

Regional CE Oversight Report

The Regional Office (RO) coordinates all DDS Oversight report matters through the Centers for Disability. The RO prepares a written review of regional CE Oversight actions and provides an overview of DDS CE Oversight activities. The RO is responsible for reviewing the DDS CE Oversight reports including the DDS CE provider lists and fee schedules to ensure compliancy and identify areas that need support.

The RO will upload the Regional CE Oversight Report to the MPRO SharePoint site annually by the end of the calendar year, December 31.

Region:	Boston
List of DDSs:	MA, CT, RI, ME, VT, NH
Report Period (Fiscal Year):	2014
Current Date:	12/8/14
Reporter's Name, Phone number, and title:	Name (b) (6) Phone number (b) (6) Title Public Relations Coordinator

1. Did the RO obtain all of the DDSs' CE Oversight reports? Provide explanation.

Yes.

2. Did the RO conduct any onsite visits at the DDSs? Provide explanation.

Yes, each DPA has made several visits to the DDS sites. During each visit, the DPA reviews DDS performance, including CE issues. During these visits, the DPA has reviewed a sample of the CE providers' credentials.

3. Did the RO accompany the DDSs on selected CE provider oversight visits to key or problem providers? Provide explanation.

No, in 2014 the RO did not accompany any of the DDSs on CE provider visits.

4. Did the RO conduct periodic reviews of CE purchase practices in the DDSs? Provide explanation.

Yes, the RO reviews CE purchase practices regularly and reviews regional and national statistics to compare each state's rates with the national average. Outliers are encouraged to review their CE ordering practices to obtain better compliance.

5. Did the RO conduct periodic reviews of CE purchase practices in the DDSs? Provide explanation.

same as #4

6. Did the RO spot check the DDSs' list of CE providers against the HHS-OIG LEIE list to ensure CE providers were not federally excluded? Provide explanation.

The regional PRO sampled the DDSs' list of CE providers against the HHS-OIG LEIE list.

7. Did the RO receive any request from the DDSs for an exemption to SSA's no-pay policy for missed CE appointments? If yes, did ODD provide approval?

The RO received no requests from the DDSs for such exemptions.

8. Did the RO immediately alert the ODD of any complaint or other situation expected to: provoke public criticism; or result in press attention. Provide explanation.

The RO had one case in the media about a (b) (6) provider in Massachusetts that was not properly credentialed, and was accused of identity theft and Medicaid fraud. We confirmed that this source did not provide any CEs, but submitted MER in several claims, the latest in 2012. The DDS is reviewing each claim with MER from that source.

9. Did the RO identify and provide any potential conflict of interest (COI) situations to the ODD for review? Provide explanation.

The RO had no potential conflict of interest situations to report.

Please attach any additional information before submitting this form.

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Region:	New York
List of DDSs:	New York, New Jersey, Common Wealth of Puerto Rico and US Virgin Islands
Report Period (Fiscal Year):	FY14
Current Date:	December 4, 2014
Reporter's Name, Phone number, and title:	Name (b) (6) Phone number (b) (6) Title NJ DDS DPA and NY Region PRC

1. Did the RO obtain all of the DDSs' CE Oversight reports? Provide explanation.

All CE oversight reports were submitted to the MPRO share point site.

2. Did the RO conduct any onsite visits at the DDSs? Provide explanation.

No

3. Did the RO accompany the DDSs on selected CE provider oversight visits to key or problem providers? Provide explanation.

Disability Program Administrators (DPAs) and a project officer partnered with the DDSs MPROs and conducted 21 onsite visits for key CE providers. PR DPA visited a (b) (6) provider whose facility did not meet SSA's safety regulations. There was no elevator in the building. The (b) (6) office was located on the (b) (6). Claimants were not able to climb up the stairs. The PR DDS ceased sending referrals to this provider.

4. Did the RO conduct periodic reviews of CE purchase practices in the DDSs? Provide explanation.

A national CE Utilization workgroup was established to examine whether the DDSs are in compliance with medical policy when purchasing CEs. The workgroup sampled more than 200 cases at the initial and reconsideration levels for timeliness and types of CEs purchased. The probe results and final report have not been released.

5. Did the RO conduct periodic reviews of CE purchase practices in the DDSs? Provide explanation.

Same as question #4 above.

6. Did the RO spot check the DDSs' list of CE providers against the HHS-OIG LEIE list to ensure CE providers were not federally excluded? Provide explanation.

License and sanctions checks are conducted on a quarterly basis. The professional relations coordinator (PRC) checked 293 CEMD providers' licenses and the OIG LEIE website for sanctions.

7. Did the RO receive any request from the DDSs for an exemption to SSA's no-pay policy for missed CE appointments? If yes, did ODD provide approval?

No

8. Did the RO immediately alert the ODD of any complaint or other situation expected to: provoke public criticism; or result in press attention. Provide explanation.

The NY Region notified ODD of two conspiracy fraud schemes in New York and Puerto Rico which resulted in numerous benefit suspensions and terminations. The PR fraud scheme involved (b) (6) providers who were also treating sources. The NY fraud scheme involved (b) (6). Both Continuing Disability Investigations (CDI) units have ongoing investigations.

9. Did the RO identify and provide any potential conflict of interest (COI) situations to the ODD for review? Provide explanation.

None

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