DMA unstructured data.	Digital Asset Services	Knowledge Management	Knowledge Capture	Knowledge Capture	016-00-02-00-01-2210-00	Internal	0
EFI	The Electronic Folder (EF) is the Social Security Administrations (SSA) repository of disability information used to process and review disability determinations.	Digital Asset Services	Knowledge Management	Knowledge Capture	Knowledge Capture	016-00-01-04-02-2132-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Digital Asset Services	Records Management	Document Classification	Document Classification	016-00-02-00-01-2210-00	Internal	0
CFRMS	The Claim File Records	Digital Asset Services	Records Management	Document Retirement	Document Retirement	016-00-01-02-02-	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	Management System (CFRMS) provides a consolidated view of the electronic claims file for the purpose of records and content management.					2130-00		
Electronic Records Express	Electronic Records Express is the method by which medical providers submit medical records electronically. Once electronically submitted, medical records become a part of the Electronic Folder. DDS examiners have the ability to view medical records online along with disability data collected by the Field Office.	Process Automation Services	Routing and Scheduling	Inbound Correspondence Management	Inbound Correspondence Management	016-00-01-02-02-2130-00	Internal	0
CFRMS	The Claim File Records Management System (CFRMS) provides a consolidated view of the electronic claims file for the purpose of records and content management.	Process Automation Services	Tracking and Workflow	Case Management	Case Management	016-00-01-02-02-2130-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Support Services	Collaboration	Document Library	Document Library	016-00-02-00-01-2210-00	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
ORS	The Online Retrieval System (ORS) provides the ability to view any notice that has been sent to a customer. ORS also stores the notices in an exact image of the original, thus allowing SSA to adhere to Federal regulations on retention of documents, and move closer to an efficient, paperless environment.	Support Services	Collaboration	Document Library	Document Library	016-00-01-04-02-2132-00	Internal	0
eTrust, Top Secret	eTrust SSO provides internal SSA end users a login option (leveraging Microsoft Active Directory login) that allows them to more effectively manage UserIDs and passwords for multiple applications (Internet, Intranet and/or CISC) each one with unique sign-on requirements. TOP SECRET is the security software running on all of SSA's mainframe systems.	Support Services	Security Management	Access Control	Access Control	016-00-02-00-01-2210-00	Internal	0
Top Secret, iESI	TOP SECRET is the security software running on all of SSA's mainframe systems. iESI is Internet/Intranet Enterprise Security Interface.	Support Services	Security Management	Identification and Authentication	Identification and Authentication	016-00-02-00-01-2210-00	Internal	0
CDW	The Consolidated Development Worksheet	Support Services	Systems Management	Issue Tracking	Issue Tracking	016-00-01-02-02-2130-00	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	(CDW) allows users to view the issues, remarks and reports of contact from active Modernized Claim System, Modernized Supplemental Security Income Claim Systems and Representative Payee System records.							

Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

4. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Legacy Integration	Component Framework	Business Logic	Platform Dependent	ALC
Legacy Integration	Component Framework	Business Logic	Platform Dependent	COBOL 3
Issue Tracking	Component Framework	Business Logic	Platform Dependent	COBOL 3
Indexing	Component Framework	Business Logic	Platform Dependent	COBOL 3
Configuration Management	Component Framework	Business Logic	Platform Dependent	Visual Basic .Net (VB.Net)
Knowledge Capture	Component Framework	Business Logic	Platform Independent	Enterprise Java Beans (EJB)
Legacy Integration	Component	Business Logic	Platform	Java Servlet (JSR 53)

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
	Framework		Independent	
Modeling	Component Framework	Business Logic	Platform Independent	Java Servlet (JSR 53)
Access Control	Component Framework	Business Logic	Platform Independent	Java Servlet (JSR 53)
Data Integration	Component Framework	Business Logic	Platform Independent	Java Servlet (JSR 53)
Data Exchange	Component Framework	Data Interchange	Data Exchange	Resource Description Framework (RDF)
Document Retirement	Component Framework	Data Interchange	Data Exchange	Simple Object Access Protocol (SOAP)
Configuration Management	Component Framework	Data Management	Database Connectivity	Active Data Objects .Net (ADO.Net)
Information Retrieval	Component Framework	Data Management	Database Connectivity	BDAM
Document Retirement	Component Framework	Data Management	Database Connectivity	DB2 Connector
Classification	Component Framework	Data Management	Database Connectivity	DB2 Connector
Library / Storage	Component Framework	Data Management	Database Connectivity	DB2 Connector
Document Classification	Component Framework	Data Management	Database Connectivity	DB2 Connector
Change Management	Component Framework	Data Management	Database Connectivity	DB2 Connector
Loading and Archiving	Component Framework	Data Management	Database Connectivity	DB2 Connector
Indexing	Component Framework	Data Management	Database Connectivity	DB2 Connector
Library / Storage	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Change Management	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Document Classification	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Imagery	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Classification	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Tagging and Aggregation	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Document Revisions	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Configuration Management	Component Framework	Data Management	Database Connectivity	Open Database Connectivity (ODBC)
Case Management	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Active Server Pages .Net (ASP.Net)
Configuration Management	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Active Server Pages .Net (ASP.Net)
Document Imaging and OCR	Component Framework	Security	Supporting Security Services	Secure Multipurpose Internet Mail Extensions (S/MIME)
Access Control	Component Framework	Security	Supporting Security Services	TopSecret
Identification and Authentication	Component Framework	Security	Supporting Security Services	TopSecret
Information Retrieval	Component Framework	Security	Supporting Security Services	TopSecret
Document Imaging and OCR	Component Framework	Security	Supporting Security Services	Transport Layer Security (TLS)

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Legacy Integration	Component Framework	Security	Supporting Security Services	Transport Layer Security (TLS)
Document Imaging and OCR	Service Access and Delivery	Access Channels	Collaboration / Communications	Electronic Mail (E-mail)
Document Imaging and OCR	Service Access and Delivery	Access Channels	Collaboration / Communications	Facsimile (Fax)
Case Management	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Knowledge Capture	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Legacy Integration	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Access Control	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Data Integration	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Information Retrieval	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Knowledge Capture	Service Access and Delivery	Access Channels	Other Electronic Channels	Web Service
Imagery	Service Access and Delivery	Access Channels	Other Electronic Channels	Web Service
Loading and Archiving	Service Access and Delivery	Access Channels	Other Electronic Channels	Web Service
Modeling	Service Access and Delivery	Access Channels	Other Electronic Channels	Web Service
Document Conversion	Service Access and Delivery	Access Channels	Other Electronic Channels	Web Service
Modeling	Service Access and Delivery	Access Channels	Web Browser	Any
Case Management	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Knowledge Capture	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Tagging and Aggregation	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Document Revisions	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Access Control	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on	
Document Retirement	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Document Imaging and OCR	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Knowledge Capture	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Imagery	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Tagging and Aggregation	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Classification	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Document Revisions	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Library / Storage	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Document Classification	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Change Management	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Loading and Archiving	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Document Library	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Legacy Integration	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Issue Tracking	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Access Control	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Data Integration	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Data Recovery	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Information Retrieval	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Identification and Authentication	Service Access and Delivery	Service Requirements	Legislative / Compliance	Security
Access Control	Service Access and Delivery	Service Requirements	Legislative / Compliance	Security
Document Imaging and OCR	Service Access and Delivery	Service Transport	Service Transport	File Transfer Protocol (FTP)
Loading and Archiving	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Legacy Integration	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Change Management	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Data Integration	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Information Retrieval	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Loading and Archiving	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Legacy Integration	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Change Management	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Data Integration	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Information Retrieval	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Document Imaging and OCR	Service Access and Delivery	Service Transport	Supporting Network Services	Multipurpose Internet Mail Extensions (MIME)
Document Imaging and OCR	Service Access and Delivery	Service Transport	Supporting Network Services	Simple Mail Transfer Protocol (SMTP)
Issue Tracking	Service Interface and Integration	Integration	Middleware	CICS
Access Control	Service Interface and Integration	Integration	Middleware	CICS
Identification and Authentication	Service Interface and Integration	Integration	Middleware	CICS
Knowledge Capture	Service Interface and Integration	Integration	Middleware	Message-Oriented Middleware (MOM): IBM Websphere MQ
Data Exchange	Service Interface and Integration	Interface	Service Description / Interface	Application Program Interface (API) / Protocol
Information Retrieval	Service Platform and Infrastructure	Database / Storage	Database	BDAM
Imagery	Service Platform	Database /	Database	Content Manager

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
	and Infrastructure	Storage		
Tagging and Aggregation	Service Platform and Infrastructure	Database / Storage	Database	Content Manager
Document Revisions	Service Platform and Infrastructure	Database / Storage	Database	Content Manager
Library / Storage	Service Platform and Infrastructure	Database / Storage	Database	Content Manager
Document Classification	Service Platform and Infrastructure	Database / Storage	Database	Content Manager
Change Management	Service Platform and Infrastructure	Database / Storage	Database	Content Manager
Document Retirement	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Classification	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Library / Storage	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Document Classification	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Change Management	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Loading and Archiving	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Indexing	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Issue Tracking	Service Platform and Infrastructure	Database / Storage	Database	IDMS
Data Exchange	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Document Conversion	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Case Management	Service Platform and Infrastructure	Delivery Servers	Web Servers	Internet Information Server (IIS)
Data Recovery	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	Redundant Array of Independent Disks (RAID)
Document Retirement	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Classification	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Library / Storage	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Document Classification	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Change Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Document Revisions	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Tagging and Aggregation	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Imagery	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Issue Tracking	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Inbound Correspondence Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Document Library	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Identification and	Service Platform	Hardware /	Peripherals	Direct Access Storage

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Authentication	and Infrastructure	Infrastructure		Device (DASD)
Loading and Archiving	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Indexing	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Information Retrieval	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Document Imaging and OCR	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Scanner
Knowledge Capture	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server
Access Control	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server
Modeling	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server
Document Retirement	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Classification	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Library / Storage	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Change Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Legacy Integration	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Issue Tracking	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Inbound Correspondence Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Document Library	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Access Control	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Identification and Authentication	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Change Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Version Management
Case Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Windows .Net
Imagery	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Tagging and Aggregation	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Document Revisions	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Document Classification	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Knowledge Capture	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Legacy Integration	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Modeling	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Document Conversion	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Access Control	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Data Integration	Service Platform	Support	Platform	Java 2 Platform

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
	and Infrastructure	Platforms	Independent	Enterprise Edition (J2EE)
Information Retrieval	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)

Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

No

a. If "yes," please describe.

6. Does this investment provide the public with access to a government automated information system?

No

a. If "yes," does customer access require specific software (e.g., a specific web browser version)?

1. If "yes," provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).

Exhibit 300: Part II: Planning, Acquisition and Performance Information

II.A. Alternatives Analysis

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A- 94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project?

Yes

a. If "yes," provide the date the analysis was completed?

2/23/2003

b. If "no," what is the anticipated date this analysis will be completed?

c. If no analysis is planned, please briefly explain why

2. Removed

3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen?

Based on projected workloads and state preferences the alternative selected was to implement five distributed sites for a hybrid architecture using in-line outsourced scanning infrastructure. Subsequent to the decision to go with five distributed sites, two additional states were also identified as distributed sites. Only quantitative benefits are reflected below. Non-quantitative benefits are related to the PMA initiative "Eliminating Improper Payments" and to our Strategic Goals of "delivering high-quality, citizen-centered service" and "ensuring superior stewardship of Social Security programs and resources."

4. What specific qualitative benefits will be realized?

Enhancements in disability case processing productivity allows the handling of increasing caseloads without expanding the workforce. eDib will produce significant efficiencies and cost avoidance throughout

the disability business process. These include case folder arrangement and preparation, elimination of redundant data entry into multiple systems, and data sheet generation. Electronic transfer of data and online access to case files reduces the transfer of case folders between SSA offices by mail with benefits as much as \$102.60 million through Initial Case Folder Handling and Distribution Time. In approximately 10% of CDR cases, the medical evidence for the most recent favorable decision is not readily available, therefore requiring a thorough search for the folder. In addition, CDR folder reconstruction occurs in 1% of CDR cases, where the DDS cannot make a determination of continuance when evaluating current medical evidence and decides to initiate reconstruction of the beneficiary's prior medical records. In certain situations, SSA must continue to pay disability benefits to beneficiaries in cases that have been selected for review but which have medical evidence missing that cannot be reconstructed. Online storage with eDib significantly reduces the programmatic costs associated with lost CDR folders. Ultimately, the project was expanded to incorporate all 55 DDS sites. The overall project was accomplished within the schedule and below the estimated cost. The resulting technology, Document Management Architecture (DMA) has proved so successful that its use is being considered as the basis of paperless processing for other SSA programs, such as in the automation of the Representative Payee process.

II.B. Risk Management

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan?

Yes

a. If "yes," what is the date of the plan?

7/21/2006

b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

No

c. If "yes," describe any significant changes:

2. If there currently is no plan, will a plan be developed?

a. If "yes," what is the planned completion date?

b. If "no," what is the strategy for managing the risks?

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

SSA's baselines are risk adjusted in terms of both life cycle schedule and resource estimates. Factors considered in determining baseline risk adjustments include: identification of known and types of unknown program and technology risks, the likelihood of occurrence, the impact in the event the risk occurs, and the mitigation strategy adopted to manage each risk. The intent of adopting this strategy is for the program to be able to absorb inevitable risk occurrences and still achieve end cost and schedule objectives. This practice (along with our risk management policies and procedures) has to date been a successful one at SSA. Small management reserves are held at the Deputy Commissioner level in the event required.

II.C. Cost and Schedule Performance

1. Does the earned value management system meet the criteria in ANSI/EIA Standard-748?

Yes

2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):

a. What is the Planned Value (PV)?

20.138

b. What is the Earned Value (EV)?

20.138

c. What is the actual cost of work performed (AC)?

21.262

d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?

Contractor and Government

e. "As of" date:

9/30/2006

3. What is the calculated Schedule Performance Index (SPI = EV/PV)?

1.000000

4. What is the schedule variance (SV = EV-PV)?

0

5. What is the calculated Cost Performance Index (CPI = EV/AC)?

0.950000

6. What is the cost variance (CV=EV-AC)?

-1.124000

7. Is the CV% or SV% greater than +/- 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100)

No

a. If "yes," was it the?

b. If "yes," explain the variance:

c. If "yes," what corrective actions are being taken?

8. Have any significant changes been made to the baseline during the past fiscal year?

No