



**SOCIAL SECURITY ADMINISTRATION**

**FREEDOM OF INFORMATION ACT**

**ANNUAL REPORT**

**FISCAL YEAR 2013**

**FREEDOM OF INFORMATION ACT (FOIA) ANNUAL REPORT  
FOR THE SOCIAL SECURITY ADMINISTRATION  
FOR FISCAL YEAR 2013**

**I. BASIC INFORMATION REGARDING REPORT**

1. Provide name, title, address, and telephone number of person(s) to be contacted with questions about the Report.

**Name:** Dawn Wiggins

**Title:** Freedom of Information Act Officer

**Agency/Component:** Social Security Administration  
Office of the General Counsel  
Office of Privacy and Disclosure

**Telephone Number:** (410) 966-6645  
**FAX:** (410) 966-4304

**Mailing Address:** Social Security Administration  
Office of the General Counsel  
Office of Privacy and Disclosure  
Altmeyer Building, Room 617  
6401 Security Boulevard  
Baltimore, MD 21235-0001

2. Provide an electronic link for access to the Report on the agency Web site.

**Website:** <http://www.socialsecurity.gov/foia/>

3. Explain how to obtain a copy of the Report in paper form.

**To obtain a paper copy of this report, write to the address shown above, or phone, fax, or e-mail the Office of Privacy and Disclosure (OPD). Our e-mail address is [foia.pa.officers@ssa.gov](mailto:foia.pa.officers@ssa.gov).**

**II. MAKING A FOIA REQUEST**

1. Provide names, addresses, and telephone numbers of all individual agency components that receive FOIA requests.

Office of Privacy and Disclosure, Altmeyer Building, Room 617, 6401 Security Boulevard, Baltimore, MD 21235-0001, (410) 966-6645.  
Division of Earnings Record Operations, 3-D-10 Metro West, 300 North Greene Street, Baltimore, MD 21290-0300, (410) 597-1730.

2. Provide a brief description of why some requests are not granted and an overview of certain general categories of the agency's records to which the FOIA exemptions apply.

**In general, we do not disclose: classified records; internal personnel rules; records of investigation; information deemed confidential by law; personal information about living people; information that is otherwise legally privileged; or trade secrets found in confidential financial information within procurement records.**

The following are some examples of agency records covered by FOIA exemptions:

- **Exemption 2, Internal Personnel Rules and Practices.** We withhold information regarding Federal agency personnel matters such as conditions of employment, hiring tests, work rules, disciplinary actions, and employee benefits.
- **Exemption 3, Prohibited by Law.** We use Exemption 3 when the law strictly prohibits disclosing the requested information or when we can withhold information under specific criteria set by law. For example, the Internal Revenue Code (26 U.S.C. §§ 6103, 6105) restricts the disclosure of tax return information, such as third-party addresses, employers' names, addresses, and earnings information. The Federal Information Security Management Act of 2002 ([44 U.S.C. § 3541](#)) protects sensitive information about our systems because its release would increase the opportunity of fraud and pose cyber-security risks to our networks.
- **Exemption 4, Trade Secrets and Commercial or Financial Information.** We withhold information contained in contracts that relates to "trade secrets and commercial or financial information which, if disclosed, would either cause substantial harm to a person's ability to compete with others in his business or impair the Government's ability to obtain needed information." These records may include detailed information concerning profits, losses, and business costs.
- **Exemption 5, Deliberative Process.** We withhold documents that contain advice, opinions, recommendations, predecisional discussion, and evaluative remarks that are part of the government decision-making process.
- **Exemption 6, Invasion of Privacy.** We withhold any personal information if disclosing it would constitute a clearly unwarranted invasion of personal privacy. For example, we would invoke this exemption when a requester asks if his neighbor receives Social Security benefits. Disclosing this information would not serve the public interest and would constitute an invasion of the neighbor's privacy.

- **Exemption 7, Investigatory Records.** We withhold records compiled for law enforcement purposes if the production of this information could reasonably be expected to interfere with law enforcement proceedings, deprive a person of his or her right to a fair trial, disclose the identity of a confidential source, disclose investigative techniques or procedures, or endanger the life or physical safety of law enforcement personnel. We apply this exemption to Office of the Inspector General (OIG) reports and investigations.

### III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

1. Provide any agency-specific acronyms or terms used in this report.

**OPD- Office of Privacy and Disclosure**

**SSA- Social Security Administration**

**SSN- Social Security number**

**OIG – Office of the Inspector General**

2. Include the following definitions of terms used in this Report:

- a. **Administrative Appeal** – a request to a Federal agency asking that it review, at a higher administrative level, a full denial or a partial denial of access to records under the FOIA or any other FOIA determination such as a matter pertaining to fees.
- b. **Average Number** – the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
- c. **Backlog** – the number of requests that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- d. **Component** – for agencies that process requests on a decentralized basis, a “component” is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- e. **Consultation** – the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.

- f. **Exemption 3 Statute** – a Federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- g. **FOIA Request** – generally a request to a Federal agency for access to records concerning another person (i.e., a “third-party” request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., “first-party” requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act “systems of records” or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. In this report, we include all requests that require the agency to use the FOIA in responding to the requester. Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester, but not those received as a consultation from another agency. (We report consultations separately in Section XII of this Report.)
- h. **Full Grant** – an agency decision to disclose all records in full in response to a FOIA request.
- i. **Full Denial** – an agency decision not to release any records in response to a FOIA request because the entire records are exempt under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j. **Median Number** – the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k. **Multi-Track Processing** – a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted for expedited processing are placed in a separate track. Requests in each track are processed on a first in/first out basis.
  - i. **Expedited Processing** – an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the FOIA and in agency regulations.
  - ii. **Simple Request** – a FOIA request that an agency using multi-track processing places in its fastest non-expedited track based on the low volume and/or simplicity of the records requested.

- iii. **Complex Request** – a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
  - l. **Partial Grant/Partial Denial** – in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
  - m. **Pending Request or Pending Administrative Appeal** – a request or administrative appeal for which an agency has not taken final action in all respects.
  - n. **Perfected Request** – a request for records that reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any), and procedures to be followed.
  - o. **Processed Request or Processed Administrative Appeal** – a request or administrative appeal for which an agency has taken final action in all respects.
  - p. **Range in Number of Days** – the lowest and highest number of days to process requests or administrative appeals.
  - q. **Time Limits** – the time period in the FOIA for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).
3. Include the following concise descriptions of the nine FOIA exemptions:
- a. **Exemption 1:** classified national defense and foreign relations information
  - b. **Exemption 2:** internal agency rules and practices
  - c. **Exemption 3:** information that is prohibited from disclosure by another Federal law
  - d. **Exemption 4:** trade secrets and other confidential business information
  - e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges
  - f. **Exemption 6:** information involving matters of personal privacy
  - g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected

to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual

- h. **Exemption 8:** information relating to the supervision of financial institutions
- i. **Exemption 9:** geological information on wells

#### IV. EXEMPTION 3 STATUTES

| Statute  | Type of Information Withheld  | Case Citation  | Agency / Component | Number of Times Relied upon by Agency / Component | Total Number of Times Relied upon by Agency Overall |
|--|---|--|--------------------|---|---|
| 26 U.S.C. § 6103, 6105 (Internal Revenue Code) | certain tax return information and certain tax convention information | Church of Scientology v. IRS, 484 U.S. 9, 15 (1987) (26 U.S.C. § 6103) Pac. Fisheries, Inc. v. IRS, No. 09-35618, 2010 WL 3611645, at *2 (9th Cir. September 15, 2010) (unpublished disposition); Tax Analysts v. IRS, 217 F. Supp. 2d 23, 27-29 (D.D.C. 2002) (26 U.S.C. § 6105). | SSA                | 26  | 26  |
|  |   |  |                    |   |   |

**V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS**

| Agency / Component | Number of Requests Pending as of Start of Fiscal Year | Number of Requests Received in Fiscal Year | Number of Requests Processed in Fiscal Year | Number of Requests Pending as of End of Fiscal Year |
|--------------------|---|--|---|---|
| SSA                | 590   | 39,159                                     | 39,455                                      | 294   |
|                    |   |  |   | 0   |
| AGENCY OVERALL     | 590   | 39,159                                     | 39,455                                      | 294   |

**V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS**

| Agency / Component | Number of Full Grants | Number of Partial Grants / Partial Denials | Number of Full Denials Based on Exemptions | Number of Full Denials Based on Reasons Other than Exemptions |   |                   |                    |                                  |  |                   |                   |                               | TOTAL  |
|--------------------|-----------------------|--|--|---|---|-------------------|--------------------|----------------------------------|--|-------------------|-------------------|-------------------------------|--------|
|                    |                       |  |  | No Records  | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other *Explain in Chart Below |        |
| SSA                | 38,269                | 791  | 296  | 20  | 2   | 24                | 25                 | 25                               | 0                                      | 0                 | 3                 | 0                             | 39,455 |
|                    |                       |  |  |   |   |                   |                    |                                  |  |                   |                   |                               | 0      |
| AGENCY OVERALL     | 38,269                | 791  | 296  | 20  | 2   | 24                | 25                 | 25                               | 0                                      | 0                 | 3                 | 0                             | 39,455 |

**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS  
BASED ON REASONS OTHER THAN EXEMPTIONS"**

| Agency /<br>Component | Description of "Other" Reasons for Denials from Chart B(1) | Number of Times<br>"Other" Reason<br>Was Relied Upon | TOTAL |
|-----------------------|--|--|-------|
| SSA                   | N/A  | 0  | 0     |
| AGENCY<br>OVERALL     |  |  | 0     |
|                       |  |  |       |

**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
|--------------------|-------|-------|-------|-------|-------|-------|----------|----------|----------|----------|----------|----------|-------|-------|
| SSA                | 0     | 17    | 26    | 62    | 105   | 490   | 45       | 0        | 34       | 11       | 20       | 2        | 0     | 0     |
|                    |       |       |       |       |       |       |          |          |          |          |          |          |       |       |
| AGENCY OVERALL     | 0     | 17    | 26    | 62    | 105   | 490   | 45       | 0        | 34       | 11       | 20       | 2        | 0     | 0     |

**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

| Agency / Component | Number of Appeals Pending as of Start of Fiscal Year | Number of Appeals Received in Fiscal Year | Number of Appeals Processed in Fiscal Year | Number of Appeals Pending as of End of Fiscal Year |
|--------------------|--|---|--|--|
| SSA                | 37   | 452                                       | 459  | 30   |
|                    |  |   |  | 0  |
| AGENCY OVERALL     | 37   | 452                                       | 459  | 30   |

**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

| Agency / Component | Number Affirmed on Appeal | Number Partially Affirmed & Partially Reversed/Remanded on Appeal | Number Completely Reversed/Remanded on Appeal | Number of Appeals Closed for Other Reasons | TOTAL |
|--------------------|---------------------------|---|---|--|-------|
| SSA                | 178                       | 54  | 227   | 0  | 459   |
|                    |                           |   |   |  | 0     |
| AGENCY OVERALL     | 178                       | 54  | 227   | 0  | 459   |

**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**

| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
|--------------------|-------|-------|-------|-------|-------|-------|----------|----------|----------|----------|----------|----------|-------|-------|
| SSA                | 0     | 4     | 0     | 0     | 22    | 202   | 6        | 0        | 0        | 0        | 1        | 0        | 0     | 0     |
|                    |       |       |       |       |       |       |          |          |          |          |          |          |       |       |
| AGENCY OVERALL     | 0     | 4     | 0     | 0     | 22    | 202   | 6        | 0        | 0        | 0        | 1        | 0        | 0     | 0     |

**VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS**

| Agency / Component | No Records | Records Referred at Initial Request Level | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper Request for Other Reasons | Not Agency Record | Duplicate Request or Appeal | Request in Litigation | Appeal Based Solely on Denial of Request for Expedited Processing | Other *Explain in chart below |
|--------------------|------------|---|-------------------|--------------------|----------------------------------|------------------------------------|-------------------|-----------------------------|-----------------------|---|-------------------------------|
| SSA                | 25         | 0   | 0                 | 4                  | 2                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| AGENCY OVERALL     | 25         | 0   | 0                 | 4                  | 2                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |

**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

| Agency / Component | Description of "Other" Reasons for Denial on Appeal from Chart C(2) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
|--------------------|---|--|-------|
| SSA                | N/A   | 0  | 0     |
| AGENCY OVERALL     |   |  | 0     |
|                    |   |  |       |

**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

| Agency / Component | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
|--------------------|-----------------------|------------------------|-----------------------|------------------------|
|                    |                       |                        |                       |                        |
|                    |                       |                        |                       |                        |
| AGENCY OVERALL     | 22.00                 | 29.00                  | 1.00                  | 221.00                 |

**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

| Agency / Component |                        | 10th Oldest Appeal | 9th        | 8th        | 7th        | 6th        | 5th        | 4th        | 3rd        | 2nd        | Oldest Appeal |
|--------------------|------------------------|--------------------|------------|------------|------------|------------|------------|------------|------------|------------|---------------|
|                    | Date of Appeal         |                    |            |            |            |            |            |            |            |            |               |
|                    | Number of Days Pending |                    |            |            |            |            |            |            |            |            |               |
| AGENCY OVERALL     | Date of Appeal         | 2013-07-31         | 2013-07-30 | 2013-07-30 | 2013-07-19 | 2013-07-11 | 2013-07-11 | 2013-05-17 | 2013-03-23 | 2013-03-07 | 2012-10-24    |
|                    | Number of Days Pending | 65                 | 66         | 66         | 73         | 79         | 79         | 118        | 157        | 169        | 265           |

**VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS**

| Agency / Component | SIMPLE                |                        |                       |                        | COMPLEX               |                        |                       |                        | EXPEDITED PROCESSING  |                        |                       |                        |
|--------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|
|                    | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
|                    |                       |                        |                       |                        |                       |                        |                       |                        |                       |                        |                       |                        |
|                    |                       |                        |                       |                        |                       |                        |                       |                        |                       |                        |                       |                        |
| AGENCY OVERALL     | 18                    | 17                     | 1                     | 45                     | 45                    | 64                     | 1                     | 331                    | N/A                   | N/A                    | N/A                   | N/A                    |

**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

| Agency / Component | SIMPLE                |                        |                       |                        | COMPLEX               |                        |                       |                        | EXPEDITED PROCESSING  |                        |                       |                        |
|--------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|
|                    | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
|                    |                       |                        |                       |                        |                       |                        |                       |                        |                       |                        |                       |                        |
|                    |                       |                        |                       |                        |                       |                        |                       |                        |                       |                        |                       |                        |
| AGENCY OVERALL     | 20                    | 20                     | 1                     | 45                     | 44                    | 60                     | 4                     | 299                    | N/A                   | N/A                    | N/A                   | N/A                    |



**VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
|--------------------|------------|------------|------------|------------|-------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|-----------|-------|
| SSA                | 160        | 250        | 152        | 125        | 71          | 27           | 18           | 27           | 9            | 27           | 26           | 1            | 0         | 893   |
|                    |            |            |            |            |             |              |              |              |              |              |              |              |           | 0     |
| AGENCY OVERALL     | 160        | 250        | 152        | 125        | 71          | 27           | 18           | 27           | 9            | 27           | 26           | 1            | 0         | 893   |



**VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS**

| Agency / Component | SIMPLE         |                       |                        | COMPLEX        |                       |                        | EXPEDITED PROCESSING |                       |                        |
|--------------------|----------------|-----------------------|------------------------|----------------|-----------------------|------------------------|----------------------|-----------------------|------------------------|
|                    | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days | Number Pending       | Median Number of Days | Average Number of Days |
|                    |                |                       |                        |                |                       |                        |                      |                       |                        |
|                    |                |                       |                        |                |                       |                        |                      |                       |                        |
| AGENCY OVERALL     | 233            | 18                    | 17                     | 61             | 45                    | 64                     | 0                    | N/A                   | N/A                    |

**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

| Agency / Component |                        | 10th Oldest Request | 9th        | 8th        | 7th        | 6th        | 5th        | 4th        | 3rd        | 2nd        | Oldest Request |
|--------------------|------------------------|---------------------|------------|------------|------------|------------|------------|------------|------------|------------|----------------|
|                    | Date of Receipt        |                     |            |            |            |            |            |            |            |            |                |
|                    | Number of Days Pending |                     |            |            |            |            |            |            |            |            |                |
| AGENCY OVERALL     | Date of Receipt        | 2013-06-11          | 2013-05-30 | 2013-05-17 | 2013-04-05 | 2013-04-02 | 2013-03-23 | 2013-03-07 | 2013-01-29 | 2012-12-26 | 2012-10-24     |
|                    | Number of Days Pending | 101                 | 109        | 118        | 148        | 151        | 157        | 169        | 196        | 220        | 265            |

### VIII.A. REQUESTS FOR EXPEDITED PROCESSING

| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate | Number Adjudicated Within Ten Calendar Days |
|--------------------|----------------|---------------|-------------------------------------|--------------------------------------|---|
|                    |                |               |                                     |                                      |   |
|                    |                |               |                                     |                                      |   |
| AGENCY OVERALL     | 0              | 0             | N/A                                 | N/A                                  | 0   |

### VIII.B. REQUESTS FOR FEE WAIVER

| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate |
|--------------------|----------------|---------------|-------------------------------------|--------------------------------------|
|                    |                |               |                                     |                                      |
|                    |                |               |                                     |                                      |
| AGENCY OVERALL     | 0              | 4             | 26                                  | 41                                   |

**IX. FOIA PERSONNEL AND COSTS**

| Agency / Component | PERSONNEL                            |   |  | COSTS            |                          |                |
|--------------------|--------------------------------------|---|--|------------------|--------------------------|----------------|
|                    | Number of "Full-Time FOIA Employees" | Number of "Equivalent Full-Time FOIA Employees" | Total Number of "Full-Time FOIA Staff" | Processing Costs | Litigation-Related Costs | Total Costs    |
| SSA                | 22                                   | 38  | 60                                     | \$3,467,286.00   | \$76,977.00              | \$3,544,263.00 |
|                    |                                      |   | 0                                      |                  |                          | \$0.00         |
| AGENCY OVERALL     | 22                                   | 38  | 60                                     | \$3,467,286.00   | \$76,977.00              | \$3,544,263.00 |

## X. FEES COLLECTED FOR PROCESSING REQUESTS

| Agency / Component | Total Amount of Fees Collected | Percentage of Total Costs |
|--------------------|--------------------------------|---------------------------|
| SSA                | \$293,892.00                   | 8.48%                     |
|                    |                                |                           |
| AGENCY OVERALL     | \$293,892.00                   | 8.48%                     |

## XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

| Agency / Component | Number of Backlogged Requests as of End of Fiscal Year | Number of Backlogged Appeals as of End of Fiscal Year |
|--------------------|--|---|
| SSA                | 67   | 17  |
|                    |  |   |
| AGENCY OVERALL     | 67   | 17  |

**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

| Agency / Component | Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year | Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year |
|--------------------|---|--|--|---|
| SSA                | 0   | 42   | 42   | 0   |
|                    |   |  |  | 0   |
| AGENCY OVERALL     | 0   | 42   | 42   | 0   |



**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT --  
REQUESTS RECEIVED AND PROCESSED**

| Agency / Component | NUMBER OF REQUESTS <u>RECEIVED</u>   |   | NUMBER OF REQUESTS <u>PROCESSED</u>   |  |
|--------------------|--|---|---|--|
|                    | Number Received<br>During Fiscal Year from<br>Last Year's Annual<br>Report | Number Received<br>During Fiscal Year from<br>Current Annual Report | Number Processed<br>During Fiscal Year from<br>Last Year's Annual<br>Report | Number Processed<br>During Fiscal Year from<br>Current Annual Report |
| SSA                | 31,329   | 39,159  | 31,584  | 39,455   |
|                    |  |   |   |  |
| AGENCY OVERALL     | 31,329   | 39,159  | 31,584  | 39,455   |

**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

| Agency / Component | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
|--------------------|--|---|
| SSA                | 30   | 67  |
|                    |  |   |
| AGENCY OVERALL     | 30   | 67  |

**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

| Agency / Component | NUMBER OF APPEALS <u>RECEIVED</u>                                 |   | NUMBER OF APPEALS <u>PROCESSED</u>                                 |  |
|--------------------|---|---|--|--|
|                    | Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| SSA                | 867   | 452   | 844  | 459  |
|                    |   |   |  |  |
| AGENCY OVERALL     | 867   | 452   | 844  | 459  |

**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEAL**

| Agency / Component | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
|--------------------|---|--|
| SSA                | 15  | 17   |
|                    |   |  |
| AGENCY OVERALL     | 15  | 17   |

