

**COMPUTER MATCHING AGREEMENT
BETWEEN
SOCIAL SECURITY ADMINISTRATION
AND
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES
OFFICE OF CHILD SUPPORT ENFORCEMENT**

“Title II-OCSE Quarterly Match Agreement”
SSA Match #1098/HHS Match #1506

I. PURPOSE

This computer matching agreement, hereinafter “agreement,” governs a matching program between the Office of Child Support Enforcement (OCSE) and the Social Security Administration (SSA). The agreement covers the quarterly wage and unemployment insurance batch match for Title II Disability Insurance (DI). This agreement also governs the use, treatment, and safeguarding of the information exchanged. OCSE is the “source agency” and SSA is the “recipient agency,” as defined by the Privacy Act. 5 U.S.C. §§552a(a)(9) and (11).

SSA will use the quarterly wage and unemployment insurance information from OCSE to establish or verify eligibility, continuing entitlement, or payment amounts, or all of the above, of individuals under the DI program.

The Privacy Act, as amended by the Computer Matching and Privacy Protection Act of 1988 (CMPPA), provides that no record contained in a system of records (SOR) may be disclosed for use in a computer matching program except pursuant to a written agreement containing specified provisions. 5 U.S.C. §552a(o). SSA and OCSE are executing this agreement to comply with the Privacy Act of 1974, as amended, and the regulations and guidance promulgated thereunder.

The SSA component responsible for this agreement and its contents is the Office of Privacy and Disclosure. The responsible component for OCSE is the Division of Federal Systems. This agreement is applicable to personnel, facilities, and information systems of SSA and OCSE involved in the processing and storage of National Directory of New Hires (NDNH) information. Personnel are defined as employees, contractors, or agents of OCSE and SSA.

This agreement includes a security addendum and two appendices.

II. RESPONSIBILITIES OF THE PARTIES

A. OCSE Responsibilities

1. On a quarterly basis, OCSE will compare the SSA finder file against the quarterly wage and unemployment insurance files in the NDNH for the purpose set forth in this agreement.

2. OCSE will send a response file to SSA containing the results of the comparison.

B. SSA Responsibilities

1. On a quarterly basis, SSA will submit a finder file of DI beneficiaries for comparison by OCSE against the quarterly wage and unemployment files in the NDNH.
2. SSA will use the information provided by the comparison to administer the DI program efficiently as set forth in this agreement.
3. SSA will make the information provided by the comparison available to claims adjudicators through its Identity Management System (IDMS) and eWork files within the Completed Determination Record-Continuing Disability Determination file (CDR-CDD) system of record (SOR).
4. SSA adjudicators will use the information provided by the comparison to request a verification of earnings from beneficiaries.
5. SSA will publish the *Federal Register* notice and the letters to Congress for this agreement.

III. LEGAL AUTHORITY

The legal authorities for disclosures under this agreement are:

1. Section 453(j)(4) of the Social Security Act (Act) provides that OCSE shall provide the Commissioner of Social Security with all information in the NDNH.
42 U.S.C. §653(j)(4).
2. Section 224(h)(1) of the Act provides that the head of any Federal agency shall provide information within its possession as the Commissioner of Social Security may require for purposes of making a timely determination of the amount of the reduction, if any, required by section 224 in benefits payable under Title II of the Act.
42 U.S.C. §424a(h)

Disclosures under this agreement shall be made in accordance with 5 U.S.C. §552a(b)(3), and in compliance with the matching procedures in 5 U.S.C. §552a(o), (p), and (r).

IV. THE JUSTIFICATION FOR THE MATCHING PROGRAM AND ITS ANTICIPATED RESULTS

The Privacy Act requires that each matching agreement specify the justification for the program and anticipated results, including a specific estimate of any savings.
5 U.S.C. §552a(o)(1)(B).

A. The Justification for the Matching Program

The NDNH is the only nationally centralized directory of new hire, quarterly wage, and unemployment insurance information and, as such, provides an effective, efficient and comprehensive method of collecting and comparing this information. SSA use of NDNH information supports program accuracy and program administration and reduces overpayments. There is no other administrative activity that can accomplish the same purpose and provide the same security safeguards with the same degree of efficiency.

This matching program also satisfies the following Government Accountability Office recommendations:

1. Audit 10-444, June 2010, Closed Recommendation to “evaluate the feasibility of periodically matching SSA disability beneficiaries and recipients to federal payroll data. Such matches would provide SSA with more timely data to help SSA systematically and more effectively identify federal workers who are likely to incur overpayments”; and
2. Audit 11-724, July 2011, Open Recommendation “to enhance SSA's ability to recover debt and to improve the detection and, where possible, prevention of overpayments in the DI program, the Commissioner of Social Security should explore options for obtaining more timely earnings information for DI program beneficiaries who may be working, and thus are more likely to incur overpayments. This would include developing data sharing agreements to access pertinent earnings-related databases, such as the National Directory of New Hires (NDNH).”

B. Anticipated Results of the Matching Program

The benefit to the United States Treasury of these combined matching operations includes the correction of those cases where there is a decrease in the monthly payment amount, the recovery of detected overpayments, and the Continuing Disability Review work cost avoidance.

There is no mechanism for accurately estimating the costs and benefits from this new information comparison to the degree required for an authoritative cost-benefit analysis. The Privacy Act, 5 U.S.C. §552a(u)(4)(B), allows the Data Integrity Boards (DIB), subject to certain requirements, to waive the cost-benefit analysis of the written agreement. Subsequent written agreements for this program will include a cost-benefit analysis.

V. DESCRIPTION OF THE RECORDS MATCHED

The Privacy Act requires that each matching agreement specify a description of the records that will be matched, including each data element that will be used, the

approximate number of records that will be matched, and the projected starting and completion dates of the matching program. 5 U.S.C. §552a(o)(1)(C).

A. OCSE and SSA Systems of Records (SOR)

OCSE and SSA published notice of the relevant SORs in the *Federal Register*. SSA's SORs are the Master Beneficiary Record (MBR), SSA/ORSIS 60-0090 last published January 11, 2006 at 72 FR 1826; and the Completed Determination Record-Continuing Disability Determination file (CDR-CDD), SSA/OD 60-0050 last published January 11, 2006 at 72 FR 1813.

OCSE will match SSA information in the MBR and CDR-CDD against the quarterly wage and unemployment insurance information maintained in the NDNH. The NDNH contains new hire, quarterly wage, and unemployment insurance information furnished by state and federal agencies and is maintained by OCSE in its system of records "OCSE National Directory of New Hires," No. 09-80-0381, published in the *Federal Register* at 80 FR 17906 on April 2, 2015. The disclosure of NDNH information by OCSE to SSA constitutes a "routine use," as defined by the Privacy Act. 5 U.S.C. §552a(b)(3). Routine use (9) of the system of records authorizes the disclosure of NDNH information to SSA for this purpose. 80 FR 17906, 17907 (April 2, 2015).

B. Data Elements Used in the Matching Program

1. SSA will provide electronically to OCSE the following data elements in the finder file:
 - Individual's Social Security number (SSN)
 - Name
2. OCSE will provide electronically to SSA the following data elements from the NDNH in the quarterly wage file:
 - Quarterly wage record identifier
 - For employees:
 - 1) Name (first, middle, last)
 - 2) SSN
 - 3) Verification request code
 - 4) Processed date
 - 5) Non-verifiable indicator
 - 6) Wage amount
 - 7) Reporting period
 - For employers of individuals in the quarterly wage file of the NDNH:
 - 1) Name
 - 2) Employer identification number
 - 3) Address(es)
 - Transmitter agency code

- Transmitter state code
 - State or agency name
3. OCSE will provide electronically to SSA the following data elements from the NDNH in the unemployment insurance file:
- Unemployment insurance record identifier
 - Processed date
 - SSN
 - Verification request code
 - Name (first, middle, last)
 - Address
 - Unemployment insurance benefit amount
 - Reporting period
 - Transmitter agency code
 - Transmitter state code
 - State or agency name

C. Number of Records to Be Matched

The SSA finder file will contain approximately 9.8 million records of individuals.

The NDNH contains approximately 1.40 billion new hire, quarterly wage, and unemployment insurance records, which represents the most recent 24 months of information. In accordance with section 453(j)(4) of the Act, NDNH information provided to SSA by OCSE will contain the available data elements from the quarterly wage and unemployment insurance information, if any, pertaining to the individuals whose records are contained in the SSA finder file. 42 U.S.C. §653(j)(4).

D. Period of the Matching Program

The starting and completion dates of the matching program are consistent with the effective and expiration dates of this agreement. The matching program will continue in effect until it expires unless terminated as stated in this agreement. SSA will conduct batch matches for DI applicants or recipients with the NDNH database no more frequently than quarterly.

VI. NOTICE PROCEDURES

The Privacy Act requires, in pertinent part, that the agreement specify procedures for providing individualized notice at the time of application, and periodically thereafter as directed by the Data Integrity Board, to applicants and recipients of financial assistance or payments under federal benefit programs, that the information they provide may be verified through matching programs. 5 U.S.C. §552a(o)(1)(D).

This requirement is best accomplished by notice provided to the individual on the form in the agency's request for information or on a separate form pursuant to the Privacy Act.

5 U.S.C. §552a(e)(3). SSA and OCSE provide the following notices, respectively, to persons whose records are disclosed from the system of records involved in the matching program established under this agreement.

A. Notice to the General Public

SSA will publish a notice describing SSA's matching activities in the *Federal Register* informing the general public of this specific matching program. Both SSA and OCSE published notice of the relevant systems of records in the *Federal Register*.

B. Notice to Applicants

SSA will notify individuals at the time of application for DI benefits regarding the comparison of their records against those of other agencies to verify their eligibility or payment amounts. SSA's notice consists of appropriate language printed either on its application forms or on a separate handout when necessary.

C. Notice to Recipients

SSA will notify DI beneficiaries at least once during the life of the agreement and of any extension to the agreement of the comparison of records against those of other agencies to verify their eligibility or payment amounts. SSA's notice to DI beneficiaries is included in mailings pertaining to redetermination actions and to the annual cost-of-living adjustment notice to all recipients.

VII. VERIFICATION AND OPPORTUNITY TO CONTEST

The Privacy Act requires that each matching agreement specify procedures for verifying information produced in the matching program and an opportunity to contest findings. 5 U.S.C. §552a(o)(1)(E) and (p).

SSA recognizes that the occurrence of a comparison between its files and the NDNH is not conclusive evidence of the address, employer, or wages of an identified individual, but is an indication that warrants further verification.

A. Verification of Information Produced in the Matching Program

SSA verifies the name/SSN combinations in its systems of records. SSA will compare the identity information in its records for the matched individual with the NDNH information and then determine whether the information in the NDNH is consistent with the information in SSA's files. If the information is not consistent, SSA will contact the individual to confirm the information provided by the NDNH.

If the individual is unable to confirm the information, SSA will contact the employer(s) shown by the NDNH quarterly wage file to confirm the information shown by the comparison results, and the appropriate source agency to confirm the unemployment insurance payment information. SSA will independently verify the

NDNH information, investigate, and confirm information that is used as a basis for an adverse action against an individual, as described in 5 U.S.C. §552a(p)(1) and (2).

B. Opportunity to Contest Findings

SSA will not take action to reduce, suspend, or terminate disability benefits based on information obtained from this matching program until:

1. SSA provides notice to the affected individual that informs that individual of the results of SSA's verification of the information and his or her opportunity to contest the findings.
2. Under applicable SSA regulations and procedures, the affected individual is given 10 days to respond to the notice before SSA takes any adverse action as a result of the comparison information (20 C.F.R. §404.1595(c)).
3. The notice clearly states that, unless the individual responds to the notice in the required time, SSA will conclude that the comparison results provided by OCSE are correct and will make the necessary adjustment to the individual's payment.

VIII. ACCURACY ASSESSMENT

The Privacy Act requires that each matching agreement specify information on assessments that have been made on the accuracy of the records that will be used in the matching program. 5 U.S.C. §552a(o)(1)(J).

The information contained in the NDNH is reported to the source agency by state and federal agencies and instrumentalities. OCSE verifies the accuracy of name and SSN combinations maintained by OCSE against SSA's NUMIDENT file, in accordance with section 453(j)(1)(A) and (B) of the Act. 42 U.S.C. §653(j)(1)(A) and (B). A record reported to the NDNH is considered "verified" if the name and SSN combination has a corresponding name and SSN within SSA's NUMIDENT.

One hundred percent of the employee name and SSN combinations contained in the new hire and the unemployment insurance files against which finder files are compared have been verified against SSA's NUMIDENT. For quarterly wage, only 77 percent of the incoming data has a verified name and SSN combination, since some states and employers do not capture enough name information in their records to complete this process. However, information comparisons may be conducted and reliable results obtained.

Based on internal consistency checks and SSN/name verification process before the creation of a payment record, SSA estimates that at least 99 percent of the name and SSN information on the MBR is accurate.

IX. LIMITATIONS ON ACCESS AND USE

The Privacy Act requires that each matching agreement specify prohibitions on duplication and redisclosure of records provided by the source agency within or outside the recipient agency or the non-federal agency, except where provided by law or essential to the conduct of the matching program. 5 U.S.C. §552a(o)(1)(H).

The Privacy Act also requires that each matching agreement specify procedures governing the use by a recipient agency or non-federal agency of records provided in a matching program by a source agency, including procedures governing return of the records to the source agency or destruction of records used in such program. 5 U.S.C. §552a(o)(1)(I).

A. Limitations on the Use of Information by OCSE

OCSE will adhere to the following limitations on the use of the information contained in the finder files disclosed to OCSE by SSA under the provisions of this agreement:

1. SSA finder files, and the information contained therein, will not be duplicated or disseminated within or outside OCSE without the written approval of SSA, except as necessary within OCSE for backup to ongoing operations of the matching program. SSA will not grant such authority unless the disclosure is required by law or is essential to the matching program. The SSA finder files remain the property of SSA and are handled as provided in sections X and XI, once the matching activity authorized under this agreement is completed.
2. SSA finder files and information provided by SSA will be used and accessed only for the purposes specified in this agreement.
3. SSA finder files are not used to extract information concerning the individuals therein for any purpose not specified in the agreement.

B. Limitations on the Use, Duplication and Redisclosure of Information by SSA

SSA will adhere to the following limitations on the use of information provided by OCSE:

1. SSA will only use NDNH information for the purposes specified in this agreement.
2. SSA will not use NDNH information to extract information concerning the individuals therein for any purpose not specified in this agreement.
3. NDNH information will not be duplicated or disseminated within or outside SSA without the written permission of OCSE, except as necessary within SSA for backup to ongoing operations of the matching program and for the purpose of disaster recovery. Permitted paper folder and electronic NDNH duplication or dissemination must be in accord with sections X and XI.C. OCSE will not grant such authority unless the disclosure is required by law or is essential to the matching program.

4. Information provided by OCSE remains the property of OCSE and will be handled as provided in sections X and XI, once matching activity under this agreement is completed.

X. PROCEDURES FOR RETENTION AND TIMELY DESTRUCTION OF RECORDS

The Privacy Act requires that each matching agreement specify procedures for the retention and timely destruction of identifiable records created by a recipient agency in such matching program. 5 U.S.C. §552a(o)(1)(F).

This section specifies the retention periods for the records contained in the SSA finder file and the NDNH records provided to SSA. After the retention periods, OCSE and SSA shall destroy the records in accordance with the security addendum herein, including the erasure of all electronic records.

OCSE may retain the SSA records contained in the finder file provided by SSA only for the period of time required for the processing related to the matching program, but no later than 60 days after the transmission of the file to OCSE.

SSA agrees to the following procedures for the retention and destruction of identifiable records:

1. SSA will retain the response files received from OCSE only for the period of time required for any processing related to the matching program and will then destroy the response file. SSA will destroy all information obtained from OCSE under this agreement in accordance with the applicable Federal Records Retention Schedule (44 U.S.C. §3303a) for the MBR and the CDR-CDD system of records.
2. SSA field office personnel will dispose of the case file printouts of the comparison results of specific individuals in accordance with the appropriate National Archives and Records Administration federal records retention schedule (44 U.S.C. §3303a) for the MBR and the CDR-CDD system of records.

Neither SSA nor OCSE will create a separate file or system of records concerning individuals in the matching program, other than SSA records needed for integrity and audit purposes. Both SSA and OCSE will keep an accurate accounting of disclosures from an individual's records as required by subsection (c) of the Privacy Act.

XI. Procedures for Security

The Privacy Act requires that each matching agreement specify procedures for ensuring the administrative, technical, and physical security of the records matched and the results of such programs. 5 U.S.C. §552a(o)(1)(G).

SSA and OCSE will comply with the requirements of the Federal Information Security Management Act (FISMA), 44 U.S.C. §3541 *et seq.*; related Office of Management and Budget (OMB) circulars and memoranda, such as Circular A-130, Management of

Federal Information Resources (Nov. 28, 2000), and Memorandum M-06-16, Protection of Sensitive Agency Information (June 23, 2006); National Institute of Standards and Technology (NIST) directives. These laws, directives, and regulations include requirements for safeguarding federal information systems and personally identifiable information (PII) used in federal agency business processes, as well as related reporting requirements. Laws, regulations, NIST standards, and Office of Management and Budget directives relating to the subject of this agreement and published subsequent to the effective date must be implemented by both agencies.

FISMA requirements apply to all federal contractors, organizations, or entities that possess or use federal information, or that operate, use, or have access to federal information systems on behalf of an agency. Both agencies are responsible for oversight and compliance of their contractors and agents.

The security addendum to this agreement specifies these security procedures, and shall be taken and considered as part of this agreement as if the provisions contained in the addendum were fully set out here.

A. Loss Reporting

If either SSA or OCSE experiences a loss of PII provided by SSA or OCSE under the terms of this agreement, they will follow the Office of Management and Budget loss reporting guidelines (OMB M-06-19, "Reporting Incidents Involving Personally Identifiable Information and Incorporating the Cost for Security into IT Investments"). In the event of an incident involving the loss or potential loss of PII, the agency experiencing the event is responsible for following its established procedures, including notification to proper organizations, such as the United States Computer Emergency Readiness Team (US-CERT). In addition, the agency experiencing the loss of PII will notify the other agency's Systems Security Contact named in this agreement. SSA or OCSE, as appropriate, will also call SSA's Network Customer Service Center toll free at 1-877-697-4889.

B. Breach Notification

SSA and OCSE follow PII breach notification policies and related procedures as required by OMB M-07-16 (May 22, 2007). Any breach or suspected breach will be reported immediately to US-CERT. SSA and OCSE must report to US-CERT when: 1) an individual gains logical or physical access without permission to a federal agency network, system, application, data, or other resource; or 2) there is a suspected or confirmed breach of personally identifiable information regardless of the manner in which it might have occurred. If SSA or OCSE determines that the risk of harm requires notification to affected individuals and/or other remedies, that agency will carry out these remedies without cost to the other agency.

C. Application of Policy and Procedures

SSA and OCSE will adopt policies and procedures to ensure that their respective agencies use the information contained in their respective records or obtained from

each other solely as provided in this agreement. SSA and OCSE will comply with these guidelines and any subsequent revisions.

XII. EFFECTIVE DATE, DURATION, MODIFICATION, AND TERMINATION OF AGREEMENT

A. Effective Date of the Agreement

The Privacy Act, as amended, provides that a copy of each matching agreement shall be transmitted to the Committee on Homeland Security and Governmental Affairs of the Senate and the Committee on Oversight and Government Reform of the House of Representatives and be available upon request to the public, in order to permit an evaluation of the probable or potential effect of such proposal on the privacy or other rights of individuals. 5 U.S.C. §552a(r) and (o)(2)(A). OMB Circular No. A-130, Appendix I, 4.(d) requires agencies to provide a Report of Matching Program, including the agreement, to the Congressional committees and to Office of Management and Budget.

The Privacy Act also provides that no agreement shall be effective until 30 days after the date on which a copy of the agreement is transmitted to such congressional committees. 5 U.S.C. §552a(o)(2)(B). See also notice and reporting requirements in 5 U.S.C. §552a(e)(12); 5 U.S.C. §552a(r); and OMB Circular No. A-130, Appendix I, 4(d).

This agreement shall be effective, and the comparison and disclosure of information under this agreement may commence, when the agencies comply with the Privacy Act notice and reporting requirements. Where applicable, agencies may agree upon a later effective date, such as one to coincide with the expiration of a renewal of a previous matching program between the agencies. SSA and OCSE intend that the effective date of this agreement shall be November 13, 2015.

Therefore, unless Office of Management and Budget or Congress disapprove the agreement within 40 days of the date of the transmittal letter for the report of the signed matching program, or Office of Management and Budget grants a waiver of 10 days of the 40-day review period, or public comments are received that result in cancellation or deferral of the implementation of the program, this agreement shall be effective no sooner than the later of the following dates:

- November 13, 2015,
- 30 days after the date that SSA publishes the notice of matching program in the *Federal Register*, or
- 40 days after the date SSA transmits the report of matching program to the Committee on Homeland Security and Governmental Affairs of the Senate and the Committee on Oversight and Government Reform of the House of Representatives and Office of Management and Budget's Office of Information and Regulatory Affairs.

B. Duration of the Agreement

The Privacy Act requires that an agreement shall remain in effect only for such period, not to exceed 18 months, as the Data Integrity Board of the agency determines is appropriate in light of the purposes, and length of time necessary for the conduct, of the matching program. 5 U.S.C. §552a(o)(2)(C). This agreement shall remain in effect for a period of 18 months, subject to renewal by the Data Integrity Board of both agencies for a period of up to one year. The renewal may occur if OCSE and SSA can certify in writing to their Data Integrity Boards that: 1) the matching program will be conducted without change, and 2) OCSE and SSA have conducted the matching program in compliance with the original agreement.

Both SSA and OCSE will sign a form SSA-429 *Agreement Covering Reimbursable Services* and an OCSE reimbursement agreement, prior to the initiation of any services of this agreement and for each fiscal year in which this agreement is in effect.

C. Modification of the Agreement

This agreement may be modified at any time by a written modification, which is signed by both parties and is approved by the U.S. Department of Health and Human Services (HHS) Data Integrity Board and SSA Data Integrity Board.

D. Termination of the Agreement

Prior to the agreement's end in accord with section XII.B, the agreement may be terminated in three ways. First, it may be terminated immediately with the consent of both agencies. Second, either agency may unilaterally terminate it by written notice to the other agency. Unilateral termination is effective 90 days after the date of the notice or on a later date, as specified in the notice. Third, either agency may immediately and unilaterally terminate the agreement and any further disclosures if it determines that:

- SSA does not meet its requirement to reimburse OCSE under section 453(k) of the Act as agreed upon in section XV of this agreement and the fiscal agreements of both SSA and OCSE;
- OCSE has reason to believe that the verification and opportunity to contest requirements of subsection (p), or any matching agreement entered into pursuant to subsection (o), or both, are not being met pursuant to 5 U.S.C. §552a(q)(1);
- Any authorized entity to which NDNH information is redisclosed in accordance with section IX is not complying with any of the terms and provisions in this agreement; or
- The privacy or security of NDNH information is at risk.

Each agency will submit to its Data Integrity Board a copy of any notification of termination.

XIII. PERIODIC REPORTING OF RESULTS OF THE MATCHING PROGRAM

The Office of Management and Budget requires OCSE to periodically report measures of the performance of the Federal Parent Locator Service (FPLS), including the NDNH, through various federal management devices, such as the Office of Management and Budget IT Dashboard, the Annual Report to Congress, and the Major IT Business Case. OCSE is required to provide performance measures demonstrating how the FPLS supports OCSE's strategic mission, goals, and objectives and cross-agency collaboration. OCSE also requests such performance reporting to ensure matching partners use NDNH information for the authorized purpose.

To assist OCSE in its compliance with federal reporting requirements, and to provide assurance that SSA uses NDNH information for the authorized purpose, SSA must provide to OCSE a written description of the performance outputs and outcomes attributable to its use of NDNH information for the purposes set forth in this agreement.

SSA must provide such reports, in a format determined by SSA and approved by OCSE, to OCSE on an annual basis, no later than two months after the end of each fiscal year of the matching program.

The performance reports may also assist SSA in the development of a cost-benefit analysis of the matching program required for any subsequent matching agreements in accordance with 5 U.S.C. §552a(o)(1)(B).

XIV. ACCESS TO RECORDS BY THE COMPTROLLER GENERAL

The Privacy Act requires that each matching agreement specify that the Comptroller General of the United States may have access to all records of a recipient agency or a non-federal agency that the Comptroller General deems necessary in order to monitor or verify compliance with this agreement. 5 U.S.C. §552a(o)(1)(K). OCSE and SSA agree that the Comptroller General may have access to such records for the authorized purpose of monitoring or verifying compliance with this agreement.

XV. REIMBURSEMENT

Pursuant to section 453(k)(3) of the Act, a state or federal agency that receives information from OCSE must reimburse OCSE for costs incurred in furnishing the information, at rates which OCSE determines to be reasonable. 42 U.S.C. §653(k)(3). SSA will reimburse OCSE for use of NDNH information on an annual fiscal year (FY) basis. SSA will reimburse OCSE via a reimbursement agreement prepared by OCSE and the Form SSA-429 (including addendum) prepared by SSA and signed by both OCSE and SSA. A reimbursement agreement and Form SSA-429 will be entered into each fiscal year and will address costs and reimbursement terms. The Office of Data Exchange and Policy Publications at SSA is responsible for processing the reimbursement agreement and Form SSA-429. SSA may incur obligations only on a

fiscal year basis. SSA's ability to perform work for fiscal years beyond FY2016 is subject to the availability of funds.

OCSE will collect funds from SSA through the Intra-Governmental Payment and Collection (IPAC) system. OCSE will bill SSA twice during the fiscal year, in accordance with the amounts and terms outlined in the reimbursement agreement and Form SSA-429. SSA will remit payments no later than fifteen days following the receipt of each bill. Additionally, at least quarterly, the parties will reconcile balances related to revenue and expenses for work performed under the agreement.

XVI. DISPUTE RESOLUTION

Disputes related to this agreement will be resolved in accordance with instructions provided in the Treasury Financial Manual (TFM) Volume I, Part 2, Chapter 4700, Appendix 10, Intragovernmental Transactions Guide, available on the [TFM Website \(http://tfm.fiscal.treasury.gov/v1/p2/c470.pdf\)](http://tfm.fiscal.treasury.gov/v1/p2/c470.pdf).

XVII. PERSONS TO CONTACT FOR FURTHER INFORMATION

A. SSA Contacts:

Program Policy Issues

Yvette Woodson

Office of Supplemental Security Income and Program Integrity Policy

Office of Income Security Programs

Office of Retirement and Disability Policy

224 (2-Q-19) Robert M. Ball Building

6401 Security Boulevard

Baltimore, MD 21235-6401

Phone: 410-965-8624

E-mail: Yvette.Woodson@ssa.gov

Computer Systems Issues

Alan Elkin, Branch Chief

Disability Control Branch

Division of Title II Control & Queries

Office of Systems

4-A-6 Robert M. Ball Building

6401 Security Boulevard

Baltimore, MD 21235-6401

Phone: 410-965-8050

Fax: 410-966-5272

E-mail: Alan.Elkin@ssa.gov

Matching Agreement Issues

Linda Frye, Government Information Specialist

Office of Privacy and Disclosure

Office of the General Counsel

617 Altmeyer Building
6401 Security Boulevard
Baltimore, MD 21235-6401
Phone: 410-966-9555
E-mail: Linda.Frye@ssa.gov

Data Exchange Issues

Stephanie Brock, Data Exchange Liaison
Office of Data Exchange and Policy Publications
Office of Retirement and Disability Policy
3655 Annex Building
6401 Security Boulevard
Baltimore, MD 21235-6401
Phone: 410-965-7827
E-mail: Stephanie.Brock@ssa.gov

Systems Security Issues

Michael G. Johnson, Director
Division of Compliance and Oversight
Office of Information Security
Office of Systems
3105 Annex Building
6401 Security Boulevard
Baltimore, MD 21235-6401
Phone: 410-965-0266
Fax: 410-597-0845
E-mail: Michael.G.Johnson@ssa.gov

B. OCSE Contacts:

Linda Boyer, Data Access and Security Manager
Division of Federal Systems
Office of Child Support Enforcement
Administration for Children and Families
370 L'Enfant Promenade SW, 4th Floor
Washington, DC 20447
Phone: 202-401-5410
Fax: 202-401-5558
E-mail: Linda.Boyer@acf.hhs.gov

Maureen Henriksen, OCSE Liaison with SSA for Data Exchange
Division of Federal Systems
Office of Child Support Enforcement
Administration for Children and Families
3-J-6C Robert M. Ball Building

6401 Security Boulevard
Baltimore, MD 21235-6401
Phone: 410-966-5181
Fax: 410-966-3147
E-mail: Maureen.Henriksen@acf.hhs.gov

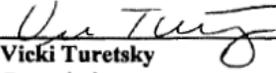
XVIII. INTEGRATION CLAUSE

This agreement, the appendices, and the accompanying Form SSA-429, the OCSE reimbursement agreement prepared and authorized at the start of each fiscal year throughout the life of this agreement constitute the entire agreement of the agencies with respect to its subject matter and supersede all other data exchange agreements between the agencies for the purposes described herein. The agencies have made no representations, warranties, or promises outside of this agreement. This agreement takes precedence over any other documents potentially in conflict with it, however; it does not supersede federal law or HHS and Office of Management and Budget directives.

XIX. SIGNATURES

By their signatures below, the authorized officials approve this agreement.

OFFICE OF CHILD SUPPORT ENFORCEMENT (OCSE)



Vicki Turetsky
Commissioner

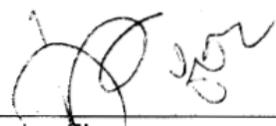
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Colleen Barros
Chairperson
HHS Data Integrity Board

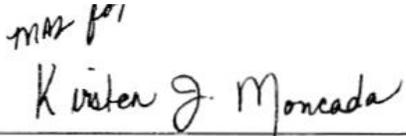
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SOCIAL SECURITY ADMINISTRATION (SSA)



Mary Ann Zimmerman
Acting Deputy Executive Director
Office of Privacy and Disclosure
Office of the General Counsel

	Date 6/15/15
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Kirsten J. Moncada
Chair
SSA Data Integrity Board
Social Security Administration

	Date 8/12/15
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SECURITY ADDENDUM

**U.S. Department of Health and Human Services
Administration for Children and Families
Office of Child Support Enforcement
and
Social Security Administration**

“Title II-OCSE Quarterly Match Agreement”
SSA Match #1098/HHS Match #1506

I. PURPOSE AND EFFECT OF THIS SECURITY ADDENDUM

The purpose of this security addendum is to specify the administrative, technical, and physical security controls that the Office of Child Support Enforcement (OCSE) and the Social Security Administration (SSA) shall have in place to ensure the security of the records compared against records in the National Directory of New Hires (NDNH) and the results of the information comparison.

By signing this security addendum, OCSE and SSA agree to comply with the provisions of the Social Security Act, the Privacy Act of 1974, the Federal Information Security Management Act of 2002 (FISMA), Office of Management and Budget (OMB) directives, and the National Institute of Standards and Technology (NIST) series of Special Publications (SP). Further, each agency has implemented the minimum security controls required for a system categorized as “moderate” in accordance with the Federal Information Processing Standards (FIPS) Publication 199, *Standards for Security Categorization of Federal Information and Information Systems*. OCSE and SSA agree to use the information (that is, finder and response files) received from each agency for authorized purposes in accordance with the terms of the agreement.

As federal requirements change or new requirements are established, OCSE and SSA shall comply with such requirements.

II. APPLICABILITY OF THIS SECURITY ADDENDUM

This security addendum is applicable to the agency, personnel, facilities, documentation, information, electronic and physical records and other machine-readable information, and the information systems of OCSE and SSA and SSA specified entities (that is, contractors, agents, and other permitted persons) which are hereinafter referred to as “OCSE” and “SSA.”

III. SECURITY AND PRIVACY SAFEGUARDING REQUIREMENTS

This section outlines the safeguarding requirements for receiving NDNH information as well as the safeguards in place at OCSE for protecting the agency finder file. The requirements are drawn from the federal laws and requirements governing the protection of information referenced in Section I of this security addendum as well as the *Office of Child Support Enforcement Division of Federal Systems Security Requirements for*

Federal Agencies Receiving Federal Parent Locator Service Data. SSA was provided a copy of the *Office of Child Support Enforcement Division of Federal Systems Security Requirements for Federal Agencies Receiving Federal Parent Locator Service Data*, on July 14, 2015.

The security requirements to which OCSE and SSA shall ensure compliance and continuously monitor are presented in three categories: administrative, technical, and physical and three additional sections: Breach Reporting and Notification Responsibility, Security Authorization, and Audit Requirements.

A. Administrative Security Requirements

1. SSA shall restrict access to and disclosure of the NDNH information to authorized personnel who need the NDNH information to perform their official duties in connection with the authorized purposes specified in the agreement.

OCSE restricts access to and disclosure of the agency finder file to authorized personnel who need it to perform their official duties as authorized in this agreement.

Policy/Requirements Traceability: Privacy Act 5 U.S.C. §552a(b)(1)

2. SSA shall establish and/or maintain ongoing management oversight and quality assurance capabilities to ensure that only authorized personnel have access to NDNH information.

OCSE uses ongoing management oversight and quality assurance capabilities to ensure that only authorized personnel have access to the agency finder file.

Policy/Requirements Traceability: Privacy Act 5 U.S.C. §552a; NIST Special Publication (SP) 800-53 Rev 4, *Security and Privacy Controls for Federal Information Systems and Organizations*, PL-4(1), PS-6, PS-8

3. SSA shall advise all authorized personnel who will access NDNH information of the confidentiality of the NDNH information, the safeguards required to protect the NDNH information, and the civil and criminal sanctions for non-compliance contained in the applicable federal laws.

OCSE advises all personnel who will access the agency finder file of the confidentiality of the information, the safeguards required to protect the information, and the civil and criminal sanctions for non-compliance contained in the applicable federal laws.

Policy/Requirements Traceability: Privacy Act 5 U.S.C. §552a; NIST SP 800-53 Rev 4, PL-4(1), PS-6, PS-8

4. SSA shall deliver security and privacy awareness training to authorized personnel. The training must include information about the responsibility of such personnel

for proper use and protection of NDNH information, recognizing and reporting potential indicators of insider threat, and the possible sanctions for misuse. All personnel must receive security and privacy awareness training prior to accessing NDNH information and at least annually thereafter. Such training shall include instruction covering the other federal laws governing use and misuse of protected information.

OCSE delivers security and privacy awareness training to personnel. The training includes information about the responsibility of such personnel for proper use and protection of other agencies' finder files, recognizing and reporting potential indicators of insider threat, and the possible sanctions for misuse. All personnel receive security and privacy awareness training prior to accessing agency finder files and at least annually thereafter. Such training includes instruction covering the other federal laws governing use and misuse of protected information.

Policy/Requirements Traceability: Federal Information Security Management Act; OMB Circular A-130; OMB M-07-16; NIST SP 800-53 Rev 4, AT-2(2), AT-3

5. SSA personnel with authorized access to the NDNH information shall sign non-disclosure agreements, rules of behavior, or equivalent documents prior to system access annually and if changes occur. The non-disclosure agreement, rules of behavior, or equivalent documents shall outline the authorized purposes for which the NDNH information may be used by SSA and the civil and criminal penalties for unauthorized use. SSA may use "wet" and/or electronic signatures to acknowledge non-disclosure agreements, rules of behavior, or equivalent documents.

OCSE personnel with authorized access to the agency finder file sign non-disclosure agreements and rules of behavior.

Policy/Requirements Traceability: OMB Circular A-130 - Appendix III; OMB M-07-16; NIST SP 800-53 Rev 4, PS-6

6. SSA shall maintain records of authorized personnel with access to the NDNH information. The records shall contain a copy of each individual's signed non-disclosure agreement, rules of behavior, or equivalent document and proof of participation in security and privacy awareness training.

OCSE maintains a record of personnel with access to the agency finder file. The records will contain a copy of each individual's signed non-disclosure agreement, rules of behavior, or equivalent document and proof of participation in security and privacy awareness training.

Policy/Requirements Traceability: NIST SP 800-53 Rev 4, AT-4

7. SSA shall prohibit the use of non-SSA furnished equipment to access NDNH information without specific written authorization for the equipment from the

appropriate SSA representative.

OCSE ensures that personnel do not access the agency finder file remotely using non-agency furnished equipment.

Policy/Requirements Traceability: NIST SP 800-53 Rev 4, AC-20(1)(2)

8. SSA shall require that personnel accessing NDNH information remotely (for example, telecommuting) adhere to all the security and privacy safeguarding requirements provided in this security addendum. SSA and non-SSA equipment shall have appropriate software with the latest updates to protect against attacks, including, at a minimum, current antivirus software and up-to-date system patches and other software patches. Prior to electronic connection to SSA resources and at least twice yearly thereafter, SSA shall scan the remote equipment to ensure compliance with a set of standards developed by SSA. All connections shall be through a Network Access Control and all data in transit between the remote location and SSA shall be encrypted using FIPS140-2 encryption standards. Equipment that may be authorized does not include mobile devices such as PDAs, smartphones, tablets, iPods, MP3 players, or flash drives. See sections II.A.7 and II.B.5 of this security addendum for additional information.

OCSE ensures that personnel do not access the agency finder file remotely using non-agency furnished equipment.

Policy/Requirements Traceability: OMB M-06-16, *Protection of Sensitive Agency Information*; OMB-M-07-16; NIST SP 800-53 Rev 4, AC-17, AC-20

9. SSA shall establish an effective continuous monitoring strategy and implement a continuous monitoring program that shall ensure the continued effectiveness of security controls by maintaining ongoing awareness of information security, vulnerabilities, and threats to the information system housing NDNH information. The program shall include configuration management, patch management, vulnerability management, the security impact determination of changes to the system and environment, ongoing security control assessments, and reports to SSA officials as required.

OCSE has established a continuous monitoring program that ensures the continued effectiveness of security controls by maintaining ongoing awareness of information security, vulnerabilities, and threats to the information system housing the finder file. The program includes configuration management, patch management, vulnerability management, the security impact determination of changes to the system and environment, ongoing security control assessments, and reports to HHS officials as required.

Policy/Requirements Traceability: NIST SP 800-53 Rev 4, CA-7(1); NIST SP 800-137, Information Security Continuous Monitoring for Federal Information Systems and Organizations

10. SSA shall have appropriate procedures in place to report security or privacy incidents, or suspected incidents involving NDNH information. Immediately upon discovery but in no case later than one hour after discovery of the incident, SSA shall report confirmed and suspected incidents in either electronic or physical form to the Federal Parent Locator Service (FPLS) Information Systems Security Officer (ISSO) designated on this security addendum. The requirement for SSA to report confirmed or suspected incidents involving NDNH information to OCSE exists in addition to, not in lieu of, any SSA requirements to report to the United States Computer Emergency Readiness Team (US-CERT) or other reporting agencies.

OCSE has appropriate procedures in place to report security or privacy incidents, or suspected incidents involving the agency finder file. Immediately upon discovery but in no case later than one hour after discovery of the incident, OCSE will report confirmed and suspected incidents to the SSA security contact designated on this security addendum. The requirement for OCSE to report confirmed or suspected incidents to SSA exists in addition to, not in lieu of, requirements to report to US-CERT or other reporting agencies.

Policy/Requirements Traceability: OMB Circular A130 – Appendix III; OMB M-07-16; NIST SP 800-53 Rev 4, IR-6

B. Technical Security Requirements

1. SSA shall utilize and maintain technological (logical) access controls that limit access to NDNH information to only those personnel authorized for such access based on their official duties.

OCSE utilizes and maintains technological (logical) access controls that limit access to the agency finder file to only those personnel authorized for such access based on their official duties.

Policy/Requirements Traceability: NIST SP 800-53 Rev 4, AC-2

2. SSA shall prevent browsing with technical controls that limit access to NDNH information to assigned cases and areas of responsibility.

OCSE prevents browsing with technical controls that limit access to SSA finder file to authorized personnel.

Policy/Requirements Traceability: Privacy Act 5 U.S.C. §552a; NIST SP 800-53 Rev 4, AC-3

3. SSA shall transmit and store all NDNH information provided pursuant to the agreement in a manner that safeguards the information and prohibits unauthorized access. All electronic SSA transmissions of information to SSA and SSA specified entities (such as, contractors, agents, and other permitted persons) shall be encrypted utilizing a FIPS 140-2 compliant product.

SSA and OCSE exchange data via a mutually approved and secured data transfer method which utilizes a FIPS 140-2 compliant product.

Policy/Requirements Traceability: OMB M-06-16; OMB M-07-16; FIPS 140-2; NIST SP 800-53 Rev 4 MP-4, SC-8

4. SSA shall copy and store NDNH information (that must be copied to mobile media) only on federally owned digital media and mobile computing and communications devices that are encrypted at the disk or device level, using a FIPS 140-2 compliant product. See section II.B.5 of this security addendum for additional information.

OCSE does not copy the agency finder file to mobile media.

Policy/Requirements Traceability: OMB M-07-16; FIPS 140-2, *Security Requirements for Cryptographic Modules*

5. SSA shall prohibit the use of digital media and computing and communications devices resident in commercial or public facilities (such as, hotels, convention centers, airports) from transmitting and/or storing NDNH information.

OCSE prohibits the use of digital media and computing and communications devices resident in commercial or public facilities (such as, hotels, convention centers, airports) from transmitting and/or storing the agency finder file.

Policy/Requirements Traceability: NIST SP 800-53 Rev 4, AC-19(5), CM-8(3)

6. SSA shall prohibit remote access to NDNH information, except through the use of a secure and encrypted (FIPS 140-2 compliant) transmission link and using two-factor authentication as required by the OMB M-06-16. SSA shall control remote access through a limited number of managed access control points.

OCSE prohibits remote access to the agency finder file except via a secure and encrypted (FIPS 140-2 compliant) transmission link and using two-factor authentication as required by OMB M-06-16.

Policy/Requirements Traceability: OMB M-06-16; OMB M-07-16; FIPS 140-2; NIST SP 800-53 Rev 4, AC-17, IA-2(11)(12), SC-8

7. SSA shall maintain a fully automated audit trail system with audit records that capture unsuccessful and successful login and logoff attempts; identification and authentication attempts; date and time of system event; type of system event; the user account, system account, and service or process responsible for initiating the system event. The audit trail system shall protect data and the audit tool from unauthorized access, modification, and deletion and is regularly reviewed/analyzed for indications of inappropriate or unusual activity.

OCSE maintains a fully automated audit trail system with audit records that

capture unsuccessful and successful login and logoff attempts; identification and authentication attempts; date and time of system event; type of system event; the user account, system account, service or process responsible for initiating the system event. The audit trail system protects data and the audit tool from unauthorized access, modification, and deletion and is regularly reviewed/analyzed for indications of inappropriate or unusual activity.

Policy/Requirements Traceability: NIST SP 800-53 Rev 4, AU-2, AU-3, AU-6(1)(3), AU-8, AU-9(4), AU-11

8. SSA shall log each computer-readable data extract from any databases holding NDNH information and verify each extract has been erased within 90 days after completing required use. If use of the extract is still required to accomplish a purpose authorized pursuant to this agreement and complies with the retention and disposition requirements in the agreement, SSA shall request permission, in writing, to keep the extract for a defined period of time, subject to OCSE written approval.

OCSE does not extract information from the agency finder file.

Policy/Requirements Traceability: OMB M-06-16; OMB M-07-16

9. SSA shall utilize a time-out function for remote access and mobile devices that require a user to re-authenticate after no more than 30 minutes of inactivity. See sections II.A.7, II.A.8, and II.B.5 of this security addendum for additional information.

OCSE utilizes a time-out function for remote access and mobile devices that requires a user to re-authenticate after no more than 30 minutes of inactivity.

Policy/Requirements Traceability: OMB M-06-16; OMB M-07-16

10. SSA shall erase electronic records after completing authorized use in accordance with the retention and disposition requirements in the agreement.

OCSE erases the electronic records after completing authorized use in accordance with the retention and disposition requirements in the agreement.

Policy/Requirements Traceability: Privacy Act 5 U.S.C. §552a

11. SSA shall implement a Network Access Control (also known as Network Admission Control (NAC)) solution in conjunction with a Virtual Private Network (VPN) option to enforce security policy compliance on all devices that attempt to gain access to, or use, NDNH information. SSA shall use a NAC solution to authenticate, authorize, evaluate, and remediate wired, wireless, and remote users before they can access the network. The NAC solution chosen or employed shall be capable of evaluating whether remote machines are compliant with security policies through host(s)' integrity tests against predefined templates

such as patch level, service packs, antivirus, and personal firewall status, as well as custom-created checks tailored for the SSA enterprise environment. In addition, functionality that allows automatic execution of code shall be disabled. The solution shall enforce security policies by blocking, isolating, or quarantining non-compliant devices from accessing the SSA network and resources while maintaining an audit record/report on users' access and presence on the SSA network. See sections II.A.7 and II.B.5 of this security addendum for additional information.

OCSE ensures that personnel do not access the agency finder file remotely using non-agency furnished equipment.

Policy/Requirements Traceability: NIST SP 800-53 Rev 4, AC-17, AC-20, IA-2(11)(12), IA-3

C. Physical Security Requirements

1. SSA shall store all NDNH information provided pursuant to this agreement in an area that is physically safe from access by unauthorized persons at all times.

OCSE stores the agency finder file provided pursuant to this agreement in an area that is physically safe from access by unauthorized persons at all times.

Policy/Requirements Traceability: NIST SP 800-53 Rev 4, PE-2, PE-3

2. SSA shall maintain a list of personnel authorized to access facilities and systems processing sensitive data, including NDNH information. SSA shall control access to facilities and systems wherever sensitive information is processed. Designated officials shall review and approve the access list and authorization credentials initially and periodically thereafter, but no less often than annually.

OCSE maintains lists of personnel authorized to access facilities and systems processing sensitive information. OCSE controls access to facilities and systems wherever sensitive information is processed. Designated officials review and approve the access list and authorization credentials initially and periodically thereafter, but no less often than annually.

Policy/Requirements Traceability: NIST SP 800-53 Rev 4, AC-2, PE-2

3. SSA shall label printed reports containing NDNH information that denote the level of sensitivity of the information and limitations on distribution. SSA shall maintain printed reports in a locked container when not in use and never transport NDNH information off SSA premises. When no longer needed, in accordance with the retention and disposition requirements in the agreement, SSA shall destroy these printed reports by burning or shredding.

OCSE does not generate printed reports containing the agency finder file information.

Policy/Requirements Traceability: NIST SP 800-53 Rev 4, MP-3, MP-4, MP-5, MP-6

4. SSA shall use locks and other protective measures at all physical access points (including designated entry/exit points) to prevent unauthorized access to computer and support areas containing NDNH information.

OCSE uses locks and other protective measures at all physical access points (including designated entry/exit points) to prevent unauthorized access to computer and support areas.

Policy/Requirements Traceability: NIST SP 800-53 Rev 4, PE-3

IV. BREACH REPORTING AND NOTIFICATION RESPONSIBILITY

SSA shall have appropriate procedures in place to report security or privacy incidents, or suspected incidents, involving NDNH information. Confirmed and suspected incidents in either electronic or physical form must be reported following SSA procedures immediately upon discovery but in no case later than one hour after discovery. The incident or suspected incident must also be reported to the FPLS Information Systems Security Officer (ISSO) designated on this security addendum. The requirement for SSA to report suspected incidents of NDNH information to OCSE exists in addition to, not in lieu of, any SSA requirements to report to US-CERT or other agency.

Policy/Requirements Traceability: OMB Circular A130 – Appendix III; OMB M-06-19; OMB M-07-16; NIST SP 800-53 Rev 4, IR-6

V. SECURITY AUTHORIZATION

OCSE requires systems that process, transmit, or store NDNH information to be granted authorization to operate following the guidelines in NIST 800-37 Revision 1.

Prior to receipt of NDNH information, entities shall have implemented the minimum security controls required for a system categorized as “moderate” in accordance with FIPS 199, *Standards for Security Categorization of Federal Information and Information Systems*.

In addition, if applicable, federal agencies that share NDNH information with specified contractors (such as, agents, private collection agencies, federal creditor agencies, statistical agencies, and other permitted persons)) shall ensure that the specified contractors meet the same safeguarding requirements. The authorizing official of the agency that re-discloses NDNH information to the permitted entities may grant them the authorization.

The authorization process should be done according to the NIST SP 800-37 Revision 1, as appropriate.

Federal agencies shall comply with NIST SP 800 37 Revision 1, including a continuous

monitoring program for permitted entities. Agencies shall conduct the authorization process at least every three years or when there are major changes to a system. Agencies must verify privacy protection periodically through audits and reviews of the systems and procedures.

By signing the security addendum, SSA signatories confirm that SSA has reviewed the SSA specified entities' (such as, contractors, agents) security controls in place to safeguard information and information systems and has determined that the risk to federal data is at an acceptable level. The security controls in place at all SSA specified entities (such as, contractors, agents) are commensurate with those of a federal system categorized as "moderate" according to FIPS 199 (OMB M-08-21).

VI. AUDIT REQUIREMENTS

The Social Security Act, section 453(m)(2) requires that the Secretary of Health and Human Services establish and implement safeguards with respect to the entities established under section 453 designed to restrict access to confidential information to authorized persons, and restrict use of such information to authorized purposes. 42 U.S.C. §653(m)(2). OMB's guidance provides that since information security remains the responsibility of the originating agency, procedures should be agreed to in advance that provide for the monitoring over time of the effectiveness of the security controls of the recipient organization. M-01-05, *Guidance on Inter-Agency Sharing of Personal Data - Protecting Personal Privacy*, December 20, 2000. Also see section 453(l)(2) of the Social Security Act. (42 U.S.C. §653(l)(2) and 5 U.S.C. §552a(e)(10)).

VII. PERSONS TO CONTACT

A. The HHS/ACF/OCSE security contact is:

Linda Boyer, FPLS Information Systems Security Officer
Division of Federal Systems
Office of Child Support Enforcement
Administration for Children and Families
370 L'Enfant Promenade, SW, 4th Floor
Washington, DC 20447
Phone: 202-401-5410
Fax: 202-401-5558
E-mail: Linda.Boyer@acf.hhs.gov

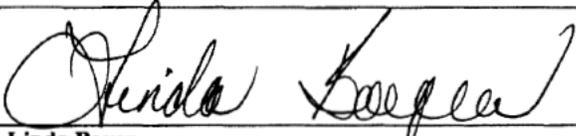
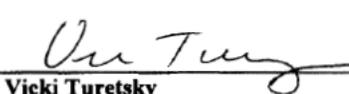
B. The SSA security contact is:

Michael G. Johnson, Director
Division of Compliance and Oversight
Office of Information Security
Office of Systems
3105 Annex Building
6401 Security Boulevard
Baltimore, MD 21235-6401
Phone: 410-965-0266
Fax: 410-966-0527
E-mail: Michael.G.Johnson@ssa.gov

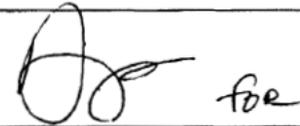
VIII. APPROVALS

By their signatures below, the authorized officials approve this security addendum.

Office of Child Support Enforcement

	
Linda Boyer FPLS Information Systems Security Officer	Date 6-17-15
	
Vicki Turetsky Commissioner	Date 6-18-15

Social Security Administration

 OBO. Michael Johnson	
Michael G. Johnson Director Division of Compliance and Oversight Office of Information Security Office of Systems	Date 5/29/15
 for	
Mary Ann Zimmerman Acting Deputy Executive Director Office of Privacy and Disclosure Office of the General Counsel	Date 6-11-15

Appendix A
DEFINITIONS
FOR
THE COMPUTER MATCHING AGREEMENT
BETWEEN
OCSE AND SSA

“Title II-OCSE Quarterly Match Agreement”
SSA Match #1098/HHS Match #[TBD]

The Privacy Act , 5 U.S.C. §552a(a), defines the terms contained in this agreement.

Additional terms defined as follows:

“**CDR-CDD**” means Completed Determination Record-Continuing Disability Determination File. This SSA system of records (SOR) is SSA’s post-entitlement master record for SSDI and SSI beneficiaries receiving a disability-related benefit including Ticket program beneficiaries.

“**Disclose**” and “**disclosure**” mean the release of information or data by either SSA or OCSE, with or without the consent of the individual or individuals to which the information pertains.

“**FIPS**” means Federal Information Processing Standards, a numeric code, issued by the National Bureau of Standards, which identifies every State and local child support agency to facilitate interstate processing.

“**State**” means any of the 50 States, the District of Columbia, the territories, the possessions, and the Commonwealths of Puerto Rico and the Commonwealth of the Northern Mariana Islands.

Appendix B

**Business Needs Assessment Chart
for the Agreement between OCSE and SSA
Covering the Title II NDNH Quarterly Batch
SSA Match #1098/HHS Match #[TBD]**

SSA Application	Match Method	Function	Elements Provided by SSA to Conduct Match	Elements Provided by OCSE to Conduct Match	SSA User	Elements temporarily displayed if a match is found	OCSE Databases	Authority
Master Beneficiary Record (MBR) and Completed Determination Record-Continuing Disability Determination file (CDR-CDD)	Batch	To establish and verify eligibility or payment amounts, or both under the SSI program	Individual's Social Security number (SSN) and Name	From the Quarterly Wage File: quarterly wage record identifier; for employees: name, SSN, verification request code, processed date, non-verifiable indicator, wage amount, and reporting period; for employers of individuals: name, employer identification number (EIN), and addresses; transmitter agency code, transmitter state code, state or agency name. From the Unemployment Insurance File: unemployment insurance record identifier, processed date, SSN, verification request code, name, address, unemployment insurance benefit amount, reporting period, transmitted agency code, transmitter state code, and state or agency name.	SSA claims personnel responsible for determining eligibility for DI	Quarterly wage record identifier, name, SSN, processed date, address(es), wage amount, quarterly wage reporting period. Employers name, transmitted agency code employer address(es). Unemployment insurance record identifier, processed date, unemployment insurance benefit amount, and reporting period.	National Directory of New Hires (NDNH) - Quarterly Wage File and Unemployment Information File	42 U.S.C. § 653(j)(4)