



## SOCIAL SECURITY

Office of Budget, Finance, Quality, and Management

October 31, 2016

The Honorable Thad Cochran  
Chairman, Committee on Appropriations  
United States Senate  
Washington, DC 20510

Dear Mr. Chairman:

This letter transmits the Social Security Administration's Quarterly Report on the Status of Balances of Appropriations for July through September 2016, as required by Division H, Section 526 of the Consolidated Appropriations Act, 2016 (Public Law 114-113).

We are sending similar letters to Senators Mikulski, Blunt, and Murray, and Representatives Rogers, Lowey, Cole, and DeLauro.

We are happy to work with your staff to answer any questions about our quarterly report. If there are any questions, please have your staff contact Bonnie Kind, Associate Commissioner for the Office of Budget, at (410) 965-3501.

Sincerely,

Michelle A. King  
Deputy Commissioner  
for Budget, Finance, Quality, and Management

Enclosure



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The Honorable Harold Rogers  
Chairman, Committee on Appropriations  
United States House of Representatives  
Washington, DC 20515

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Michelle A. King  
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Enclosure

Part 1 - Status of Balances																			
A	B	C	D	E	F	G	G1	H	I	J=G+G1+H+I	K	L	M=K+L	N	O	P+N+O	Q=P/J	R	
						Budget Authority					Status of Balances								
						Other BA (Transfers, Recoveries, Reprogrammings, etc)					Unobligated				Obligated				
Agency	Appropriation Name	Activity Name	Subactivity Name	Program Name	Period Of Availability	Carryover BA	Unobligated Balance Brought Frwd (PY)	New BA	Total BA Available	Committed	Not Committed	Total Unobligated	YTD Obligated but Unexpended	YTD Obligated and Expended	Total Obligated	Percentage Obligated	Cumulative Balance of Unexpended Obligations		
SSA	28168704	N/A	N/A	LAE	2016-2021	N/A	N/A	11,912,048,660.97	-	-	48,292,816.72	48,292,816.72	1,202,261,350.55	10,661,494,493.70	11,863,755,844.25	100%	1,202,261,350.55		
SSA	28158704	N/A	N/A	LAE	2015-2020	N/A	91,276,727.25	N/A	39,471,031.42	N/A	52,624,298.96	52,624,298.96	13,715,494.25	64,407,965.46	78,123,459.71	60%	211,889,651.84		
SSA	28148704	N/A	N/A	LAE	2014-2019	N/A	45,688,965.89	N/A	13,383,639.53	N/A	34,887,238.15	34,887,238.15	18,504,758.29	5,680,608.98	24,185,367.27	41%	155,510,999.92		
SSA	28138704	N/A	N/A	LAE	2013-2018	N/A	35,339,165.50	N/A	936,879.52	N/A	30,639,043.54	30,639,043.54	5,333,231.79	303,769.69	5,637,001.48	16%	68,801,616.85		
SSA	28128704	N/A	N/A	LAE	2012-2017	N/A	75,554,044.59	N/A	(4,617,578.40)	N/A	52,623,227.58	52,623,227.58	6,887,537.70	9,425,700.91	16,313,238.61	24%	145,434,196.16		
SSA	28118704	N/A	N/A	LAE	2011-2016	N/A	34,832,793.19	N/A	(7,942,347.22)	N/A	-	-	6,360,569.66	4,464,748.06	10,825,317.72	100%	(0.00)		
SSA	28160400	N/A	N/A	OIG	2016-2021	N/A	N/A	105,500,000.00	-	-	790,541.31	790,541.31	2,192,964.69	102,516,494.00	104,709,458.69	99%	2,192,964.69		
SSA	28150400	N/A	N/A	OIG	2015-2020	N/A	773,877.49	N/A	399,078.69	N/A	845,121.60	845,121.60	138,806.46	189,028.12	327,834.58	28%	819,162.98		
SSA	28140400	N/A	N/A	OIG	2014-2019	N/A	466,506.19	N/A	101,460.92	N/A	454,998.18	454,998.18	107,974.73	4,994.20	112,968.93	20%	904,402.28		
SSA	28130400	N/A	N/A	OIG	2013-2018	N/A	654,930.37	N/A	640.00	N/A	652,811.76	652,811.76	-	2,758.61	2,758.61	0%	136,473.18		
SSA	28120400	N/A	N/A	OIG	2012-2017	N/A	316,380.64	N/A	-	N/A	311,555.80	311,555.80	-	4,824.84	4,824.84	2%	204,797.87		
SSA	28110400	N/A	N/A	OIG	2011-2016	N/A	429,543.52	N/A	(429,543.52)	N/A	-	-	-	(0.00)	(0.00)	#DIV/0!	-		
SSA	28X8704	N/A	N/A	LAE X	X	121,812,754.83	-	247,587,781.00	221,143,321.59	-	125,954,865.60	125,954,865.60	196,400,434.02	268,188,557.80	464,588,991.82	79%	254,982,051.77		
SSA	2809/118704	N/A	N/A	ARRA-ERP Admin	2011-2016	N/A	1,952,875.08	N/A	(1,952,875.08)	-	-	-	-	-	-	#DIV/0!	-		

\* Column G1 was added for Expired activity. Column G does not account for Expired balances brought forward. Column G1 was created in order for the total calculated in Column J to match to the 133

Part 2 - Appropriation Source Year of Commitments No-Year Unobligated Balances										
A	B	C	D	E	F	G	H	I	J	K
Agency	Appropriation Name	Activity Name	Subactivity Name	Program Name	Total Committed	CY New Budget Authority	CY-1 Carryover	CY-2 Carryover	CY-3 Carryover	CY-4 and Prior Carryover
SSA	28X8704	N/A	N/A	LAE X	0.00	0.00	0.00	0.00	0.00	0.00

Part 3 - Appropriation Source Year of Unobligated, Uncommitted No-Year Balances											
A	B	C	D	E	F	G	G1	H	I	J	K
Agency	Appropriation Name	Activity Name	Subactivity Name	Program Name	Total Uncommitted	CY New Budget Authority	CY New Transfer Authority	CY-1 Carryover	CY-2 Carryover	CY-3 Carryover	CY-4 and Prior Carryover
SSA	28X8704	N/A	N/A	LAE X	125,954,865.60	39,242,739.04	52,854,752.10	1,032,570.99	23,302.38	379,533.00	32,421,968.09

\* Column G1 was added for to account for transfers between PY LAE and LAE X. These transfers are not new budget authority, but are new transfers into the CY for the X account.

Part 4 - Age of Unexpended Balances, By Year of Obligation										
A	B	C	D	E	F	G	H	I	J	K
Agency	Appropriation Name	Activity Name	Subactivity Name	Program Name	Cumulative Unexpended Balance	FY CY Obligations	FY CY-1 Obligations	FY CY-2 Obligations	FY CY-3 Obligations	FY CY-4 and Prior Obligations
SSA	28168704	N/A	N/A	LAE	1,202,261,350.55	1,202,261,350.55				
SSA	28158704	N/A	N/A	LAE	211,889,651.84		211,889,651.84			
SSA	28148704	N/A	N/A	LAE	155,510,999.92			155,510,999.92		
SSA	28138704	N/A	N/A	LAE	68,801,616.85				68,801,616.85	
SSA	28128704	N/A	N/A	LAE	145,434,196.16					145,434,196.16
SSA	28118704	N/A	N/A	LAE	(0.00)					(0.00)
SSA	28160400	N/A	N/A	OIG	2,192,964.69	2,192,964.69				
SSA	28150400	N/A	N/A	OIG	819,162.98		819,162.98			
SSA	28140400	N/A	N/A	OIG	904,402.28			904,402.28		
SSA	28130400	N/A	N/A	OIG	136,473.18				136,473.18	
SSA	28120400	N/A	N/A	OIG	204,797.87					204,797.87
SSA	28110400	N/A	N/A	OIG	-					-
SSA	28X8704	N/A	N/A	LAE X	254,982,051.77	196,400,434.02	35,728,860.03	3,590,371.93	14,302,367.34	4,960,018.45
SSA	2809/118704	N/A	N/A	ARRA-ERP Admin	-				0.00	-